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# Appendix

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Directory of Major New York State  
Government Agencies and Other Sources



## **Adirondack Park Agency**

P.O. Box 99  
Ray Brook, New York 12977  
Leilani Crafts Ulrich, Chairwoman  
Terry Martino, Executive Director

**Contact:** Terry Martino, Executive Director  
(518) 891-4050 (voice)  
(518) 891-3938 (fax)  
Web Site: [apa.ny.gov](http://apa.ny.gov)

The Adirondack Park Agency (APA) was created in 1971 by the New York State Legislature with the mission to protect the public and private resources within the “Blue Line” of the Adirondack Park through the exercise of the powers and duties of the Agency as provided by law. The basic purpose of the APA Act is “to insure optimum overall conservation, development and use of the unique scenic, aesthetic, wildlife, recreational, open space, historic, ecological and natural resources of the Adirondack Park.” The Agency works to balance the preservation of the Park’s resources and open space character with a strong and diversified economic base in 102 towns and villages.

To achieve its mission, the Agency administers two regional land use plans: the Adirondack Park State Land Master Plan (SLMP) for state lands and the Adirondack Park Private Land Use and Development Plan for private lands. These documents classify state and private lands according to their characteristics and capacity to sustain use.

In the SLMP, state lands are classified in one of the following categories: Wilderness, Primitive, Canoe, Wild Forest, Intensive Use, Historic, and State Administrative. The SLMP establishes policy for the management of these lands and was developed in cooperation with the New York State Department of Environmental Conservation (DEC) with approval by the governor. DEC is charged with the care and custody of the Forest Preserve.

The Adirondack Park Land Use and Development Plan applies to private land use and development in the Park. The plan defines Agency jurisdiction and is designed to conserve the Park’s natural resources and open-space character by channeling growth to where it is most appropriate. Through the plan, all private lands are mapped into six land use classifications: Hamlet, Moderate Intensity Use, Low Intensity Use, Rural Use, Resource Management, and Industrial Use. The Agency has limited jurisdiction in Hamlet areas, extensive jurisdiction in Resource Management areas, and various degrees of jurisdiction within the other land use classifications.

The Agency also administers the State Freshwater Wetlands Act within the Park, and the State Wild, Scenic and Recreational Rivers System Act for the private lands within designated river corridors in the Park. The Agency’s administration of these statutes integrates the state’s goals of preserving river areas and wetlands with the closely related purposes of the SLMP and Adirondack Park Land Use and Development Plan.

## **Advocate for Persons with Disabilities, New York State Office of**

See: Quality of Care and Advocacy for Persons with Disabilities, Commission on

## **Affordable Housing Corporation, New York State**

641 Lexington Avenue  
New York, New York 10022  
James S. Rubin, Commissioner/CEO  
William C. Thompson, Chairman

**Contact:** James Plastiras, Director of Communications  
(518) 473-2526  
Web Site: [www.nyshcr.org](http://www.nyshcr.org)

The New York State Affordable Housing Corporation (AHC) is one of New York State’s housing and community renewal agencies integrated under the banner of New York State Homes and Community Renewal (HCR) in 2010. Integration of the agencies under a single leadership structure has provided for more effective management and better coordination of the state’s resources.

AHC was established as a subsidiary of the New York State Housing Finance Agency (HFA) pursuant to Section 45-b and 1113(1) of the Private Housing Finance Law, to administer the Affordable Home Ownership Development Program. The purpose of the Program is to promote homeownership by persons of low and moderate income, which, in turn, fosters development, stabilization, and preservation of neighborhoods and communities. To achieve these goals, AHC provides financial assistance, in conjunction with other private and public investment, for the construction, acquisition, rehabilitation, and improvement of owner-occupied housing.

Within the limits of available funding, AHC may provide grants not in excess of the lesser of: 60 percent of the project cost; or the following per dwelling unit limitations: up to \$35,000 per unit; or \$40,000 per unit for a high cost project as determined by AHC, or projects receiving a U.S. Department of Agriculture Rural Development Service (formerly the Farmer’s Home Administration) Loan. To encourage the leveraging of other private and public funds, AHC grants cannot exceed 60 percent of total project development costs.

In addition to providing affordable homeownership opportunities to low-income families and individuals, the development and rehabilitation activities funded through AHC help eliminate conditions of blight and deterioration and create jobs and stability in communities throughout the state. Eligible applicants include: local municipalities, public housing authorities, public benefit corporations formed to assist particular municipalities with their housing, community development or renewal needs, housing development fund companies, neighborhood and rural preservation companies, as well as any not-for-profit corporation or charitable organization primarily involved in housing development.

AHC works closely with New York’s other housing and community renewal agencies that comprise HCR to increase homeownership opportunities. As part of HCR’s policy of one-stop shopping, a SONYMA Project Set-Aside application has been incorporated into the AHC application.

AHC’s board, which by statute is composed of the members of HFA’s board, consists of the Commissioners of Taxation and Finance and of Housing and Community Renewal, and the Director of the Budget, *ex officio*; as well as four additional members appointed

for six-year terms by the Governor with Senate advice and consent. Members of the AHC board serve without compensation, and continue in office until reappointed or replaced.

## **Aging, Office for the**

2 Empire State Plaza  
Albany, New York 12223-1251  
Corinda Crossdale, Director

**Contact:** Reza Mizbani, Public Information Officer  
(518) 474-7158  
Web Sites: [www.aging.ny.gov](http://www.aging.ny.gov)  
[www.nyconnects.ny.gov](http://www.nyconnects.ny.gov)  
[www.ltombudsman.ny.gov](http://www.ltombudsman.ny.gov)

The New York State Office for the Aging (NYSOFA) is the designated state agency responsible for providing leadership and direction to the 59 area agencies on aging and their thousands of local programs and providers. The New York State Office for the Aging has the responsibility of stimulating the development of federal, state, and local programs for the aging; administering, coordinating, and operating such programs; and keeping older adults and their families informed of the assistance available to them through a program of public information and education for the individual older person and the community at large.

Among the programs administered by NYSOFA through fifty-nine local area agencies on aging are the following:

Title III B – Planning, coordination, demonstration, service, and training programs under the Federal Older Americans Act; provides for access services, in-home services, and legal assistance;

Title III C – National Nutrition Program for the Elderly under the Federal Older Americans Act, including both congregate and home-delivered meals;

Title III D – Programs designed to help older adults prevent and/or manage chronic diseases under the Federal Older Americans Act;

Title III E – Older Americans and family caregivers benefit from services and supports under the Federal Older Americans Act;

Title V – Senior Community Service Employment Program under the Federal Older Americans Act;

Wellness in Nutrition Program (WIN) – Providing home-delivered and congregate meals and other nutritional services to older adults under New York State funding;

Community Service for the Elderly Program (CSE) – Providing community support services to older adults who are at-risk of institutional long-term care under New York State funding;

Congregate Services Initiative (CSI) – Preserves and enhances funding for programs that promote wellness and ensure that older adults do not face unnecessary isolation and deterioration under New York State funding;

Expanded In-Home Services for the Elderly Program (EISEP) – Providing case-managed low-cost non-medical in-home services to chronically impaired older adults under New York State funding.

NYSOFA's general research and statistical responsibilities encompass the following: the evaluation of programs and collection of primary and secondary data descriptive of the aged, their needs, and the services they receive; and the dissemination of this information via regular liaison with area agencies on aging, interested community groups, other state agencies, and the State Legislature.

### **Publications:**

For information on publications, contact the Public Information Officer (518-474-7181). Among the publications offered are the following:

- Older New Yorker's Guide to Resources
- Annual Report, New York State Office for the Aging 2013-2014.
- Are You Concerned about an Older Driver?
- Project 2015: The Future of Aging in New York State

In addition, NYSOFA Web sites provide online publications and information on topics including the following:

- Services and programs for older New Yorkers and their families at: [www.aging.ny.us](http://www.aging.ny.us).
- The New York Connects Program at [www.nyconnects.ny.gov](http://www.nyconnects.ny.gov).
- The New York State Office of the Long Term Care Ombudsman at [www.ltombudsman.ny.gov](http://www.ltombudsman.ny.gov).
- Demographic and Economic Characteristics of the counties of New York State:
  - Demographics at [www.aging.ny.gov/ReportsAndData/2015CountyDataBooks/](http://www.aging.ny.gov/ReportsAndData/2015CountyDataBooks/).
  - Economics at [www.aging.ny.gov/ReportsAndData/2015CountyFinancials/](http://www.aging.ny.gov/ReportsAndData/2015CountyFinancials/).

## **Agriculture and Markets, Department of**

10B Airline Drive  
Albany, New York 12235  
Richard A. Ball, Commissioner

**Contact:** Blair Smith, State Statistician  
(518) 457-5570  
E-mail: [nass-ny@nass.usda.gov](mailto:nass-ny@nass.usda.gov)  
Web Site: [www.nass.usda.gov/ny](http://www.nass.usda.gov/ny)

The prime responsibilities of the Department of Agriculture and Markets are to ensure that a wholesome supply of food reaches the consumers of the State and to encourage the growth of the state's agricultural industry.

Its activities include: licensing of milk plants, slaughterhouses, refrigerated warehouses, processing plants, and feed and fertilizer manufacturers; supervision of state laws pertaining to purity of foods, kosher foods, sanitation of milk and food processing plants, branding and labeling of feed, fertilizer, and seeds, and enrichment of bread and flour; inspection and grading of eggs, apples, and other farm products; prevention and control of animal and plant diseases; collection and publication of agricultural statistics; and conducting the annual New York State Fair.

### **Statistical Series:**

#### **DAIRY, MEAT AND POULTRY PRODUCTS**

Cattle and Milk Cows: Numbers on hand and production.  
Frequency: Annually

Area covered: New York State by county  
Published: 1975 to present

Dairy and Meat Products in Cold Storage Warehouses:  
Volume reports.  
In: Cold Storage Report  
Frequency: Monthly  
Area covered: New York State  
Published: 1919 to present

Dairy Statistics, New York: Comprehensive summary of  
monthly and annual statistics.  
Frequency: Annually  
Area covered: New York State  
Published: 1918 to present

Count of Milk Plants and Dealers in New York State.  
Frequency: Annually  
Area covered: New York State  
Published: 1892 to present

Livestock on Farms: Livestock on hand and production.  
In: New York Crop and Livestock Report  
Frequency: Annually  
Area covered: New York State  
Published: 1867 to present

Livestock Slaughter: Commercial Slaughter.  
In: New York Crop and Livestock Report  
Frequency: Monthly  
Area covered: New York State  
Published: 1945 to present

Milk Production: Milk and other dairy products.  
In: New York Crop and Livestock Report  
Frequency: Monthly  
Area covered: New York State  
Published: 1924 to present

Poultry Production: Eggs produced, number of layers,  
chicks hatched, turkey poults hatched,  
and turkeys raised.  
In: New York Crop and Livestock Report  
Frequency: Monthly  
Area covered: New York State  
Published: 1924 to present

Survey of Retail Milk Prices.  
Frequency: Monthly  
Areas covered: 9 Metropolitan New York Areas and  
24 Upstate Markets  
Published: 1948 to Present

#### FRUITS, FIELD CROPS, FLOWERS, AND VEGETABLES

Apples in Cold Storage: Apples by type of storage.  
Frequency: Monthly (October to May)  
Area covered: New York State  
Published: 1919 to present

Field Crops Summary: Production; harvested acreage;  
yield and production of grain, hay, dry beans,  
and potato crops.  
In: New York Crop and Livestock Report  
Frequency: Annually  
Area covered: New York State  
Published: 1866 to present

Forecasts of Field Crop Production  
In: New York Crop and Livestock Report  
Frequency: Monthly during growing season  
Area covered: New York State  
Published: 1916 to present

Frozen Fruits and Vegetables: Holdings in cold storage.  
In: Cold Storage Report  
Frequency: Monthly  
Area covered: New York State  
Published: 1919 to present

Fruit Production Report: Production by type of fruit.  
Frequency: Seasonally and annually  
Area covered: New York State  
Published: 1914 to present

Grapes Processed Report: Tonnage of grapes acquired by wineries  
and processing plants, by variety.  
Frequency: Annually  
Areas covered: Production areas  
Published: 1954 to present

Honey Production Report: Number of hives and production of  
honey.  
In: New York Crop and Livestock Report  
Frequency: Annually  
Area covered: New York State  
Published: 1940 to 1981; reinstated in 1986

Intentions to Plant: Intended plantings of major field crops and  
selected vegetable crops.  
In: New York Crop and Livestock Report  
Frequency: Annually  
Area covered: New York State  
Published: 1916 to present

Maple Syrup Production  
Frequency: Annually  
Area covered: New York State  
Published: 1919 to present

Vegetable Production Report: Harvested acreage yield and  
production of major vegetable crops.  
Frequency: Seasonally and annually  
Area covered: New York State  
Published: 1916 to present

#### **Alcoholic Beverage Control, Division of**

Alfred E. Smith Office Building  
80 South Swan Street, Suite 900  
Albany, New York 12210-8002  
Vincent G. Bradley, Chair

**Contact:** William Crowley, Director of Public Affairs  
(518) 486-4767  
E-mail: William.crowley@sla.ny.gov  
Web Site: www.sla.ny.gov

The Division of Alcoholic Beverage Control, operating under the  
direction of the State Liquor Authority (SLA), a three-member  
board, issues and renews licenses and permits required in the  
alcoholic beverage industry, works with local law enforcement agen-  
cies to ensure compliance with the Alcoholic Beverage Control  
(ABC) Law, and regulates trade and credit practices related to

the sale and distribution of alcoholic beverages at wholesale and retail levels. The State Liquor Authority inspects premises where alcoholic beverages are manufactured or sold, and has control over the number and type of such licenses issued, as determined by public convenience and advantage.

The Annual Report of the State Liquor Authority includes data on types of licenses in effect, disciplinary actions taken, and other activities.

## **Alcoholism and Alcohol Abuse, Division of**

See: Alcoholism and Substance Abuse Services, Office of

## **Alcoholism and Substance Abuse Services, Office of**

1450 Western Avenue  
Albany, New York 12203-3526  
Arlene González-Sánchez, Commissioner

**Contact:** Jannette Rondó, Office of Public Information and Communications  
(518) 457-8299  
Web Site: [www.oasas.ny.gov](http://www.oasas.ny.gov)

The Office of Alcoholism and Substance Abuse Services (OASAS) funds, certifies, and regulates the state's system of chemical dependence treatment, prevention, recovery, and gambling services, including the direct operation of twelve Addiction Treatment Centers (ATCs). Statewide, OASAS certifies over 900 chemical dependence treatment programs, with an average daily census of approximately 100,000 patients. OASAS inspects and monitors these programs to ensure high quality of care through compliance with state and national standards. OASAS also provides credentials to addiction professionals working in treatment, prevention, and gambling programs.

OASAS's treatment system offers the following levels of person-centered care to meet the needs of each individual: crisis, intensive residential, community residential, inpatient, outpatient, and opioid treatment. The OASAS-operated Addiction Treatment Centers offer inpatient treatment for individuals with substance use and/or problem gambling disorders. Some ATCs also provide services for special populations such as parents and their children, the Deaf and Hard of Hearing, and individuals with traumatic brain injury (TBI). OASAS supports a comprehensive prevention system through more than 180 programs based in schools and communities and promotes public awareness and citizen involvement through community-based groups. Prevention providers deliver a wide range of services such as evidence-based education programs; skills development workshops; training sessions for parents, teachers, and other professionals; and positive alternative activities for youth.

OASAS recognizes that recovery from addiction extends beyond treatment and abstinence to a lifelong process of improved health, wellness, and quality-of-life, and a reintegration with family and community. Using a recovery-oriented approach in treatment sets the stage for this lifelong process. After individuals complete treatment, OASAS continues to support them through the operation of Recovery Support Centers, recovery coaching, funding for

permanent supportive housing, and continued policy development to address their various needs.

OASAS partners with other state agencies to address the addiction prevention, treatment, and recovery needs of New Yorkers. For example, the agency collaborates with the Department of Health (DOH) and Office of Mental Health (OMH) to ensure that appropriate behavioral and physical health care is available to New Yorkers. OASAS also works with state criminal justice agencies, including the Division of Criminal Justice Services (DCJS) and Office of Court Administration (OCA), on treatment alternatives to incarceration.

### **Publications:**

OASAS Statewide Comprehensive Plan 2013-2017  
Published: Annually

Local Services Plan Guidelines for Mental Hygiene Services  
Published: Annually

## **Arts, Council on the**

300 Park Avenue South, 10th Floor  
New York, New York 10010  
Aby Rosen, Chairman

**Contact:** Jackie Snyder, Interim Executive Director  
(212) 459-8800  
Web Site: [www.arts.ny.gov](http://www.arts.ny.gov)

Established in 1960, the Council on the Arts is a state and federally funded agency that provides support toward the activities of not-for-profit organizations in New York State to help bring high-quality artistic performances and programs to the state's residents. The Council has been directed by the Legislature to maintain "*the paramount position of this State in the nation and the world as a cultural center*" through its support of programs and activities of not-for-profit arts and cultural organizations.

The Council meets its grant-making goals by inviting applications from eligible not-for-profit organizations (as described in the Council's Program Guidelines) for local assistance funds to provide "cultural services" to the people of New York State, and by contracting for provision of those services with grant recipients.

The Council's arts discipline program areas include Architecture + Design/Facilities, Arts Education, Dance, Electronic Media and Film, Folk Arts, Individual Artists, Literature, Museum, Music, Presenting, Special Arts Services, State and Local Partnerships/Decentralization, Theatre, Visual Arts, and Regional Economic Development Councils.

Among its programs is Decentralization, which awards grants to designated arts organizations throughout the state acting as regranteeing sites for the Council. Those organizations, in turn, regrant funds through a local decision-making process unique to each site. Through this annual program of local grant-making, the Council is able to help support the work of community-based organizations and artists that provide arts and cultural programs on a local or neighborhood basis.

NYSCA's annual funding report and its annual Program Guidelines are available on the Council's Web site ([www.arts.ny.gov](http://www.arts.ny.gov)).

## Attorney General, New York State Office of the

State Capitol  
Albany, New York 12224

120 Broadway  
New York, New York 10271  
Eric T. Schneiderman, Attorney General

**Contact:** Toll Free Number: 800-771-7755  
Web Site: [www.ag.ny.gov](http://www.ag.ny.gov)

Jennifer Ticknor, Director of Correspondence  
[Jennifer.Ticknor@ag.ny.gov](mailto:Jennifer.Ticknor@ag.ny.gov)

Shawn Morris, Director of Public Information  
[Shawn.Morris@ag.ny.gov](mailto:Shawn.Morris@ag.ny.gov)

The New York State Attorney General serves as one of the four statewide officials elected by popular vote. The Attorney General is authorized to appoint the state's Solicitor General, and may also appoint deputy and assistant attorneys general as they are deemed necessary.

As head of the Office of the Attorney General, the Attorney General is both the "People's Lawyer" and the state's chief legal officer.

As the "People's Lawyer," the Attorney General serves as the chief guardian of the legal rights of the citizens of New York, its businesses, and its natural resources. In his role as the state's chief legal counsel, the Attorney General is responsible for supervising the state government's legal affairs. The Attorney General not only advises the executive branch of state government, but also prosecutes and defends all actions and proceedings on behalf of, and against, the state.

The Attorney General serves all New Yorkers in numerous matters affecting their daily lives. The Attorney General's Office is charged with myriad statutory and common law duties and has concomitant enforcement powers. The Attorney General protects consumers and investors against fraud, protects the public health and the environment against polluters, enforces state civil rights laws, and works to ensure that the rights of wage earners and businesses across the state are not unlawfully abridged. In addition, the Attorney General ensures the integrity of public institutions through vigorous enforcement of ethics law, prosecution of violations of the public trust, and thorough reviews of the expenditure of public funding.

The Attorney General also commences certain statewide criminal prosecutions and directs the activities and investigations of the Organized Crime Task Force and the Medicaid Fraud Control Unit. While the Attorney General acts independently of the governor, there are cases in which the governor may direct the Attorney General to undertake specific criminal investigations and prosecutions.

### Select Publications List:

Consumers' Guides to the Lemon Law

Buying a Car

Debt: Managing the Overload

Be a Savvy Consumer

Avoid Foreclosure and Rescue Scams

Housing Guide for Seniors

Identity Theft: How to Protect Yourself

Identity Theft Kit: What to Do If You've Been Victimized

Smart Seniors

Your Child's D.I.G.I.T.A.L. Life — Safety Tips for Parents

Stay Safe Online: Tips for Teens

Tenants' Rights Guide (English and Spanish)

Immigration Services Fraud: Know Your Rights!

Tips for Hiring a Contractor

For a complete list of downloadable publications, go to [www.ag.ny.gov/oagpublications](http://www.ag.ny.gov/oagpublications) or call 1-800-771-7755.

## Audit and Control, Department of

See: State Comptroller, Office of the

## Banking Department, New York State

Merged with the New York State Insurance Department as of October 3, 2011, and is now known as the New York State Department of Financial Services.

## Budget, Division of the

State Capitol  
Albany, New York 12224  
Robert F. Mujica, Director

**Contact:** Morris Peters, Press Officer  
(518) 473-3885  
Web Site: [www.budget.ny.gov](http://www.budget.ny.gov)

The Division of the Budget is responsible for preparation of the Executive Budget, including development of the state's financial plan, and for execution of the budget throughout the year.

Estimates of state receipts by source and recommended appropriations and disbursements for the coming fiscal year are published annually in the Governor's Budget Message, issued in January. This publication also includes revised estimates for the current fiscal year, actual figures for the preceding year, and information on state debt and special funds.

Quarterly Financial Plan Updates, published at the conclusion of each quarter of the state fiscal year, contains similar financial data, reflecting actual experience of the fiscal year, and actions taken by the Legislature subsequent to the enactment of the budget.

The Division also assembles and analyzes data on intergovernmental finances and programs, participates in the negotiation and administration of collective bargaining agreements, and coordinates the administration of the Governmental Accountability, Audit and Internal Control Act of 1987.

## **Business Permits and Regulatory Assistance, Office of**

See: Regulatory Reform, Governor's Office of

## **Central New York Regional Transportation Authority**

Warren H. Frank Center for Public Transportation  
P.O. Box 820  
Syracuse, New York 13205-0820  
Brian M. Schultz, Chairman of the Board

**Contact:** Frank Kobliski, Executive Director  
(315) 442-3333  
Web Site: [www.centro.org](http://www.centro.org)

The Central New York Regional Transportation Authority (CNYRTA) was created by state legislations in 1970 to revitalize local public transportation in its region. By the mid-1980s, the system was honored as one of the best mid-sized transit systems in North America. The CNYRTA was again informed by the American Public Transportation Association (APTA) that it was named Best Mid-Sized Transit System in North America for 2006. The CNYRTA now serves four counties: Onondaga, Cayuga, Oswego (original members), and Oneida, which joined the CNYRTA on April 1, 2005, to facilitate the takeover of services provided by two distressed public transit operations. Cities served now include Syracuse, Auburn, Oswego, Fulton, Utica, and Rome.

The CNYRTA operates two new transit hubs, one each in Syracuse and Utica, which serve as the centerpiece of bus services in those communities. The Authority also operates the William F. Walsh Regional Transportation Center in Syracuse, an intermodal transportation hub for Greyhound, Trailways, Amtrak, and the CNYRTA's local and regional "Centro" bus services. Approximately one million travelers pass through the center each year.

In an effort to improve air quality in Central New York, the CNYRTA began operating compressed natural gas (CNG) vehicles in the early 1990s and currently operates 103 full-sized CNG transit buses (more than 70 percent of its full-sized fleet in Onondaga County). The CNG fueling station, the largest of its kind in New York State, provides both indoor fueling capabilities for Centro's CNG fleet as well as an outdoor facility available for public use.

The CNYRTA's paratransit system, Call-A-Bus, was a pioneer in providing services for the disabled and has been progressive in meeting the Americans with Disabilities Act (ADA) regulations. Call-A-Bus coordinates services with community agencies and receives funding from the Onondaga County Office of the Aging to provide service not required by the ADA mandate.

## **Children and Family Services, Office of**

52 Washington Street  
Rensselaer, New York 12144  
(518) 473-7793  
(518) 486-7550 (Fax)

New York City Executive Office  
80 Maiden Lane, 24th Floor  
New York, New York 10038  
(212) 383-1823

Sheila J. Poole, Acting Commissioner

**Contact:** Office of Communications  
(518) 402-3130  
E-mail: [info@ocfs.ny.gov](mailto:info@ocfs.ny.gov)  
Web Site: [ocfs.ny.gov](http://ocfs.ny.gov)

The Office of Children and Family Services (OCFS) was officially created on January 8, 1998, by merging the programs of the former state Division for Youth, the developmental and preventive children and family programs administered by the former state Department of Social Services, and the Commission for the Blind and Visually Handicapped.

OCFS was created to improve the integration of services for New York State's young people, its vulnerable adult populations, and families in need of strengthening; to promote their development; and protect them from violence, neglect, abuse, and abandonment. The agency provides a system of family support, juvenile justice, and child welfare services with a funding level of \$3.8 billion for programs that support the safety and well-being of children and adults.

OCFS has wide-ranging responsibilities for the provision of services to children, youth, families, and vulnerable adults. The agency is responsible for programs and services involving foster care, adoption, and adoption assistance; child protective services, including operating the Statewide Central Register of Child Abuse and Maltreatment; preventive services for children and families; child care and referral programs; and protective programs for vulnerable adults. Additionally, OCFS, through its Division of Juvenile Justice and Opportunities for Youth, is responsible for the state's juvenile justice programs, administering and managing eleven residential facilities, one reception center and treatment program services provided within the facilities, and Community Multi Service Office (CMSO) programs for youth remanded to the agency's custody by family and criminal courts. The agency also supports and monitors detention, aftercare, and a range of community-based programs. OCFS also coordinates, in part, the state government response to the needs of Native Americans and their children on reservations and in communities.

Prevention and treatment efforts are joint ventures with local and county government, supported by federal, state, county, and municipal funds, as well as private contributions. OCFS provides technical and financial assistance to agencies involved in community youth programs and monitors activities of voluntary child-care and detention agencies in New York State.

The agency divides its responsibilities into two main areas: program and support. The program divisions/offices include: Division of Child Welfare and Community Services, Division of Juvenile Justice and Opportunities for Youth, Close to Home Oversight and Improvement, Division of Child Care Services, and the New York State Commission for the Blind. The support divisions/offices include: Division of Administration, Division of Legal Affairs, Office of Communications, Office of Strategic Planning and Policy Development, Office of Special Investigations, and Office of Equal Opportunity and Diversity Development.

Various OCFS divisions maintain regional offices (see [ocfs.ny.gov](http://ocfs.ny.gov)) throughout the state to support agency programs and partnerships with stakeholders and providers.

### **Child Welfare and Community Services (CWCS) Regional Offices**

The Division of Child Welfare and Community Services (CWCS) regional offices provide oversight to local districts and voluntary agencies through (1) monitoring compliance with OCFS regulations, (2) reinforcing good practice standards, and (3) improving district/agency capacity to achieve positive outcomes for children and families.

The following list provides information for the CWCS regional offices and Native American Services:

#### **Albany Regional Office**

52 Washington Street, 115 South Building  
Rensselaer, New York 12144  
Phone (518) 486-7078  
Fax (518) 486-7625  
Kerri Barber, Director

Serving the counties of: Albany, Clinton, Columbia, Delaware, Essex, Franklin, Fulton, Greene, Hamilton, Montgomery, Otsego, Rensselaer, Saratoga, Schenectady, Schoharie, Warren, and Washington, Saint Regis Mohawk Reservation

#### **Buffalo Regional Office**

295 Main Street  
Ellicott Square Building  
Suite 545, 5th Floor  
Buffalo, New York 14203  
Phone (716) 847-3145  
Fax (716) 847-3742

Dana Whitcomb, Acting Director  
Serving the counties of: Allegany, Cattaraugus, Chautauqua, Erie, Genesee, Niagara, Orleans, Wyoming

#### **New York City Regional Office**

80 Maiden Lane, 24th Floor  
New York, New York 10038  
Phone (212) 383-1788  
Fax (212) 383-1339  
Raymond Toomer, Director  
Serving: Bronx, Brooklyn, Manhattan, Queens, Staten Island

#### **Rochester Regional Office**

259 Monroe Avenue  
Monroe Square, 3rd Floor  
Rochester, New York 14607  
Phone (585) 238-8201  
Fax (585) 238-8289  
Karen Buck, Acting Director  
Serving the counties of: Chemung, Livingston, Monroe, Ontario, Schuyler, Seneca, Steuben, Wayne, Yates

#### **Spring Valley Regional Office**

11 Perlman Drive  
Spring Valley, New York 10977  
Phone (845) 708-2498  
Fax (845) 708-2445  
Yolanda Désarmé, Director  
Serving the counties of: Dutchess, Nassau, Orange, Putnam, Rockland, Suffolk, Sullivan, Ulster, Westchester

#### **Syracuse Regional Office**

The Atrium Building, 3rd Floor  
100 S. Salina Street, Suite 350  
Syracuse, New York 13202

Phone (315) 423-1200

Fax (315) 423-3960

Sara Simon, Director

Serving the counties of: Broome, Cayuga, Chenango, Cortland, Herkimer, Jefferson, Lewis, Madison, Oneida, Onondaga, Oswego, St. Lawrence, Tioga, Tompkins

#### **Native American Services**

295 Main Street, Suite 545  
Buffalo, New York 14203  
Phone (716) 847-3123  
Fax (716) 847-3812

Heather LaForme, Acting Director

Serving the nine tribal communities across New York State

#### **Close to Home Oversight Office**

52 Washington Street, Room 327 North  
Rensselaer, New York 12144  
Phone (518) 474-9897  
Phone (212) 383-1983 – NYC  
Fax (212) 383-2512 – NYC  
Nina Aledort, Associate Commissioner

#### **Office of Strategic Planning and Policy Development**

The Office of Strategic Planning and Policy Development prepares a variety of statistical reports on children, youth, and families served. These include program data, population projections, placement trend analyses, and profiles of those served.

#### **Statistical Series**

Division of Juvenile Justice and Opportunities for Youth (DJJOY)  
“Youth In Care” Annual Report: Client characteristics including demographic and legal attributes of youth admitted, discharged, and in care at the end of the year in residential and nonresidential programs serving youth in OCFS custody.

Frequency: Annually

Area covered: New York State

Published: 1978 to present

Monitoring and Analysis Profiles: Data on child welfare programs of Child Protective Services, Preventive, Foster Care, and Adoption. This report includes demographic information on children in the care and custody of local departments of social services.

Frequency: Annually

Area covered: New York State

Published: 1989 to present

Comprehensive Report of Children and Youth in the Custody of Local Departments of Social Services (LDSS) or OCFS: Admissions, discharges, and in-care counts plus selected demographic data for current quarter and compared to same quarter last year.

Frequency: Quarterly

Area covered: New York State, New York City, Upstate

Published: 1998 to present

#### **Children and Families, Council on**

52 Washington Street  
Suite 99, West Building  
Rensselaer, New York 12144-2735  
Deborah Benson, Executive Director

Contact: Renee Nasadoski

518-473-3652

Web Site: [www.ccf.ny.gov](http://www.ccf.ny.gov)

The Council on Children and Families, established in 1977 and administratively merged with the New York State Office of Children and Family Services in 2003, is authorized to coordinate the state health, education, and human services systems as a means to provide more effective systems of care for children and families. For over thirty years, the Council has served as the organization within state government where the heads of all state agencies serving children and families in New York convene to spearhead cross-systems approaches for improving the effectiveness and efficiency of service delivery systems; consider new or emerging service needs; and promote coordinated, rational, and consistent policies toward children and families. The essence of the Council's work remains true to the original intent: to be a neutral body within state government capable of negotiating solutions to inter-agency issues.

The Council's current initiatives are organized by three strategic goals that inform and guide interagency policy development and implementation: Children and families have access to a coordinated and effective array of strengths-based supports and services; children residing in or at risk of out-of-home placement have access to a high-quality, coordinated system of care; and information required to plan and develop coordinated services for children and families is readily available.

The Council is a member of the state-level KIDS COUNT network, supported with funding by the Annie E. Casey Foundation. Producing an annual New York State Touchstones/KIDS COUNT data book with county-level data from numerous state agencies and special reports focusing on emerging topics, the Council advances the use of children's health, education, and well-being indicators as a tool for policy development, planning, and accountability. The Council's newly redesigned Kids' Well-being Indicators Clearinghouse (KWIC) Web site ([www.nyskwic.org](http://www.nyskwic.org)) furthers data dissemination efforts by giving data users the ability to create custom tables, bar charts, line graphs, and maps with the indicators presented in these books.

#### **Publications:**

New York State Touchstones/KIDS COUNT 2013 Data Book. Rensselaer, NY: Council on Children and Families. (This is the Council's tenth edition of county-level, child well-being data from the health, education, and human service agencies serving children and families in New York State.)

### **Civil Service, Department of**

Albany, New York 12239

Lola Brabham, Executive Deputy Commissioner

**Contact:** Public Information Office  
(518) 457-9375  
Web Site: [www.cs.ny.gov](http://www.cs.ny.gov)

The New York State civil service system, founded in 1883, is the oldest state system in the country. Its rules are promulgated by the three members of the quasi-judicial State Civil Service Commission. The Commission hears and acts on appeals concerning examination qualifications and ratings, position classifications, salary levels, disciplinary actions, and the use of preferred lists. It also reviews requests for reinstatement of employees who have been absent for more than one year and for reemployment of retirees.

Under State Civil Service Law, the president of the Commission also serves as head of the State Department of Civil Service, which is the central personnel agency for the departments and agencies in the executive branch of state government. The

Department is responsible for recruiting and testing new applicants for jobs in the state government work force as well as testing candidates for promotion within state service. The Department also compiles information about the composition of the state work force.

Other duties of the Department include: determining titles, salary levels, and qualification requirements for positions in the classified service of the state; providing technical advice and assistance, examinations, and other personnel-related services to the state's ninety-seven municipal civil service agencies; and administering health, dental, accident, and life insurance programs for state employees and retirees.

#### **Publications (all available online and updated as necessary):**

How to Take a Written Test

Disqualification and Use of Background Investigations

Getting a Job with New York State

How to Conduct a Job Interview

Information for Survivors of New York State Employees

Manual for Administrative Law Judges and Hearing Officers

Manual of Procedure of Disciplinary Actions

Merit System Guide for Managers

Police Officers, Understanding the Appointment Process

Separation from State Service: Information for Exempt Class Employees

Summary of New York State Civil Service Law

Workers With Disabilities Program. Questions and answers about obtaining state employment through Sections 55-b (nonveterans) and 55-c (wartime veterans) of the Civil Service Law

### **Commerce Department, New York State**

See: Empire State Development

### **Consumer Protection Board, New York State**

Merged with the New York State Department of State as of April 1, 2011, and is now known as the New York State Department of State's Division of Consumer Protection.

### **Correction, Commission of**

80 South Swan Street, 12th Floor

Albany, New York 12210

Thomas A. Beilein, Chairman

Phyllis Harrison-Ross, M.D., Commissioner

Thomas Loughren, Commissioner

**Contact:** Janine Kava  
Director of Public Information  
New York State Division of Criminal Justice Services  
(518) 457-8828  
Web Site: [www.scoc.ny.gov](http://www.scoc.ny.gov)

The New York State Commission of Correction, by legislative mandate, is empowered to oversee and regulate state prisons, county jails, and local police departments, including facilities within the

City of New York — over 400 facilities in all. The specific powers and duties of the Commission are delineated in Article 3 of the New York State Correction Law.

The Commission is comprised of three members appointed by the governor, one of whom is designated chair. The other commissioners respectively chair the Citizens Policy and Complaints Review Council (CPCRC), which reviews grievances and complaints against correctional facilities, and the Medical Review Board (MRB), which investigates inmate deaths and oversees health care services.

The Commission serves as a central repository for information on the correctional system in New York State. As such, the Commission collects annual admission data from: all county correctional departments; city, town, and village police lockups; New York City Department of Correction; New York City Police Department precinct lockups; and the New York State Department of Correctional Services for state prison inmates.

#### **Statistical Series:**

**County Jail Population Count and Percent of Occupancy:** A one-day picture, county by county, of inmate populations and percent of occupancy (excluding New York City facilities).

Frequency: Monthly

Published: 1986 to present

**Statewide Compilation of Admission to City, Town and Village Police Department Lockups:** Presents data received from police department lockups (outside New York City) on their number of admissions and cell capacity.

Frequency: Yearly

Published: 1977 to present

**Statewide Compilation of Data from Sheriffs' Annual Reports:** Presents data received from county correctional facilities (outside New York City) on the number of admissions and discharges; length of stay; and admissions by demographic characteristics including race, age, and ethnic origin.

Frequency: Annually

Published: 1968 to present

#### **Other Publications:**

**Annual Report of the New York State Commission of Correction:** Presents a narrative overview of the activities and accomplishments of the Commission. It reports on major field evaluations; approved construction/renovation projects; county correctional personnel trained and/or certified; compliance/noncompliance with promulgated minimum standards; status of creating and enhancing existing standards; review of current legislation; and an overview of inmate mortalities across all of New York State's correctional jurisdictions.

Frequency: Annually

Published: 1977 to present

**Classification and Housing of Inmates in County Correctional Facilities:** Presents background, impact, and monitoring of amendments to the Classification Law (as enacted in August of 1984) to the classification and housing of inmates in county correctional facilities.

Frequency: Annually

Published: 1985 to present

## **Corrections and Community Supervision, Department of**

1220 Washington Avenue

State Office Campus, Building 2

Albany, New York 12226-2050

Anthony J. Annucci, Acting Commissioner

**Contact:** David Aziz, Director of Program Planning,

Research, and Evaluation

(518) 408-0424

Web Site: [www.doocs.ny.gov](http://www.doocs.ny.gov)

The Department of Corrections and Community Supervision (DOCCS) is responsible for the confinement and habilitation of approximately 54,000 offenders held at fifty-four facilities, including the 865-bed Willard Drug Treatment Campus. The Department's mission is to improve public safety by providing a continuity of appropriate treatment services in safe and secure facilities where offenders' needs are addressed and they are prepared for release, followed by supportive services under community supervision to facilitate a successful completion of their sentence. The Department publishes summary statistics on an annual, quarterly, and monthly basis on the social and legal characteristics of offenders under its jurisdiction and movement of offender populations. In addition, the Department publishes a series of research studies annually. An annotated listing is available on request.

#### **Publications:**

**Shock Incarceration Program Legislative Report**

This report to the Legislature, which was prepared jointly with the former Division of Parole, presents an overview of the program's development and operation as well as statistical data on the screening process, disciplinary process, educational programs, and other program components.

**Shock Incarceration Program Legislative Report — Summary**

This report is an abridged version of the Shock Incarceration Program Legislative Report.

**Impact of 2009 Drug Law Reform on Shock Incarceration in New York State**

The 2009 reforms to New York's drug laws resulted in a number of modifications to the state's Shock Incarceration program. This report documents those changes, which allow older offenders and those with longer sentences into the program but also divert more drug offenders from prison, thereby reducing the total pool of program participants.

**Semiannual Legislative Report on Earned Eligibility Program:**

April 2014-September 2014

The Legislature mandates two semiannual reports on the Earned Eligibility Program. This semiannual report provides a statistical overview of the Earned Eligibility Program for the six month period from April 2014 through September 2014. The report focuses on inmates evaluated for a Certificate of Earned Eligibility prior to their initial hearing.

**Merit Time Program Summary**

In 1997, the Legislature authorized the creation of the Merit Time Program. It allows for inmates who are serving prison sentences for certain nonviolent crimes to earn a possible reduction of the minimum term if they have achieved certain significant programmatic objectives and have not engaged in any serious disciplinary

infractions. The first Merit Time cases appeared before the Board of Parole in October 1997. This report provides a statistical overview of the Merit Time Program.

**Comprehensive Alcohol and Substance Abuse Treatment (CASAT) Program Legislative Report for 2014**

After briefly summarizing the CASAT program's history since 1990, this report focuses on program trends from 1996-2014.

**Psychological Screening Program for Correction Officer Applicants: Legislative Report for 2013**

This statutorily mandated annual report reviews the operation of the psychological screening program for correction officer candidates in the past year.

**2010 Releases: Three Year Post Release Follow-Up**

The subject of this report is a population of 24,605 inmates released in 2010 from facilities of the New York State Department of Correctional Services (DOCS). Each case was followed for a period of three years to determine the number returned to Department custody. For purposes of comparison, similar release cohorts for 1985-2010 are also analyzed.

**Targeted Programs**

An analysis of the impact of prison program participation on community success.

**Follow-up Study of Offenders Who Earned High School Equivalency Diplomas While Incarcerated in DOCS**

The purpose of this study was to examine the effect of earning a high school equivalency diploma while incarcerated on the rate of return to prison.

**Overview of Inmate Population Under Custody on January 1, 2014**

This report presents a profile of the inmate population. Included is information on age, gender, race/ethnicity, county of commitment, birthplace, marital status, religious affiliation, crime, sentence length, prior criminal record, and other variables.

**Admissions and Releases: 2013**

This report presents a preliminary look at the volume of inmates admitted to and released from the custody of DOCCS by month for calendar years 2012 and 2013.

**Statistical Overview: 2013 Court Commitments**

This report presents the legal history and demographic characteristics of new commitments received during calendar year 2013.

**Statistical Overview: 2013 Discharges**

This report describes characteristics of inmates discharged from the Department during calendar year 2013. The tables present information on length of time served in custody as well as demographic data.

**Unusual Incident Report: January-December 2013**

This report provides descriptive information on the number and type of reported unusual incidents (e.g., assault, fire, attempted suicide, death, and escape) that occurred in New York State correctional facilities.

**Inmate Escape Incidents: 2009-2013**

This report provides descriptive information on incidents of escape from the correctional facilities of the New York State Department of Corrections and Community Supervision between 2009 and 2013. The report also presents information on demographic characteristics and legal history characteristics of escapees.

**Inmate Mortality Report: 2009-2012**

This report examines the 501 inmate deaths that occurred in the Department of Correctional Services (DOCS) inmate population from 2009-12.

**Inmate Suicide Report: 2004-2013**

This report examines characteristics of 130 inmates who committed suicide in a Department of Correctional Services facility from 2004-13.

**Community Supervision Legislative Report**

This report summarizes data of offenders released from prison to community supervision, supervised on community supervision, revoked for violating their conditions of release, returned to prison for a new offense, and offenders under community supervision who were transferred out of state to be supervised.

**Summary of OCA Felony Processing: January-December 2013**

This report summarizes data by region from the Office of Court Administration Activity Report for indictments, felony disposition, pending disposition, and felony cases pending sentence by term for calendar years 2012 and 2013.

**New York State Felony Processing: January-December 2013**

This report summarizes data by region from the Division of Criminal Justice Felony Processing Report for arrests, indictments, dispositions, and convictions for calendar years 2012 and 2013.

**Parole Board Dispositions at DOCCS Facilities: 2013**

This report summarizes the release decisions of the Board of Parole at the Department of Corrections and Community Supervision correctional facilities in calendar years 2010 and 2013. Decision results are provided by month in the report.

**Identified Substance Abusers**

This report provides information on inmates under custody who have been identified as substance abusers.

**The Foreign-Born Under Custody Population and the Institutional Release Program**

This report provides data on the characteristics of the Department's foreign-born under custody population and describes the benefits accrued from the Department's collaboration with the Bureau of Immigration and Customs Enforcement to remove criminal aliens from the United States.

**Research in Brief: Department Procedures for Processing Criminal Aliens**

This report provides an overview of the procedures used to process criminal aliens under Department custody, from reception through adjudication in Immigration Court, and to release from Department custody.

**Research in Brief: Early Conditional Parole for Deportation Only (ECPDO)**

This research brief highlights the Early Conditional Parole for Deportation Only (ECPDO) Release Program, which is available to nonviolent felony offenders who, if granted an ECPDO, can be released to the custody of the Bureau of Immigration and Customs Enforcement prior to the completion of their minimum sentence, thereby creating cost savings without endangering public safety.

**Research in Brief: Televideo Deportation Hearings**

This report describes the use of televideo technology for conducting a large number of deportation hearings, which saves the

Department the cost of transporting inmates to the Immigration Court for in-person hearings.

#### The State Criminal Alien Assistance Program

This report profiles the State Criminal Alien Assistance Program (SCAAP), which has provided federal reimbursement to the states for a portion of the costs incurred as a result of incarcerating criminal aliens beginning in 1995.

#### Annotated Listing of Department of Corrections and Community Supervision on Female Commitments

The purpose of this updated listing is to briefly discuss the Department's research series on female offenders. Capsule summaries are provided of the Department's studies on female commitments.

#### Female Homicide Commitments

This report presents information on the demographic and legal characteristics of the female offenders committed to the Department of Corrections and Community Supervision for a homicide offense. This study is based on the analysis of information in individual case file folders and includes data on such factors as prior criminal involvement, accomplice involvement, victim characteristics, and evidence of domestic violence.

#### Female Offenders

This report provides a profile of female offenders under the supervision of the New York State Department of Corrections and Community Supervision. Descriptive statistics are presented for female offenders based on three cohorts of data: (1) inmates under custody, (2) inmates admitted, and (3) inmates released.

#### New York State's Victim Notification Program: A Statistical Summary — 2013

This report concerns the statutorily mandated Victim Notification Program of the New York State Department of Correctional Services. This annual report updates the Department's prior reviews of this program.

#### Temporary Release Program: 2013 Annual Report

This annual report prepared by the Division of Temporary Release provides an overview of the operation of the Department's Temporary Release program. Information is presented on the number of applicants, approvals at facility level, and approvals in Central Office.

#### Inmate Grievance Program: Semiannual Report for January-June 2011

This report prepared by the Division of Inmate Grievance profiles the operation of the Department's Inmate Grievance program in the first six months of 2011. Statistical information is provided on the number and type of grievances filed by facility.

#### Inmate Grievance Program: Annual Report — 2013

This annual report prepared by the Division of Inmate Grievance provides relevant statistics on the operation of the Department's Inmate Grievance Program in 2013. Similar to the preceding semiannual report, information is presented on the number and type of grievances filed by facility.

#### Report on Security Staffing 2013

This statutorily mandated report provides information about post closures, plot plan positions, and security overtime for correction officers and correction sergeants in New York State correctional

facilities for the period from October 1, 2012–September 30, 2013.

#### Reuse Reports

Adaptive Reuse Plan: Lyon Mountain Correctional Facility and Minimum Security Compound at Butler Correctional Facility  
This report, which was prepared jointly with the Department of Economic Development, addresses the potential reuse of Lyon Mountain Correctional Facility and the Minimum Security Compound at Butler Correctional Facility, both of which closed on January 31, 2011.

Adaptive Reuse Plan: Camps Gabriels, Pharsalia, and McGregor  
This report addresses the potential reuse of Camps Gabriels, Pharsalia, and McGregor, which closed July 1, 2009.

### Correctional Services, Department of

See Corrections and Community Supervision, Department of

The Division of Parole and Department of Correctional Services was merged into the Department of Corrections and Community Supervision in April 2011.

### Court Administration, Office of

See: Unified Court System, New York State

### Crime Victims Board

See: Victim Services, Office of

### Criminal Justice Services, Division of

Alfred E. Smith State Office Building  
80 South Swan Street  
Albany, New York 12210  
Michael C. Green, Executive Deputy Commissioner

**Contact:** Theresa Salo, Deputy Commissioner, Office of Justice Research and Performance  
(518) 457-7301  
Web Site: [www.criminaljustice.ny.gov](http://www.criminaljustice.ny.gov)

The mission of the Division of Criminal Justice Services (DCJS) is to enhance public safety and improve criminal justice. DCJS:

- Improves law enforcement performance through training, support, and technology.
- Supports the effective use of confinement, probation, diversion, and re-entry services for adults and juveniles through funding, technology, and evaluation.
- Maintains a criminal history repository; crime reporting database; Sex Offender Registry; and, in partnership with the New York State Police, the state's DNA Databank.

DCJS has a variety of core functions and responsibilities that support law enforcement, criminal justice professionals, and community providers across New York State. The agency's work touches every facet of the state's criminal justice system and helps ensure community safety.

DCJS maintains criminal history records and civil, criminal, and crime scene fingerprint files; performs background checks for employment and licensure; collects and analyzes statewide crime data; provides analytical and statistical information to the public and local law enforcement; and ensures Breathalyzer and speed enforcement equipment used by local law enforcement operate correctly. The agency administers the Gun Involved Violence Elimination (GIVE) initiative, designed to reduce firearm-related homicides and shootings, and, in cooperation with local law enforcement, oversees the operation of seven Crime Analysis Centers that operate across the state. DCJS administers the state's Sex Offender Registry, and coordinates juvenile justice policy and ensures New York State complies with federal mandates. The agency is home to the state's Missing Persons Clearinghouse, which administers alert programs and provides support that helps law enforcement better protect the state's vulnerable residents.

The agency provides direct training to law enforcement and other criminal justice professionals; administers the state's DNA Databank in cooperation with the New York State Police Forensic Investigation Center; oversees the state's forensic laboratories for quality assurance and compliance with state and federal standards; administers and manages criminal justice grant funds and a law enforcement accreditation program; oversees county probation departments, alternatives to incarceration programs, the interstate transfer of juvenile and adult probationers; and confirms that individuals are victims of human trafficking, which makes them eligible for services and assistance.

### Statistical Series

DCJS publishes a wide range of statistical reports that are available by region, county, and police agency on its Web site: [www.criminaljustice.ny.gov/crimnet/ojsa/stats.htm](http://www.criminaljustice.ny.gov/crimnet/ojsa/stats.htm), and include:

- Index Crimes
- Hate Crime Incidents
- Domestic Violence Victim Data
- Adult Arrests by County and Region
- Juvenile Arrests by County and Agency
- Juvenile Justice Trends
- Parolee and Probationer Arrests by County
- Dispositions of Adult Arrests by County and Region
- Law Enforcement Personnel
- Jail Populations Outside of New York City
- Probationer Felony Re-Arrest Rates Following Sentence to Probation
- Monthly Crime, Arrest and Firearm Activity Report
- Quarterly County Re-entry Task Force Program Activity

### DCJS Publications

DCJS publications also are posted to the agency's Web site: [www.criminaljustice.ny.gov/crimnet/pubs.htm](http://www.criminaljustice.ny.gov/crimnet/pubs.htm). Many of these publications are updated annually and include:

- Division of Criminal Justice Services Annual Performance Report
- Domestic Homicide in New York State Annual Report
- Hate Crimes in New York State Annual Report
- Missing and Exploited Children Clearinghouse Annual Report
- Motor Vehicle Theft and Insurance Fraud Prevention Annual Report
- Gun Involved Violence Elimination (GIVE) Annual Report
- Various research reports and program evaluations

In 2013, DCJS began participating in the New York State Open Data Portal ([data.ny.gov](http://data.ny.gov)). The following data sets are posted on Open Data and contain data through 2014:

- Index Crimes by County and Agency: Beginning 1990
- Index Violent Property and Firearm Rates by County Beginning 1990
- Law Enforcement Personnel by Agency Beginning 2007
- Adult Arrests by County Beginning 1970
- Jail Population Outside New York City Average Daily Census: Beginning 1997
- Sentences to Probation by County: Beginning 1970
- Criminal Justice Directory
- Approved Security Guard Schools
- NYS Law Enforcement Accredited Agencies
- Police Officer Memorial
- Probationers Under Supervision: Beginning 2006

### Demographic Research and Reapportionment, Legislative Task Force on

250 Broadway — 21st Floor

New York, New York 10007-2563

Senator Michael F. Nozzolio, Co-Chairman

Assemblyman Marcos A. Crespo, Co-Chairman

**Contact:** Frank Tassone, Co-Executive Director  
Karen Blatt, Co-Executive Director  
(212) 618-1100  
Web Site: [www.latfor.state.ny.us](http://www.latfor.state.ny.us)

The Legislative Advisory Task Force on Reapportionment was established by Chapter 45 of the Laws of 1978. It consists of six members, of whom two are appointed by the temporary president of the Senate, two by the speaker of the Assembly, and one each by the minority leader of the Senate and the Minority Leader of the Assembly.

After completion of the state's redistricting in 1983, the Legislature determined that the information system constructed by the Task Force could be of assistance to legislative committees and State agencies in mapping and data analysis projects. Chapter 45 of the Laws of 1978 was amended by Chapter 51 of the Laws of 1983 and the advisory task force was continued as the Legislative Task Force on Demographic Research and Reapportionment.

On April 1, 2000, the Census Bureau fulfilled its decennial responsibility to undertake a full enumeration of the nation's population. Under Public Law 94-171, enacted in 1975, the Census Bureau is required to send the governor and legislature of each state the results of the decennial census, within a one-year period, to be used for redistricting.

The 2000 reapportionment process was the most open in the state's history. The Task Force provided the general public, both individuals and organizations, with unprecedented access to this process. Maps, data, and other information were made available to interested parties on several media: paper, CD-ROM, and the Internet.

After preparing proposed plans for new Assembly and Senate districts, the Task Force held a series of public hearings throughout the state. Subsequently, Governor Pataki signed the legislative

districts into law as Chapters 35 and 38 of the Laws of 2002. The governor later signed the new Congressional districts into law as Chapter 86 of the Laws of 2002.

The Census Bureau reported that New York's population grew by 5.5 percent between 1990 and 2000, while the national population grew by 13.2 percent. As a result, the state lost two seats in the House of Representatives (from thirty-one seats to twenty-nine), as reported by the president of the United States. Each of New York's twenty-nine Congressional districts now contains approximately 654,000 people (an increase of 74,000).

Following the 2000 Census, the average population of an Assembly and Senate district increased by about 6,500 and 11,000, respectively (to approximately 126,500 per Assembly district and 306,000 per Senate district), due to the state's population gain. The State Constitution (Article III, section 2) stipulates 150 Assembly districts and (Article III, sections 2 and 4) outlines a formula for the determination of the number of State Senate districts. According to figures compiled in the 2000 census, implementation of this formula created a State Senate of sixty-two districts.

To facilitate the 2000 reapportionment, the Task Force developed a state-of-the-art geographic information system (GIS), composed of computer hardware, software, and a street-level database. The database contains census geography, political geography, and census data, all down to the block level. From this database, maps and associated demographic reports for any area of the state can be generated.

The Task Force maintains an online database of Census data state-wide and has developed a Demographic Information and Retrieval System (DIARS), which allows members of the Legislature and state agencies to access the data directly by telephone. Reports and studies can be produced on various topics and the Task Force has the capability of integrating the data with its mapping facilities.

The Task Force has also developed a Geographic Area Retrieval Program (GARP) to assist users in cross-referencing local geography and census areas. Based upon the Census Bureau's Topologically Integrated Geographic Encoding and Referencing (TIGER) file, GARP allows a user to determine the state legislative, Congressional, and school district, as well as census geography for any address within the State. GARP is also accessible by telephone. A description of capabilities and detailed information on DIARS and GARP may be obtained from the Task Force.

## **Publications**

2002 Atlas of New York State Congressional and Legislative Districts: State Senate and State Assembly: An 8-1/2" by 14" atlas of individual district maps created on the Task Force's computerized redistricting system. Also contains a demographic section that breaks down each district's population by race/Hispanic origin and voting age. Includes a CD-ROM.  
Price: \$50 plus shipping and handling

2002 Congressional and Legislative District Profiles: One volume each for State Assembly, State Senate, and United States Congress summarizing demographic and socioeconomic data as recorded in the 2000 Census of Population and Housing. Data include race, age, citizenship, ancestry, education, employment, household income, and home ownership, aggregated to the 2002 legislative district level. Includes a CD-ROM.  
Price: \$50 for the set, \$20 each for individual volumes, plus shipping and handling

The same publications are also available for the 1990 reapportionment process. For prices, please contact the Task Force.

## **Dormitory Authority of the State of New York (DASNY)**

515 Broadway  
Albany, New York 12207-2964  
Alfonso L. Carney, Jr., Chairman  
Gerrard P. Bushell, President and Chief Executive Officer

**Contact:** Marilyn D. Fountain, Assistant Director, Communications and Marketing  
(518) 257-3380  
Web Site: [www.dasny.org](http://www.dasny.org)

The Dormitory Authority of the State of New York (DASNY) is a public benefit corporation established in 1944 under the laws of the State of New York to finance and build dormitories at State teachers' colleges. Over the years, pursuant to statutory authorization, the Legislature has expanded DASNY's scope of responsibilities so that services provided for the State University of New York (SUNY) dormitory financing and construction programs account for less than 25 percent of current workload. In September 1995, the former Medical Care Facilities Finance Agency and Facilities Development Corporation were consolidated into DASNY. Today, DASNY is authorized to finance, design, construct, and rehabilitate facilities for use by a variety of public and private not-for-profit entities.

DASNY's mission statement is: "We commit to deliver exceptional service and professional expertise on every financing and construction project for our clients and the public, in a cost-effective manner, while advancing the policy goals of New York State."

DASNY is one of the top municipal bond issuers in the country and delivered more than \$4.7 billion in bonds in fiscal year 2014-15, and ended the same period with an outstanding bond portfolio of \$45.7 billion. In addition, DASNY had a total construction workload of 1,018 projects valued at more than \$6.1 billion as of March 31, 2015, making DASNY one of the largest public building construction authorities in the nation. Expenditures on projects for which DASNY provided construction services during the fiscal year totaled \$743.5 million.

Today, DASNY finances and builds facilities for health care, higher education, government, and certain not-for-profit and public institutions so they may furnish their vital services to New Yorkers. These facilities include public and private university academic and residential buildings, hospitals, laboratories, medical research facilities, nursing homes, art galleries, libraries, performing arts centers, adult homes, school districts, special education schools, primary care facilities, mental hygiene facilities, and court facilities.

DASNY provides services for: the City University of New York; the State University of New York; community colleges; facilities for the New York State Departments of Health, Education, and Mental Hygiene; New York City Health and Hospitals Corporation; court facilities for cities and counties; independent colleges and universities; long-term care facilities; not-for-profit hospitals; not-for-profit agencies providing mental health services; and other public and not-for-profit institutions authorized by statute.

DASNY carries out its programs through an eleven-member Board, a full-time staff of approximately 500, along with independent

bond counsel and other outside advisers. Five Board members are appointed by the governor, with the advice and consent of the Senate, to terms of three years. The state comptroller, the temporary president of the Senate, and the speaker of the Assembly each appoint a member, and the director of the budget and commissioners of health and education serve *ex officio*. Members serve without compensation, but are entitled to reimbursement for necessary expenses.

Statistics regarding DASNY's programs and activities are published in its Annual Report and are available at [www.dasny.org](http://www.dasny.org).

## Economic Development, Department of

See: Empire State Development

## Education Department

State Education Building  
Albany, New York 12234  
MaryEllen Elia, Commissioner

### Contact: P-12 Education:

Kathleen Moorhead, Executive Director  
Office of Information and Reporting Services  
(518) 474-7965  
E-mail: [dataquest@mail.nysed.gov](mailto:dataquest@mail.nysed.gov)

### Higher Education:

Leigh Mountain, Associate in Education Research  
Office of Information and Reporting Services  
(518) 474-5091  
E-mail: [heds@mail.nysed.gov](mailto:heds@mail.nysed.gov)

Web Site: [www.nysed.gov](http://www.nysed.gov)

The Education Department has overall coordinating responsibility for all public and private systems of elementary, secondary, and higher education in the state and provides oversight and technical assistance to New York's museums, libraries, historical societies, and historic records repositories. Additionally, it administers the New York State Museum, New York State Library, and New York State Archives as well as several smaller cultural programs. The Department's Office of Information and Reporting Services provides comprehensive statistical information on request as well as standardized reports available on the Department's Web site, [www.p12.nysed.gov/irs/](http://www.p12.nysed.gov/irs/).

The Office of Information and Reporting Services also records long-term trends in elementary and secondary enrollment, number of schools and school districts, high school graduates, and in many other areas of interest. Higher education enrollments and degrees awarded are reported by the Higher Education Office of Research and Information Systems (ORIS). Data concerning school revenues and expenditures are provided by the State Aid Unit of the State Education Department.

### Elementary and Secondary Education

New York State School Report Card: Since 1997 the Department has produced a Public School Report Card for all public schools. The Report Cards are distributed in late winter of each school year and contain accountability, assessment (testing), dropout, attendance, suspension, enrollment, and high school graduation data. School Report Cards, as well as educator data, may be viewed

at the Department's public data access site, [data.nysed.gov](http://data.nysed.gov). School report card data prior to the 2011 school year are available at [reportcards.nysed.gov/](http://reportcards.nysed.gov/).

Directory of Public and Nonpublic Schools and Administrators: This reference shows names, addresses, and telephone numbers of chief school officers and school principals based on the last completed school year. This document may be viewed on the Department's Web site at [www.p12.nysed.gov/irs/schoolDirectory/](http://www.p12.nysed.gov/irs/schoolDirectory/).

Education Statistics for New York State: The most recent year's Count of Schools by Grade Organization may be found on the Department's Web site at [www.p12.nysed.gov/irs/statistics/public/home.html](http://www.p12.nysed.gov/irs/statistics/public/home.html). This page also contains links to teacher, public school, nonpublic school, and school report card data and statistics.

### Higher Education

Information on colleges and universities is available through the Office of Research and Information Web site at [www.highered.nysed.gov/oris](http://www.highered.nysed.gov/oris) in table and/or file format for the following topics:

- Admission Information: Trend data on application, acceptance, and enrollment patterns of freshmen. Current data by racial/ethnic category and by academic preparation.
- Degrees Conferred: Degrees conferred by level of degree, gender, subject areas, and racial/ethnic category.
- Employees-Faculty: Counts of staff by type, gender, racial/ethnic category, rank, tenure, and salaries of full-time instructional faculty.
- Enrollment at Colleges and Universities: Enrollment by level of study, sector, gender, age, racial/ethnic category, student residence, transfer status, disability status, and institution.
- Graduation Rates: Cohort graduation rates of full-time, first-time, and transfer students at the associate and baccalaureate degree levels.
- Institutional Finances: Financial information on the state's colleges and universities.
- Student Charges: Tuition and fees and room and board.

### State Archives

Cultural Education Center  
Empire State Plaza  
Albany, New York 12230  
Thomas J. Ruller, Acting New York State Archivist  
and Chief Executive Office of the  
Archives Partnership Trust

**Contact:** Maria Holden, Archival Services  
(518) 474-6926  
E-mail: [archref@mail.nysed.gov](mailto:archref@mail.nysed.gov)  
Web Site: [www.archives.nysed.gov](http://www.archives.nysed.gov)

An office of the Education Department, the New York State Archives appraises, acquires, preserves, and provides access to historically valuable records from all three branches of state government. The Archives is also responsible for providing records advisory services to both state and local governments, and for advising and assisting archival programs throughout the state. Operational since 1978, the State Archives houses over 140,000 cubic feet of material dating from about 1632 to the present, with additional

records acquired at a rate of 3,000-4,000 cubic feet per year. Most of the records in the Archives are on paper; they include files, bound volumes, cartographic records, architectural documents, photographic prints, and computer printouts. Significant holdings are also contained on microfilm, videotapes, audiotapes, and photographic and motion picture film. The Archives also holds over 1.5 terabytes of electronic records, including archival copies of state government Web sites, digital photographs, e-mail messages, and databases, and is digitizing an ever-increasing number of its paper records and audiovisual holdings.

## Geological Survey

See: State Museum

## State Library

Cultural Education Center  
Empire State Plaza  
Albany, New York 12230

**Contact:** Carol Ann Desch, Coordinator  
of Statewide Library Services  
(518) 474-7196  
Web Site: [www.nysl.nysed.gov](http://www.nysl.nysed.gov)

The New York State Library is one of the nation's oldest libraries (1818). The work of the New York State Library results in higher quality library services for all New Yorkers. The State Library and its sister institutions, the State Archives and the State Museum, comprise the three major units within the Office of Cultural Education of the New York State Education Department.

The New York State Library's Research Library is a public research library for the government and the people of New York State. The Research Library's collection includes more than twenty million books, documents, maps, and other resources with an emphasis on New York history, genealogy, culture, law, medicine, business, certain pure sciences, and technology. The Library's collection supplements the holdings of all types of libraries throughout the state through interlibrary loan and document delivery services, responding to over 25,000 interlibrary loan requests each year.

New Yorkers with difficulty using regular printed materials, living in fifty-five upstate counties, enjoy free at-home access to digital audio and Braille books through the New York State Library's Talking Book and Braille Library. Additionally, New Yorkers statewide enjoy twenty-four-hour access to a powerful online catalog of information about the Library, its collections, and its services through the Internet.

The New York State Library sponsors NOVELNY [[novelnewyork.org](http://novelnewyork.org)], a collection of free online e-resources. NOVELNY gives New Yorkers access to the full-text of thousands of national and international newspapers and magazines, health and medical resources, business collections, and age-appropriate materials for students via the State Library and over 5,600 local school, public, and special academic libraries.

The State Library's Research Library helps the business community win business contracts for New York by providing access to quality resources including directory information, market research, industry standards, and city codes.

The State Library's Research Library also supports the work of the state's Legislature and state agencies. It provides a home to significant historical materials including the Preliminary Emancipation Proclamation of Abraham Lincoln and the farewell address of President George Washington. The New Netherlands Institute, housing the earliest records of the Dutch in the Americas, is part of the New York State Library.

The State Library is the only complete repository for federal publications in New York State. It is one of only eighty-four United States Patent Depository libraries in the entire nation and the only state library that is a member of the Association of Research Libraries.

The State Library's Division of Library Development promotes library development and improvement in the state to ensure educational, informational, vocational, and recreational library resources for all New Yorkers. The Division of Library Development, working in partnership with the statewide network of seventy-three state-funded library systems, brings cost-effective library services to the millions of people who use New York's 7,000 academic, public, school, and special libraries.

Statewide library literacy and learning programs, such as "Summer Reading at New York Libraries" (P-12) and "Ready to Read at New York Libraries" (Birth-Grade 3), serve over two million young New Yorkers and their families each year.

The Division of Library Development is a strong voice for library services at the local, state, and federal levels. Librarians, trustees, public officials, and community leaders depend on Library Development to help find new ways of making library services and resources available to people of all ages.

In addition to providing statewide leadership and expert advisory services, the Division of Library Development administers over \$100 million in state, federal, and private funds for statewide library services and programs. The Division of Library Development also coordinates:

- chartering (legal incorporation) and registration (licensing) of public libraries;
- collection and dissemination of information and data about libraries and library systems;
- statewide education, literacy, technology, conservation/preservation, and other library initiatives; and
- certification (licensing) of public librarians for employment in New York State.

Information and statistical data about libraries and library systems are available on the New York State Library's Web site at [www.nysl.nysed.gov/libdev/lib/index.html](http://www.nysl.nysed.gov/libdev/lib/index.html).

### Publications

Broadbandexpress@yourlibrary: Expanding Workforce Development and Digital Literacy Services in New York State Public Libraries; A Project Impact Report, [www.nysl.nysed.gov/libdev/nybbexpress/finalreport.pdf](http://www.nysl.nysed.gov/libdev/nybbexpress/finalreport.pdf).

Creating the Future: A 2020 Vision and Plan for Library Service in New York State: Recommendations of the New York State Regents Advisory Council on Libraries to the New York State Board of Regents, [www.nysl.nysed.gov/libdev/future/index.html](http://www.nysl.nysed.gov/libdev/future/index.html).

Find Your Public Library, [www.nysl.nysed.gov/libdev/libs/publibs/1pls.htm](http://www.nysl.nysed.gov/libdev/libs/publibs/1pls.htm).

The Importance of Summer Reading: Public Library Summer Reading Programs and Learning, [www.nysl.nysed.gov/libdev/summer/brief01.pdf](http://www.nysl.nysed.gov/libdev/summer/brief01.pdf).

Informational Brief: Academic Libraries: Essential to Student Success in an Ever Changing World, [www.nysl.nysed.gov/libdev/nyla/nycc\\_academic\\_library\\_brief.pdf](http://www.nysl.nysed.gov/libdev/nyla/nycc_academic_library_brief.pdf).

Informational Brief: Impact of Public Libraries on Students and Lifelong Learners, [www.nysl.nysed.gov/libdev/nyla/nycc\\_public\\_library\\_brief.pdf](http://www.nysl.nysed.gov/libdev/nyla/nycc_public_library_brief.pdf).

Informational Brief: Impact of School Libraries on Student Achievement, [www.nysl.nysed.gov/libdev/nyla/nycc\\_school\\_library\\_brief.pdf](http://www.nysl.nysed.gov/libdev/nyla/nycc_school_library_brief.pdf).

## State Museum

Cultural Education Center  
260 Madison Avenue  
Albany, New York 12230  
Mark Schaming, Assistant Commissioner and Director

**Contact:** Christine Ryan, Assistant to the Director  
(518) 474-5812  
Fax: (518) 473-8496  
Web Site: [www.nysm.nysed.gov](http://www.nysm.nysed.gov)

**Mission:** The New York State Museum serves the lifelong educational needs of New Yorkers and visitors through its collections, exhibitions, scholarship, programs, media, and publications in science, history, anthropology, and art. The Museum explores and expresses New York State's significant natural and cultural diversity, past and present.

The State Museum dates to 1836 when the State Geological and Natural History Survey was established. Building upon this strong scientific foundation, the Museum has grown into a major educational institution dedicated to documenting New York's rich artistic, social, historical, and scientific legacies. The State Museum is a program of the New York State Education Department under the New York State Board of Regents.

The Museum's direct services to the public include permanent and temporary exhibitions; free public programs for children, families, and adults; a variety of programs for school groups; and direct access to collections for researchers. The Museum's collections include approximately fifteen million artifacts and specimens that reflect over 170 years of research in the earth sciences, biology, and human history.

The State Museum's Research and Collections Division includes anthropology, biology, geology, and history offices:

**Anthropology** — Anthropological collections at the State Museum represent over 11,000 years of human history in what is now New York, from Ice Age hunters, to longhouse-dwelling farmers, to Dutch traders and colonists, to more recent shopkeepers, factory workers, and artists of diverse ethnic heritages.

**Cultural Resources Survey Program** — The Cultural Resources Survey Program provides research and assessment of archaeological and architectural resources for other state agencies. This

extensive program assists these agencies with their state and federal historic preservation mandates.

**Biology** — The Museum's Biological Collections include millions of specimens collected over more than two centuries of research. They record the rich diversity, complex biogeography, and change over time in New York's populations of mammals, birds, amphibians, reptiles, fish, mollusks, crustaceans, insects, vascular plants, fungi, mosses, and other living things.

**Geology** — Over one million specimens of rocks, minerals, and fossils are included in the State Museum's geological collections. The Mineralogy Collection constitutes the world's largest and most complete array of New York State minerals. From 3.5-billion-year-old bacteria to 20,000-year-old fossil fish, the Paleontology Collection comprises one of the five largest fossil collections in North America.

**Geological Survey** — The Geological Survey was established in 1836 by the New York State Legislature. The Survey maintains an inventory of geologic resources, conducts research, and produces a variety of geologic maps of New York.

**History** — The State Museum's vast history collections provide valuable information about the history and development of New York State. Special collections of artifacts include the Shaker Collection, the Weitsman Stoneware Collection, and the Decorative Arts Collection.

The State Museum's Exhibitions and Programs Division includes:

**Exhibitions** — The Exhibitions Office is responsible for planning, designing, and producing exhibitions at the State Museum. In addition to the Museum's ongoing exhibitions, there are new temporary exhibitions every year. Exhibitions are developed with the Museum's own extensive collections or traveled from renowned museums across the country.

**Public Programs and Education** — The Public Programs and Education Office is responsible for planning and developing free public programs for the Museum's visitors. The Museum offers approximately 200 free educational programs every year for children, families, and adults. The office is also responsible for managing visitor services support, including oversight of the Museum's Volunteer Office.

## Office of Educational Television and Public Broadcasting

Albany, New York 12230  
Vacant, Director

**Contact:** Director  
(518) 486-4837  
Web Site: [www.oce.nysed.gov/etvpb/](http://www.oce.nysed.gov/etvpb/)

### Vision

New York State will be a leader in applying public telecommunications resources towards educational improvement, student achievement, lifelong learning, and personal enrichment.

### Mission

To be a catalyst in connecting public broadcasting resources and practices to State Education Department (SED) priorities while building a strong and thriving statewide public broadcasting system.

## Goals

- To facilitate the creation of public broadcasting programs and services to meet SED priorities.
- To focus public broadcasting resources on unserved and underserved audiences to help close gaps in achievement and opportunity for all New Yorkers.
- To prove the value of public broadcasting through research and to encourage creative risk-taking.

## Elections, State Board of

40 North Pearl Street  
Suite 5

Albany, New York 12207

Peter S. Kosinski, Co-Chair

Douglas Kellner, Co-Chair

Robert A. Brehm, Co-Executive Director

Todd D. Valentine, Co-Executive Director

Risa Sugarman, Enforcement Counsel

**Contact:** John Conklin, Director of Public Information  
(518) 474-1953  
E-mail: [jconklin@elections.ny.gov](mailto:jconklin@elections.ny.gov)  
Web Site: [www.elections.ny.gov](http://www.elections.ny.gov)

The State Board of Elections, created in 1974 by an act of the New York State Legislature, has responsibility for the administration and enforcement of all election laws including campaign finance disclosure.

The Board certifies candidates for the ballot, prepares the form and content of ballot issues, and tabulates the vote for state offices for submission to the State Board of Canvassers.

The Board has extensive oversight functions with respect to local boards of election. It directs and monitors administrative procedures, promulgates rules and regulations, and adopts uniform forms prescribed by the Election Law.

The new Division of Election Law Enforcement investigates all violations of the Election Law and, if it finds reasonable cause to believe that a violation warranting criminal prosecution has occurred, it refers the case to the appropriate district attorney or the state attorney general.

With respect to campaign finance disclosure, the Board is the filing office for all financial statements relating to candidates for state office. As such, it audits the statements, establishes accounting procedures, and issues advisory opinions. The new Division of Election Law Enforcement refers violators to district attorneys or obtains fines through civil proceedings.

The Board is also involved in promoting voter registration in New York State. Currently, the Board is responsible for administering the agency-based registration program created pursuant to the National Voter Registration Act and the Help America Vote Act of 2002. The Board also maintains its 1-800-FOR-VOTE registration hotline, which callers may use to obtain voter registration forms. In addition, the Board provides voter registration supplies to groups and organizations throughout the state interested in conducting voter registration drives.

## Empire State Development

625 Broadway

Albany, New York 12245

Howard Zemsky, CEO, President, and Commissioner

**Contact:** James Held, Research Director  
(518) 292-5300  
Web Site: [esd.ny.gov](http://esd.ny.gov)

Empire State Development (the Department of Economic Development) is responsible for the promotion of business and industry in New York State. In line with this, Empire State Development conducts research, compiles statistics, and publishes information on various aspects of the state's economy.

## Employee Relations, Governor's Office of

2 Empire State Plaza, Suite 1201

Albany, New York 12223-1250

Michael N. Volforte, Interim Director

**Contact:** Ed Walsh, Director of Public Information  
(Department of Civil Service)  
(518) 473-2368  
Web Site: [www.goer.ny.gov](http://www.goer.ny.gov)

The Governor's Office of Employee Relations (GOER) was established in 1969 by Article 24 of the New York State Executive Law to promote harmonious and cooperative relationships between the state's Executive Branch and its employees, and to protect the public by assuring the orderly and uninterrupted operation of state government. As the governor's representative in Executive Branch collective negotiations, GOER negotiates collective bargaining agreements for fourteen negotiating units, represented by ten public employee unions, for almost 185,000 state employees.

The formal mission of the agency is to advance the performance of state government through collaborative labor relations, workforce training, education, and benefits.

A primary goal of the office is to maintain a productive, motivated, skilled workforce and to promote positive employee relations and effective management. GOER has a central role in establishing, implementing, and communicating policy governing the state's human resource management system and for establishing employee relations policies for the state.

A major responsibility of agency staff is to provide coordination and support for contract administration activities including providing state agencies with contract clause interpretations, overseeing labor-management programs, and providing advice on employee relations matters.

As the state's and the governor's employee relations representative GOER:

- Provides guidance and advice to the governor in support of his labor relations and employee agenda;
- Provides a consistent labor relations philosophy in all departments and agencies;
- Seeks to build and maintain positive relationships with labor;
- Ensures that compensation and benefit programs for state employees are cost-effective;
- Offers programs to increase the competency of the state workforce;
- Plans for a changing workplace in partnership with the unions and the Department of Civil Service; and
- Promotes and maintains a safe and healthy workforce.

## Energy Office, New York State

Agency went out of operation in 1995.

## Energy Research and Development Authority, New York State

17 Columbia Circle  
Albany, New York 12203-6399

**Contact:** Dayle Zatlin, Assistant Director of Communications  
(518) 862-1090 ext. 3359  
(518) 862-1091 (fax)  
E-mail: [dayle.zatlin@nyserdera.ny.gov](mailto:dayle.zatlin@nyserdera.ny.gov)  
Web Site: [www.nyserdera.ny.gov](http://www.nyserdera.ny.gov)

New York State Energy Research and Development Authority (NYSERDA) is a national leader in supporting and advancing clean energy innovation, investment, and deployment. New York's economy and environment have greatly benefitted from NYSEDA's leadership. NYSEDA launched the State's Renewable Portfolio Standard, which led to the construction of more than 1,800 megawatts of renewable generation (capacity). The Authority has overseen and managed New York's participation in the Regional Greenhouse Gas Initiative (RGGI), the successful first cap and trade program in the nation. A partnership with the home performance energy industry has improved the energy efficiency in more than 160,000 homes.

NYSERDA is building on this momentum using its vast technical and market expertise. Working closely and partnering with industry and communities, it seeks to accelerate and expand current investments in the growing clean energy economy to generate new economic growth, enhance customer value, and take real, meaningful action against climate change.

NYSERDA is key to New York State's Reforming the Energy Vision (REV) strategy to build a clean, resilient, and affordable energy system for all New Yorkers. It has proposed an unprecedented ten-year, \$5 billion funding commitment using new strategies to grow its support of the statewide clean economy through the Clean Energy Fund (CEF). NYSEDA's and CEF's role within REV is to spur innovation and investment in clean energy by removing market barriers resulting in more efficient and comfortable buildings, increased use of renewable energy and distributed resources such as solar and energy storage, and development of new technologies and services to meet community needs.

Under the proposed CEF, which will be reviewed by the Public Service Commission in 2015, NYSEDA anticipates a continued role for incentives as part of the CEF to aid in an orderly and smooth transition that does not disrupt progress being made while new solutions and initiatives begin to take hold.

NYSERDA would invest in promising areas where others cannot or do not (yet) invest, helping to accelerate the growth of clean energy so that, over time, entire industries and markets will be able to continue on their own without direct support. NYSEDA would also focus its resources on providing clean energy solutions to low- to moderate-income communities to help reduce energy costs and improve comfort and health.

Through the proposed CEF, NYSEDA would spur demand and accelerated growth in clean energy through a comprehensive, sustainable, and robust approach:

- Investing in innovative clean energy ideas, technologies, and businesses.
- Catalyzing clean energy market growth by providing incentives as a "bridge" until these markets function well enough to no longer need NYSEDA support.
- Building a skilled clean energy workforce through training and job creation.
- Using all available tools to shape policy, codes, and regulations.
- Investing in clean energy technologies that harden New York's energy infrastructure.

NYSERDA has been developing partnerships to advance innovative energy solutions in New York since 1975. It was established by the New York State Legislature under the Public Authorities Law. NYSEDA has offices in Albany, New York City, Buffalo, and West Valley, New York.

Its programs and services provide a vehicle for the state to work collaboratively with businesses, academia, industry, the federal government, municipalities, the environmental community, public interest groups, and energy market participants.

NYSERDA's programs demonstrate that investments in energy efficiency, renewable energy, and clean-energy technology development provide substantial economic and environmental benefits and move New York toward a more sustainable energy future. These programs continue to provide benefits year after year, not just in the year in which they are installed.

NYSERDA administers several of Governor Cuomo's statewide energy initiatives:

**NY Green Bank:** Governor Cuomo introduced the \$1 billion NY Green Bank in his 2013 State of the State address as the financial engine that will mobilize private investment to build a more cost-effective, resilient, and clean energy system in New York State. NY Green Bank, a division of NYSEDA, is a state-sponsored financial entity that partners with private-sector lenders to support clean energy projects. By providing financial products to lenders, such as credit enhancement, loan loss reserves, and loan bundling, NY Green Bank seeks to alleviate barriers to financing clean energy at scale, and help to advance the state's economic and environmental policies.

**NY-Sun:** Governor Cuomo launched the NY-Sun initiative in 2012 to accelerate solar power development in the state, drive growth in the solar industry, and make solar technology more affordable for all New Yorkers. In 2014, the governor made a historic commitment of nearly \$1 billion to NY-Sun in order to significantly expand adoption of solar capacity throughout the state and transform New York's solar industry to a sustainable, incentive-free sector over time. NY-Sun involves deploying public funds in a strategic manner to drive industry to scale. It provides support for solar projects and uses a proven MW Block system approach that is responsive to market conditions, allowing the solar market in each region of the state to grow at its own pace. It also includes support for work to decrease balance of system costs (everything except the cost of solar modules and inverters) through streamlined permitting, reduced acquisition costs, and training of code officials and first responders. Additional efforts under NY-Sun, such as Community Solar NY and K-Solar, are also helping homeowners, small businesses, and schools throughout the state gain greater access to clean energy by reducing the cost of solar through economies of scale.

Since the year prior to the launch of NY-Sun, solar capacity has increased by more than 300 percent in New York State. Through 2014, the state had 589 megawatts installed and in development. Approximately 216,000 tons of greenhouse gas emissions will be avoided with the installation of these NY-Sun projects, which is the equivalent of removing 43,212 cars from the road.

**Cleaner, Greener Communities:** The New York Cleaner, Greener Communities Program empowers regions across the state to create more sustainable communities by funding sustainability planning, and then supporting activities that help communities realize those plans. Announced by Governor Cuomo in his 2011 State of the State address, the Cleaner, Greener Communities regional sustainability plans guide integrated, sustainable solutions and statewide investments in regional projects focused on land use, housing, transportation, infrastructure, energy, and environmental practices. Through three rounds of funding, the state will award \$90 million to support projects.

**Charge NY:** Governor Cuomo launched the Charge NY initiative in his 2013 State of the State address. It lays the groundwork to meet the needs of up to 40,000 plug-in electric vehicles in New York State by 2018 by supporting the installation of up to 3,000 public and workplace charging stations. Through Charge NY, NYSERDA is funding the installation of 900 charging stations by 2018. Approximately 500 electric vehicle charging stations were installed over the first three years of the initiative, and the number of electric vehicles in the state grew from 1,000 to more than 12,000 in the same period.

**NY Prize:** The \$40 million NY Prize microgrid competition was launched to reduce energy costs and promote clean energy reliability and resiliency in communities across New York State. This first-of-its-kind competition is inspiring a new generation of local power by challenging New York businesses, entrepreneurs, and electric utilities to design and implement community-based microgrids, which offer energy independence as well as local power generation and distribution. Eligible awardees of the NY Prize microgrid competition funding include local governments, community organizations, nonprofit entities, for-profit companies, and municipally owned utilities. Potential projects must be integrated into utility networks and serve multiple customers, including at least one “critical infrastructure” customer, such as a hospital, police station, fire station, or water treatment facilities. The NY Prize Community microgrid competition is administered by NYSERDA, with support from Governor Cuomo’s Office of Storm Recovery.

**Renewable Heat NY:** In 2014, Governor Cuomo announced Renewable Heat NY, an initiative to build a sustainable, high-efficiency, low-emissions wood heating sector in New York. This includes development of more clean technology manufacturing based in New York, a skilled installer base, and sustainably harvested wood fuels from state forests — supporting economic development while preserving the environment. Renewable Heat NY promotes the highest efficiency class of wood-fired heating technologies in the nation, and is expected to make New York State a national leader in developing this low-emissions industry. Environmental and public health benefits, along with a reduction in fossil-fuel use, an increase in sustainable forestry, and the expansion of the wood pellet and equipment supply chains will benefit communities across the state.

**Publications:** NYSERDA publishes financial statements annually along with reports on specific programs, topic, or sectors. For more information, visit [www.nyserda.ny.gov/Publications.aspx](http://www.nyserda.ny.gov/Publications.aspx).

## Environmental Conservation, Department of

625 Broadway  
Albany, New York 12233  
Basil Seggos, Acting Commissioner

**Contact:** Sean Mahar, Director of Communications  
(518) 402-8013  
Web Site: [www.dec.ny.gov](http://www.dec.ny.gov)

Creation of the New York State Department of Environmental Conservation (NYSDEC) in July 1970 marked the beginning of a new approach to environmental action, combining all state functions for environmental protection and resource management.

NYSDEC is charged with detecting and controlling sources of land, air, and water pollution, as well as protecting and managing New York’s fish, wildlife, forests, and other natural resources. Another mandated role is to inform and educate the public about the environment.

The Department’s operations create a large volume of data, most of which are not presented in journal form. Reports are issued on a variety of technical subjects related to the environment.

The Department has an information site on the Internet. Some statistical information is currently available on NYSDEC’s Web site, with new information frequently added.

Most NYSDEC data can be found through the main page: [www.dec.ny.gov](http://www.dec.ny.gov)

### Statistical Series:

Accidents, Hunting: Number of injuries and fatalities  
Available from: Sportsman Education Unit, Division of Fish, Wildlife and Marine Resources  
Frequency: Annually  
Area covered: New York State  
Published: 1940 to present

Air Quality Monitoring: Detailed data on air content as measured at various air monitoring sites throughout the State  
Available from: Bureau of Air Research, Division of Air Resources  
Areas covered: Individual monitoring sites  
Published: 1958 to present  
Available on Web site: [www.dec.ny.gov](http://www.dec.ny.gov)

Annual Report, Division of Water: Water program objectives and accomplishments  
Frequency: Annually (most recent state fiscal year)  
Available from: Division of Water

Big Game Take: Calculated annual legal take of deer and bear based on sample data from field checking stations, public freezer locker plants, and take reports from licensed big game hunters  
Available from: Bureau of Wildlife, Division of Fish, Wildlife and Marine Resources  
Frequency: Annually  
Areas covered: All counties  
Published: 1949 to present

Commercial Finfish, Shellfish, and Crustacean Landings: Take by species from marine waters of New York State. Recent data give breakdown by selected major waterways.

Available from: Bureau of Marine Resources, Division of Fish, Wildlife and Marine Resources  
Area covered: New York State  
Published: 1895 to present  
Available on Web site: [www.dec.ny.gov](http://www.dec.ny.gov)

Fish Stocking: Number of fish stocked by New York State by species and, recently, by body of water  
Available from: Bureau of Fisheries, Division of Fish, Wildlife and Marine Resources  
Frequency: Annually  
Areas covered: New York State and/or counties  
Published: 1895 to present  
Available on Web site: [www.dec.ny.gov](http://www.dec.ny.gov)

Forest Fires: Number of fires, acres burned, and estimated loss due to fires in state forest lands  
Available from: Division of Forest Protection and Fire Management  
Frequency: Annually  
Area covered: New York State and/or counties  
Published: 1895 to present

Generation and Disposal of Hazardous Waste in New York State: Identifies quantity and type, subdivided by NYSDEC region and by county  
Available from: Division of Materials Management  
Frequency: Annually  
Published: 1990 to present

Hunting, Fishing, and Trapping Licenses: License sales by type  
Available from: Division of Fish, Wildlife and Marine Resources  
Frequency: Annually  
Areas covered: New York State and/or counties  
Published: 1918 to present (state); 1964 to present (counties)

Inactive Hazardous Waste Disposal Sites in New York State (registry)  
Available from: Division of Environmental Remediation  
Frequency: Annually  
Areas covered: All counties  
Published: 1980; 1983 to present

Inactive Hazardous Waste Disposal Sites: Status Report  
Available from: Division of Environmental Remediation  
Frequency: Quarterly  
Areas covered: All counties  
Published: 1986 to present

Inactive Hazardous Waste Site Remedial Plan: Update and Status Report  
Available from: Division of Environmental Remediation  
Frequency: Annually  
Area covered: New York State  
Published: 1986 to present

Land Acquisition: Acreage acquired by Department with summaries by purpose (Forest Preserve, Reforestation, Public Fishing Rights, Wetlands, etc.)  
Available from: Bureau of Real Property, Division of Lands and Forests  
Frequency: Annually  
Areas covered: All counties  
Published: 1885 to present

Licensed Outdoor Guides: Names and addresses of New York State Licensed Guides with breakdown by address and guide activity

Available from: Bureau of Forest Protection and Fire Management  
Frequency: Annually  
Area covered: New York State  
Published: 1986 to present

Marine Water Quality: Detailed data on bacterial levels as measured at locations throughout the marine region  
Available from: Bureau of Marine Resources, Division of Fish, Wildlife and Marine Resources  
Areas covered: Waters of the marine region  
Published: 1960 to present

Mining Operations: Acreage affected by mining, acreage reclaimed, type of mine, and minerals being mined  
Available from: Division of Mineral Resources  
Frequency: Annually  
Area covered: New York State  
Published: 1978 to present  
Available on Web site: [www.dec.ny.gov](http://www.dec.ny.gov)

Municipal Sludge Management Practices in New York State  
Available from: Division of Materials Management  
Frequency: Occasional  
Area covered: New York State  
Published: 1989 to present

New York State Low-Level Radioactive Waste Transportation Report  
Available from: Bureau of Radiation and Hazardous Site Management, Division of Materials Management  
Frequency: Annually  
Area covered: All counties  
Published: 1987 to present  
Available on Web site (1997, 1998, 1999): [www.dec.ny.gov](http://www.dec.ny.gov)

Oil and Gas Drilling and Production Report: Statistics on permits issued, wells drilled, and amounts of oil and gas produced in each town and field; solution mining and underground natural gas and liquefied petroleum gas (LPG) storage; exploration and development highlights; market value and revenue statistics; and leasing data for state-owned lands  
Available from: Division of Mineral Resources  
Frequency: Annually  
Area covered: New York State  
Published: 1985 to present  
Available on Web site: [www.dec.ny.gov](http://www.dec.ny.gov)

Oil and Gas Fields Glossary and Map: Current information and maps on oil and gas fields, as well as changes in the sizes and shapes of old, historical fields. The fields are listed by county, with corresponding town, type of fluid produced, status, and production formation. Information is also available on gas storage fields, LPG facilities, and solution mining fields.  
Available by e-mail: Division of Mineral Resources at [dmninfo@dec.ny.gov](mailto:dmninfo@dec.ny.gov)

Oil and Gas Operations: Volume produced and estimates of underground reserves  
Available from: Division of Mineral Resources  
Frequency: Annually  
Area covered: New York State  
Published: 1966 to present  
Available on Web site: [www.dec.ny.gov](http://www.dec.ny.gov)

Public Use of Forest Recreation Facilities: Volume of use of public recreational facilities in the State Forest Preserve by individual facility

Available from: Bureau of Recreation, Division of Operations  
Frequency: Annually  
Area covered: New York State  
Published: 1926 to present

The Recycling Bulletin: A descriptive summary of the recycling programs in New York State  
Available from: Division of Materials Management  
Frequency: Updated annually  
Available on Web site: [www.dec.ny.gov](http://www.dec.ny.gov)

Register of Solid Waste Management Facilities: Data on individual solid waste management facilities (landfills, transfer stations, landfill gas recovery waste-to-energy facilities)  
Available from: Division of Materials Management  
Frequency: Periodically  
Published: 1978 to present

Rotating Intensive Water Basin Studies: Detailed assessment of water quality measured at various water-monitoring sites throughout the state  
Available from: Division of Water  
Frequency: Biennially  
Area covered: Selected drainage basins  
Published: 1987 to present

Sales of Forest Products from State Lands: Product sales by type and volume  
Available from: Bureau of Public Lands, Division of Lands and Forests  
Frequency: Annually  
Area covered: New York State  
Published: 1965 to present

Solid Waste Management Plan: Update  
Available from: Division of Materials Management  
Frequency: Annually prior to 1992; biennially after 1992  
Area covered: New York State  
Published: 1987 to present

Solid Waste Management Technical Assistance Report  
Available from: Division of Materials Management  
Frequency: Annually  
Published: 1988 to present

Stumpage Price Report: Lists the average low, average high, and most common prices paid for standing trees sold for sawlogs, pulpwood, fuelwood, and poles  
Available from: Bureau of Private Land Services, Division of Lands and Forests  
Frequency: Biannually (January and July)  
Area covered: 14 reporting areas throughout New York State  
Published: 1972 to present

Timber Resources, An Analysis of New York's: Analysis of the fourth forest survey of New York; trends since previous surveys; forest area by ownership, stand size, and forest type; timber volume by species, location, and quality; biomass; timber products output for sawlogs, pulpwood, and fuel wood; growth and removals; forest area, volume and growth and removals; and opportunities for increasing production of major forest resources (A cooperative report with the USDA Forest Service)  
Available from: Bureau of Private Land Services, Division of Lands and Forests

Frequency: Every 10 to 12 years  
Area covered: New York State  
Published: 1960 to 1993

Wildland Searches and Rescues: Number of searches and staff hours spent on missions  
Available from: Division of Forest Protection and Fire Management  
Frequency: Annually  
Area covered: New York State  
Published: 1963 to 1998

### Other Publications

*Conservationist*: The Department's official magazine, with articles covering all phases of environmental concern and natural resource management. Subscription is \$12.00 per year; address requests to: *Conservationist*, P.O. Box 1500, Latham, New York 12110  
Available on Web site: [www.dec.ny.gov/pubs/conservationist.html](http://www.dec.ny.gov/pubs/conservationist.html)  
Frequency: Bi-monthly

*Directory of Primary Wood-Using Industries of New York*: List of all mills in New York which use logs to produce forest products. Includes sawmills, pulp and paper mills, veneer mills, etc. Available from: Bureau of Private Land Services, Division of Lands and Forests, 625 Broadway, Albany, New York 12233  
Published: Every three to four years, 1954-1991

*Directory of Secondary Wood-Using Industries in New York*: Lists manufacturers that use any wood product more finished than green lumber, and produce a product that includes a significant component of wood  
Available from: Bureau of Private Land Services, Division of Lands and Forests, 625 Broadway, Albany, New York 12233  
Published: Every three to four years, 1954-1992

*DEC Outdoor Discovery*: An online newsletter that highlights nature, wildlife, and outdoor recreational opportunities in New York State  
Available on Web site: [www.dec.ny.gov](http://www.dec.ny.gov)  
Frequency: Monthly

*Solid Waste Generation and Management Report*  
Available from: Division of Materials Management  
Frequency: Biennially after 1996  
Area covered: New York State  
Published: 1994 to present

*Environmental Notice Bulletin*: The ENB is an official publication of NYSDEC. It includes notices and information in accordance with Environmental Conservation Law Article 3-0306(4). Among the items found in it are: DEC Notice of Complete Application, DEC Notices of Rule Making, State Environmental Quality Review notices, DEC hearing notices, coastal zoning management notices, DEC announcements, registry of emission reduction credits, and oil, gas, and solution mining permit activity. Availability: Since November 10, 1999, the ENB has been available electronically. The most recent issues are on DEC's Web site: [www.dec.ny.gov/enb/enb.html](http://www.dec.ny.gov/enb/enb.html). Archived issues for 1994-2014 are on the NYS Library's Web site: [http://128.121.13.244/awweb/main.jsp?flag=collection&smd=2&cl=library1\\_lib&nid=16/17/18/3648&tm=1436819992733&itype=adv&menu=on](http://128.121.13.244/awweb/main.jsp?flag=collection&smd=2&cl=library1_lib&nid=16/17/18/3648&tm=1436819992733&itype=adv&menu=on)  
Frequency: Bi-weekly from July 29, 1976, through May 17, 1977  
Published: Weekly since May 25, 1977

## Environmental Facilities Corporation, New York State

625 Broadway  
Albany, New York 12207-2997  
Sabrina M. Ty, President and Chief Executive Officer

**Contact:** Jon Sorensen, Director of Public Information  
(518) 402-6924  
E-mail: [press@efc.ny.gov](mailto:press@efc.ny.gov)  
Web Site: [www.efc.ny.gov](http://www.efc.ny.gov)

The New York State Environmental Facilities Corporation (EFC) is a public benefit corporation that provides low-cost capital and expert technical assistance for environmental projects in New York State. Our purpose is to help public and private entities comply with federal and state environmental protection and quality requirements in a cost-effective manner that advances sustainable growth.

EFC's primary programmatic activities are as follows:

- Clean Water State Revolving Fund (CWSRF) — EFC jointly administers the CWSRF with the New York State Department of Environmental Conservation (DEC). The program provides below-market-rate loans to municipalities and private organizations for water pollution control projects, as well as green infrastructure and other nonpoint source projects that protect and improve water quality in New York State.
- Drinking Water State Revolving Fund (DWSRF) — EFC administers the DWSRF in partnership with the New York State Department of Health (DOH). This program provides community water suppliers, both public and private, with access to grants and below-market-rate loans for projects that improve and protect public water supplies.
- Green Innovation Grant Program (GIGP) — A nationally recognized grant program that funds construction of green projects, spurs innovation, and facilitates technology transfer throughout the state. GIGP is administered through New York's Clean Water State Revolving Fund and uses funding from the U.S. Environmental Protection Agency. Funded projects improve water quality and demonstrate sustainable wastewater infrastructure.
- Wastewater Infrastructure Engineering Planning Grant (EPG) — Provides grants on a competitive basis to assist local governments in the initial planning of eligible CWSRF water-quality projects. Grants are provided to finance engineering and planning services, including engineering and/or consultant fees associated with the development of an engineering report.
- Clean Vessel Assistance Program (CVAP) — Protects and improves water quality in New York's navigable waterways by providing grants to marinas, municipalities, and not-for-profit organizations for installing, operating, and maintaining facilities that receive sewage from recreational marine vessels. The Clean Vessel Assistance Information and Education Program provides grants for projects that increase awareness of such facilities.
- Small Business Environmental Assistance Program (SBEAP) — Provides small businesses such as printers, metal and wood furniture manufacturers, auto body shops, dry-cleaners, and various other manufacturers with free and

confidential technical assistance for compliance with environmental requirements.

- Kensico Septic System Rehabilitation Program — Provides reimbursements to property owners in the Kensico Reservoir Watershed Basin to assist in the cost of rehabilitating their failing septic systems.
- Industrial Finance Program (IFP) — EFC has the statutory authority to make low-interest loans to businesses for qualified environmental improvement projects including solid waste management, sewage treatment, water supply, and hazardous waste remediation.

EFC is governed by a Board of Directors. There are three *ex officio* members: the commissioner of the New York State Department of Environmental Conservation, who is the chairperson; the commissioner of the New York State Department of Health; and the secretary of state. In addition, the governor, with the advice and consent of the Senate, appoints four board members for staggered six-year terms. The president of the Corporation is appointed by the governor and approved by the Board of Directors.

The *ex officio* members are Joseph J. Martens, commissioner of the New York State Department of Environmental Conservation; Howard A. Zucker, M.D., J.D., commissioner of the New York State Department of Health; and Cesar A. Perales, secretary of state.

The appointed members of the Board are: Francis T. Corcoran of Bedford Corners, New York, whose term will expire on December 31, 2015; Vita DeMarchi of Manlius, New York, whose term will expire on December 31, 2017; and Charles Kruzansky of Voorheesville, New York, whose term will expire on December 31, 2018. There is currently one vacancy on the Corporation's Board.

Executive Staff: Sabrina M. Ty, president and chief executive officer; Maureen A. Coleman, Esq., general counsel; Michael D. Malinoski, controller and director of corporate operations; Timothy P. Burns, P.E., director of engineering and program management; Jon R. Sorensen, director of public information; Tracey Hitchen Boyd, deputy director of finance.

### Publications:

Annual Clean Water Intended Use Plan

Annual Drinking Water Intended Use Plan

Annual Information Statement

## Equalization and Assessment, State Board of

See: Real Property Services, Office of

## Facilities Development Corporation

Merged into the Dormitory Authority of the State of New York effective September 1, 1995.

## Financial Services, Department of

One State Street  
New York, New York 10004-1511  
Benjamin M. Lawskey, Superintendent of Financial Services

Main Office  
New York State Department of Financial Services  
One State Street  
New York, New York 10004-1511

New York State Department of Financial Services  
25 Beaver Street  
New York, New York 10004

New York State Department of Financial Services  
One Commerce Plaza  
Albany, New York 12257

New York State Department of Financial Services  
Walter Mahoney Office Building  
65 Court Street, Room 7  
Buffalo, New York 14202

New York State Department of Financial Services  
163 Mineola Boulevard  
Mineola, New York 11501

New York State Department of Financial Services  
333 East Washington Street  
Syracuse, New York 13202

**Contact:** Communications and Media Relations  
(212) 709-1691  
Web Site: [www.dfs.ny.gov](http://www.dfs.ny.gov)

The New York State Department of Financial Services was created by transferring the functions of the New York State Banking Department and the New York State Insurance Department into a new department. This transfer of functions became official on October 3, 2011.

The Department of Financial Services charters, examines, supervises, administers laws, and monitors the financial condition and market conduct of financial institutions operating in New York State. The institutions include: insurers, commercial banks (state banks, trust companies, and private bankers), savings banks, savings and loan associations, licensed lenders, credit unions, agencies and branches of foreign banking institutions, safe deposit companies, investment companies, sales finance companies, premium finance agencies, transmitters of money, check cashers, budget planners, mortgage bankers, mortgage brokers, and mortgage loan originators. Certain types of institutions (commercial banks, savings and loan associations, savings banks, credit unions, and foreign agencies and branches) may operate under either state or federal charter with the Department supervising only those which it charters. The Department has responsibility for regulating insurance premium rates and policy forms and provisions; approving corporate formations, mergers, and consolidations of insuring organizations; licensing insurance agents, brokers, adjusters, consultants, and reinsurance intermediaries; and disciplining licensees that violate the law.

## General Services, Office of

Corning Tower  
Empire State Plaza  
Albany, New York 12242  
RoAnn M. Destito, Commissioner

**Contact:** Heather Groll, Public Affairs  
(518) 474-5987

E-mail: [heather.groll@ogs.ny.gov](mailto:heather.groll@ogs.ny.gov)  
Web Site: [www.ogs.ny.gov](http://www.ogs.ny.gov)

The Office of General Services (OGS) provides comprehensive services that help facilitate government operations. Through diverse programs that support the efforts of state agencies, local governments, not-for-profit organizations, and the general public, OGS works to ensure its customers receive assistance that will help them fulfill their missions and objectives.

Among the services provided by OGS are:

- A broad range of procurement contracts for the purchase of goods and services at best value by state agencies and authorized nonstate agencies, including local governments, schools, libraries, and not-for-profit organizations;
- Architectural, engineering, and design and construction management related services to state agencies operating state-owned facilities; including 24/7 emergency response to all state agencies;
- Real property management of approximately eighteen million square feet of state-owned space, including fifty-six major and seventy-five ancillary facilities across the state;
- Office space planning, construction management, and real estate leasing services for state agencies;
- Disposition of surplus real property and granting of easements/permits for public utility and recreational private use of navigable waterways;
- Centralized processing of human resources and finance transactions for all state executive branch agencies;
- Employee recruitment for attracting highly qualified professionals into public service;
- Multimedia solutions for state agency customers, including video, photography, Web and graphic design, and print materials;
- Various support services that assist governmental agencies, including management and delivery of interagency mail and freight, printing and quick copy services, and employee and visitor parking, as well as support to schools, libraries, and other eligible organizations through management of the Federal and State Surplus Property program and the Government Donated Foods program;
- Coordination of cultural events, convention support, educational tours, and visitor services at the Empire State Plaza, Capitol, and other major state office buildings; and
- Procurement and management of state agency and participating municipalities' energy needs, procurement and management of state agency insurance needs, and provide state agency fleet management policy direction.

## Health, Department of

Corning Tower  
Empire State Plaza  
Albany, New York 12237  
Howard A. Zucker, M.D., J.D., Commissioner

**Contact:** Mark Yanulavich, Director  
Bureau of Marketing and Creative Communications  
2812 Corning Tower  
Empire State Plaza  
Albany, New York 12237

(518) 474-8431  
Web Site: [www.health.ny.gov](http://www.health.ny.gov)  
[www.facebook.com/NYSDOH](https://www.facebook.com/NYSDOH)  
[twitter.com/HealthNYGov](https://twitter.com/HealthNYGov)

#### **Mission**

We protect, improve, and promote the health, productivity, and well-being of all New Yorkers.

#### **Vision**

New Yorkers will be the healthiest people in the world — living in communities that promote health; protected from health threats; and having access to quality, evidence-based, cost-effective health services.

#### **Values**

Dedication to the public good, innovation, excellence, integrity, teamwork, efficiency.

### **Higher Education Services Corporation, New York State**

99 Washington Avenue  
Albany, New York 12255  
Elsa M. Magee, Acting President

**Contact:** HESC Division of Communications and  
Pre-College Services  
(518) 402-1448  
Fax: (518) 474-5593  
E-mail: [HESCpublicaffairsoffice@hesc.ny.gov](mailto:HESCpublicaffairsoffice@hesc.ny.gov)  
Web Site: [www.hesc.ny.gov](http://www.hesc.ny.gov)

The New York State Higher Education Services Corporation (HESC) is the state's student financial aid agency that helps people pay for college. HESC administers programs, including the state's Tuition Assistance Program (TAP), along with numerous scholarships and loan forgiveness programs, federal college access grants, and a highly successful College Savings program. HESC also provides guidance to students, families, and counselors to further help put college within the reach of hundreds of thousands of New Yorkers each year.

Through [www.hesc.ny.gov](http://www.hesc.ny.gov), students and parents can learn about college and career planning, paying for college, financial aid programs for New York State students, and how to apply for financial aid. HESC also provides training and technical services to college financial aid administrators and high school counselors.

In 2013-14, HESC administered \$984 million in grants, scholarships, other awards, and loans forgiveness programs, including \$935.5 million in Tuition Assistance Program (TAP) grants to more than 388,000 students. New York's TAP continues to be one of the nation's largest state-sponsored entitlement need-based grant programs for student aid. Since its inception in 1974, TAP has awarded about \$22 billion to more than 5.2 million students.

HESC is also the designated administering agency for two federal college access programs, the College Access Challenge Grant (CACG) program and the Gaining Early Awareness and Readiness for Undergraduate Programs (GEAR UP), which will assist a combined total of more than 86,900 students in charting a path to college.

The chief executive officer of the agency is the president, who is appointed by the governor, with the consent of the state Senate. HESC is governed by a Board of Trustees consisting of fifteen members: nine appointed by the governor with the advice and consent of the Senate; one community college student appointed by the governor to a one-year term; and five *ex officio* members, including the commissioner of education, the chancellor of the State University of New York, the chancellor of the City University of New York, and student association leaders from the State University and City University.

Statistics regarding HESC's programs and activities are published in its annual report and are available at [www.hesc.ny.gov](http://www.hesc.ny.gov).

### **Homeland Security and Emergency Services, Division of**

1220 Washington Avenue  
State Office Campus  
Building 7A, Suite 710  
Albany, New York 12242  
John P. Melville, Commissioner

**Contact:** Kristin Devoe, Director of Public Information  
(518) 242-5153  
Web Site: [www.dhSES.ny.gov](http://www.dhSES.ny.gov)

The New York State Division of Homeland Security and Emergency Services (DHSES) provides leadership, coordination, and support for efforts to prevent, protect against, prepare for, respond to, and recover from terrorism and other man-made and natural disasters, threats, fires, and other emergencies.

The Division consists of four offices:

**Office of Counter Terrorism (OCT):** Supports federal, state, local, tribal, and private sector efforts to prevent, protect against, and prepare for acts and threats of terrorism. Although counter terrorism is OCT's primary mission, many of the OCT programs and initiatives support capabilities that can be applied to other threats and hazards as well. To carry out its mission, OCT maintains operating units dedicated to: intelligence and analysis, outreach, and coordination with the first responder community, critical infrastructure protection, training and exercises, and the administration of federal homeland security grant funding.

**Office of Emergency Management (OEM):** Coordinates activities to protect New York's communities, the state's economic well-being, and the environment from natural, man-made, and technical disasters and emergencies. OEM routinely assists local governments, voluntary organizations, and the private sector through a variety of emergency management programs including hazard identification and mitigation, planning, training, exercises, operational response to emergencies, technical support, and disaster recovery (public) assistance. Additionally, OEM maintains the State Incident Management Team (IMT), the State Warning Point, and the State Emergency Operations Center. OEM's five regional offices support emergency management activities across the state.

**Office of Fire Prevention and Control (OFPC):** Delivers a wide range of services to firefighters, other emergency responders, and local government agencies, colleges, and the citizens of New York. The Office advances public safety through firefighter training, education, fire and life safety inspections and code enforcement,

fire and arson investigation, hazardous materials and technical rescue training and emergency response, and the collection and dissemination of information about fire and emergency incidents. The timely delivery of these essential services enables the Office to make significant contributions to the safety of all of New York State. OFPC is led by the State Fire Administrator and organized into five Branches. They are: Administration; Inspection and Investigations East; Inspections and Investigation West; Fire Operations and Training; and Special Operations.

**Office of Interoperable and Emergency Communications (OIEC):** Is the principal state agency for all interoperable and emergency communications issues and oversees and directs the development, coordination, and implementation of policies, plans, standards, programs, and services related to interoperable and emergency communications, including those related to land mobile radio communications. OIEC staff support communications planning, training, grants, technical assistance, and outreach initiatives. OIEC also has communications staff and resources to support DHSES communications network and emergency operations.

## **Housing and Community Renewal, Division of**

38-40 State Street  
Albany, NY 12207

James S. Rubin, Commissioner/CEO

Contact: Christopher Browne, Deputy Commissioner,  
Policy and Communications  
(518) 473-2526  
Web Site: [www.nyshcr.org](http://www.nyshcr.org)

The Division of Housing and Community Renewal (DHCR) is one of the housing and community renewal agencies that were integrated under the banner of New York State Homes and Community Renewal (HCR) in 2010. Integration of the agencies under a single leadership structure has provided for more effective management and better coordination of the state's resources.

DHCR is responsible for the supervision, maintenance, and development of affordable low- and moderate-income housing in New York State. The Division performs a number of activities in fulfillment of this mission, including:

- Housing Operations — Oversight and regulation of the state's public and publicly assisted rental housing;
- Community Development and Renewal — Administration of state and federal programs that provide grants and loans for the development of affordable housing, as well as assistance to municipalities and not-for-profit agencies to revitalize downtown business districts and eliminate blight and decay; and
- Rent Administration — Administration of the rent regulation process for more than one million rent-regulated apartments in both New York City, and those localities in the counties of Albany, Erie, Nassau, Rockland, Schenectady, Rensselaer, and Westchester subject to rent laws.

### **Publications:**

Rent Administration Publications: Call the InfoLine 718-739-6400

Advisory Opinions

Fact Sheets  
Operational Bulletins  
Policy Statements

DHCR also provides information on a variety of programs and housing issues, including:

Statewide Section 8 Program  
Weatherization Assistance Program  
Manufactured Home Park Tenants and Owners Information  
Capital Programs Manual  
HOME Program Monitoring Guide for State Recipients and Subrecipients  
Housing Programs of New York State  
HTFC Property Disposal Guidelines and Report  
Legal Documents Manual  
Legislative Member Item Program Manual  
Low-Income Housing Credit Qualified Allocation Plan  
Low-Income Housing Tax Credit Program for Special Needs Housing  
Mitchell-Lama Housing Companies  
Neighborhood and Rural Preservation Program Manual  
Policy and Procedures for Certified Annual Financial Reports (CAFRs)  
State Supervised Middle Income Housing Development Lists for Families and Seniors

## **Housing Finance Agency, New York State**

641 Lexington Avenue

New York, New York 10022

James S. Rubin, Commissioner/CEO

William C. Thompson, Chairman

Contact: Christopher Browne, Deputy Commissioner,  
Policy and Communications  
(518) 473-2526  
Web Site: [www.nyshcr.org](http://www.nyshcr.org)

The New York State Housing Finance Agency (HFA) is one of the housing and community renewal agencies that were integrated under the banner of New York State Homes and Community Renewal (HCR) in 2010. Integration of the agencies under a single leadership structure has provided for more effective management and better coordination of the state's resources.

HFA was created in 1960 by Article III of the Private Housing Finance Law and is a corporate governmental agency, constituting a public benefit corporation. The Agency's purpose, in part, is to provide safe and sanitary housing accommodations, at rental rates that low-income families and individuals can afford. To do so the Agency issues its bonds and notes to the investing public to encourage the investment of private capital through the Agency in mortgage loans to housing companies and eligible borrowers. These loans are subject to state or federal regulations that restrict rents, profits, dividends, and disposition of their property.

The membership of the Agency consists of the Commissioner of Housing and Community Renewal, the Director of the Budget, and the Commissioner of Taxation and Finance of the State of

New York, and four additional members appointed by the Governor, with the advice and consent of the Senate. The Governor designates from among the members appointed a Chairman, who serves as such during his term as a member. The Chairman of the Agency is also the chairman of the State of New York Municipal Bond Bank Agency, the Tobacco Settlement Corporation, and the New York State Affordable Housing Corporation, and is currently also the Chairman of the State of New York Mortgage Agency. The members appointed by the Governor serve for the full or unexpired portions of six-year terms.

The Agency is authorized to issue bonds and notes to provide funds for the purpose of making mortgage loans to limited-profit housing companies, nonprofit housing companies, urban rental housing companies, owners of multi-family federally aided projects, owners of multifamily housing accommodations, nursing home companies, nonprofit hospital and medical corporations, community development corporations, community mental health services companies, nonprofit corporations authorized to provide youth facilities projects, and community senior citizen centers and services companies; for the purpose of making loans to lending institutions to finance mortgage loans for multi-family housing accommodations; for the purpose of making equity loans to mutual housing companies and certain other corporations, organized in accordance with the provisions of the Private Housing Finance Law; for the purpose of financing health facilities for municipalities constituting social service districts; and making payments to certain public benefit corporations of the state to provide funds to repay the state for amounts advanced to finance the cost of various housing assistance programs administered by such public benefit corporations. The Agency is also authorized to issue bonds and notes to provide funds for the purpose of making mortgage loans to projects combining nonprofit housing and health facilities.

### **Human Rights, Division of**

One Fordham Plaza  
4th Floor  
Bronx, New York 10458  
Helen Diane Foster, Commissioner

**Contact:** Lourdes Centeno, Director of External Relations  
(718) 741-3223  
Web Site: [www.dhr.ny.gov](http://www.dhr.ny.gov)

New York has the proud distinction of being the first state in the nation to enact a Human Rights Law, which ensures that “every individual shall have an equal opportunity to participate fully in the economic, cultural and intellectual life of the state,” by “eliminat[ing] and prevent[ing] discrimination in employment, in places of public accommodation, resort or amusement, in educational institutions, in public services, in housing accommodations, in commercial space and in credit transactions. . . .”

The New York State Division of Human Rights (Division) enforces this important law, which prohibits discrimination in employment, housing, credit, places of public accommodation, and non-sectarian educational institutions based on age, race, national origin, gender, sexual orientation, marital status, disability, military status, and other specified classes.

The Division is engaged in an aggressive strategy to achieve its mission to eradicate discrimination in the areas covered by the Law, including:

- The vigorous prosecution of unlawful discriminatory practices through Division-initiated investigations and complaints;
- The efficient and effective investigation and adjudication of individual complaints of discrimination filed with the Division; and
- The development of policies and legislation that advance civil rights for New Yorkers.

The Division also works with local communities to address bias-related issues and incidents.

The Division operates through its main office in New York City and eleven regional offices across the state. In 2014-15, the Division had a workforce of approximately 158 full-time employees, which included administrative law judges, lawyers, investigators, regional directors, managers, and support staff. The Division also has a working relationship with several local human rights commissions throughout the state to assist in the fight against discrimination.

### **Insurance Department, New York State**

Merged with the New York State Banking Department as of October 3, 2011, and is now known as the New York State Department of Financial Services.

### **Judicial Conduct, Commission on**

61 Broadway, Suite 1200  
New York, New York 10006  
Robert H. Tembeckjian, Administrator and Counsel

**Contact:** Marisa Harrison, Records Access Officer  
(646) 386-4791  
Fax: (646) 458-0037  
E-mail: [Harrison@cjc.ny.gov](mailto:Harrison@cjc.ny.gov)  
Web Site: [www.cjc.ny.gov](http://www.cjc.ny.gov)

The New York State Commission on Judicial Conduct is the independent agency of state government that reviews complaints of misconduct against the 3,500 judges and justices of the State Unified Court System and, where appropriate, renders public disciplinary determinations.

The Commission’s authority derives from Article 6, Section 22, of the Constitution of the State of New York, and Article 2-A of the Judiciary Law of the State of New York. Standards of conduct are set forth primarily in the Rules Governing Judicial Conduct, as promulgated by the Chief Administrator of the Courts on approval of the Court of Appeals, New York’s highest court (22 NYCRR 7000).

The Commission is composed of eleven members serving four-year terms, part-time and without pay. Four members are appointed by the governor, three by the chief judge of the Court of Appeals, and one each by the four leaders of the Legislature. The Constitution requires that four members be judges, at least one be an attorney, and at least two be laypersons. The Commission elects a chair and a vice chair from among its members and appoints an administrator to manage the agency’s day-to-day operations.

The Commission has authority to receive and review written complaints of misconduct against judges, initiate complaints on its own motion, conduct investigations, file formal disciplinary

charges and conduct formal hearings, subpoena witnesses and documents, and make appropriate determinations as to dismissing complaints or disciplining judges and justices of the State Unified Court System. The Commission may confidentially caution, publicly admonish, or publicly censure a judge for misconduct, or determine that a judge should be removed from office for misconduct or retired for disability. Determinations of admonition, censure, removal, or retirement are subject to review by the Court of Appeals, on request of the disciplined judge.

The types of complaints that may be investigated by the Commission include poor demeanor, conflicts of interest, violations of defendants' or litigants' rights, intoxication, bias, prejudice, favoritism, gross neglect, corruption, financial and other records-keeping derelictions, certain prohibited political activity, and other misconduct on or off the bench.

Statistics regarding the Commission's actions are published in its Annual Report and are available at [www.cjc.ny.gov](http://www.cjc.ny.gov).

## Labor, Department of

State Office Campus, Building 12  
Albany, New York 12240  
Mario J. Musolino, Acting Commissioner

**Contact:** Tiffany Portzer  
(518) 457-5519  
Web Site: [www.labor.ny.gov](http://www.labor.ny.gov)

Under the direction of Governor Andrew Cuomo, the New York State Department of Labor supports the economic interests of the people and businesses of New York State. The department connects people to jobs, assists the unemployed, oversees the development of workplace skills, and protects the public and the workforce.

In carrying out its mission, the department administers New York's:

- Unemployment insurance system;
- Labor exchange system; and
- State worker protection programs, including enforcement of:
  - Safety and health regulations in the public sector;
  - State labor laws;
  - Federal statutes related to working conditions;
  - Wage and hour laws; and
  - Laws related to public work.

The department also serves as the state's principal source for labor market information. We offer a variety of services designed to help businesses find workers and people find jobs.

The department's Division of Employment and Workforce Solutions (DEWS) has offices located across the state. The offices offer employment-related services, including:

- Career counseling and testing;
- Occupational analysis;
- Job development and placement;
- Access to government-sponsored training programs; and
- Computerized job search resources.

The Department of Labor is charged with overseeing the implementation of the federal Workforce Innovation and Opportunity Act of 2014 within New York State. The act streamlines the large

number of training programs New York State offers. It encourages economic development by enhancing New York's competitiveness through a more highly skilled workforce. It seeks to create a business-driven workforce preparation system to ensure workers possess the skills employers demand.

The department cooperates with schools, businesses, and unions to sponsor a number of training programs for disadvantaged youth and displaced workers. The department has established a number of apprenticeship programs. These programs help to produce a highly trained workforce and expand opportunities for women and minorities to move into well-paying jobs. The department maintains the online resources CareerZone (a career exploration and planning tool for youth) and JobZone (a career management tool for adults).

The department administers the state's unemployment insurance program, sending benefits to as many as several hundred thousand claimants each week. The department collects contributions from approximately 475,000 businesses to finance these benefits.

The Labor Department is responsible for enforcing State Labor Law and rules and regulations. The department's Apparel Industry Task Force combats abuses in the garment industry. Labor Department staff also enforces regulations governing minimum wage, hours, payment of wages, working conditions, and the general health and safety of all public employees. This includes the funding of safety and health training and education programs.

The department has a Web site that provides information about its activities and services. The site includes information for employers, job seekers, unemployment insurance claimants, and other users of labor market information. The Web site address is [www.labor.ny.gov](http://www.labor.ny.gov).

The department's Division of Research and Statistics collects, analyzes, and publishes statistics on department operations. It conducts research on and evaluates program proposals and operating programs. It also publishes a wide variety of statistical and analytical information, and publications relating to the economy of the state and its regions. This information includes data on:

- Occupational and industry wages;
- Resident employment and unemployment;
- Employment trends by industry;
- Population characteristics;
- Occupational injuries and illnesses;
- Occupational employment and projections; and
- Data for affirmative action planning.

The division also provides online lists of in-demand occupations and job seeker resources. The Web site also lists contact information for the division's ten labor market analysts located around the state, who are available for consultation.

### Statistical Series:

Employees in Nonagricultural Establishments: Estimates of the number of nonfarm wage and salary workers who received pay for any part of the payroll period covering the twelfth day of the month (data based on payroll reports).

Frequency: Monthly

Areas covered: New York State, New York City, metropolitan areas, and counties

Published: 1990 to present

Quarterly Census of Employment and Wages: Employment and payrolls covered under the New York State Unemployment Insurance Law. The employment count represents the number of full-time and part-time employees earning wages or salaries for the payroll period covering the twelfth day of the month.

Frequency: Annually

Areas covered: New York State, New York City, metropolitan areas, and counties

Civilian Labor Force: Estimates of resident civilian labor force (employed and unemployed) and the unemployment rate.

Frequency: Monthly

Areas covered: New York State, New York City, Balance of State, metropolitan areas, all counties and areas with populations of 25,000 or more

Published: 1976 to present (State, New York City, and Balance of State); 1990 to present (counties, upstate metropolitan areas, and areas with populations of 25,000 or more)

Occupational Injuries and Illnesses: Estimates of the number and rate of workplace injuries and illnesses. The survey publishes work-related injury and illness statistics for both the public and private sectors of New York State.

Frequency: Annually

Areas covered: New York State

Occupational Employment Statistics: Measures occupational wage rates for wage and salary workers in nonfarm establishments. Data are based on a survey of approximately 52,000 establishments conducted over a period of three years.

Frequency: Annually

Areas covered: New York State and labor market regions defined by the New York State Department of Labor

For additional data/publications, visit our Web site at [www.labor.ny.gov](http://www.labor.ny.gov).

## State Data Center

New York State Department of Labor  
W.A. Harriman State Office Campus, Building 12  
Albany, New York 12240  
Peter M. Rivera, Commissioner

**Contact:** Laura Close, State Data Center Contact,  
Division of Research and Statistics  
(518) 457-6657

New York State is participating in the State Data Center Program established by the U.S. Bureau of the Census. The purpose of this program is to provide improved access to the extensive data files available from nationwide censuses that collect and process information on a wide range of topics at various levels of governmental or geographic subdivisions.

The New York State Data Center provides services to state and local governments, business and industry, educational institutions, nonprofit organizations, and individuals. These services are provided through a network of Affiliate Data Centers located throughout the entire state. The Affiliates, along with public, academic, and research libraries, provide data access and statistical services at the local level. (For a complete list of Affiliate Data Centers, see pages 720-724.)

Primary data sources include the decennial Census of Population and Housing, Economic Censuses, the Census of Agriculture, the Census of Governments, and a variety of other data maintained by the U.S. Bureau of the Census, Bureau of Economic Analysis, and Bureau of Labor Statistics. Much of the data is available on the Internet and CD-ROM as well as in printed reports.

## New York State Data Center and Affiliates

### Lead Agency

New York State Department of Labor  
Building 12, Room 402, Harriman State Campus  
Albany, New York 12240  
Contact: Ms. Laura Close  
Phone: (518) 457-6657  
Fax: (518) 457-6199  
E-mail: [laura.close@labor.ny.gov](mailto:laura.close@labor.ny.gov)  
Web Site: [www.labor.ny.gov/nys-data-center/index.shtm](http://www.labor.ny.gov/nys-data-center/index.shtm)

Serves: Statewide

### Coordinating Agencies

Program on Applied Demographics  
424 Kennedy Hall  
Cornell University  
Ithaca, New York 14853  
Director: Dr. Warren A. Brown  
Contact: Mr. Jan Vink  
Phone: (607) 255-8399  
E-mail: [jdf2@cornell.edu](mailto:jdf2@cornell.edu),  
[jkv3@cornell.edu](mailto:jkv3@cornell.edu)  
Web Site: [pad.human.cornell.edu](http://pad.human.cornell.edu)

Serves: Statewide

New York State Library  
Cultural Education Center, 6th Floor  
Empire State Plaza  
Albany, New York 12230  
Contact: Mr. Allan Raney  
Phone: (518) 474-5355  
Fax: (518) 474-5786  
E-mail: [allan.raney@nysed.gov](mailto:allan.raney@nysed.gov)  
Web Site: [www.nysl.nysed.gov](http://www.nysl.nysed.gov)

Serves: Statewide

### Affiliates

Capital District Regional Planning Commission  
1 Park Place  
Albany, New York 12205-2626  
Contact: Mr. Dan Harp  
Phone: (518) 453-0850  
Fax: (518) 453-0856  
E-mail: [dan@cdrpc.org](mailto:dan@cdrpc.org)  
Web Site: [www.cdrpc.org](http://www.cdrpc.org)

Serves: Albany, Rensselaer, Saratoga, Schenectady

Center for Governmental Research, Inc.  
One South Washington Street, Suite 400  
Rochester, New York 14614

## New York State Data Center and Affiliates

Contact: Ms. Kate Bell  
Phone: (585) 327-7062  
Fax: (888) 388-8521  
E-mail: [kbell@cgr.org](mailto:kbell@cgr.org)  
Web Site: [www.cgr.org](http://www.cgr.org)

Serves: Statewide

City University of New York  
CUNY Data Service  
The Graduate Center of the City University of New York  
365 Fifth Avenue, 6th Floor  
New York, New York 10016

Contact: Dr. Joseph Pereira  
Phone: (212) 817-2032  
Fax: (212) 817-1575  
E-mail: [jpereira@gc.cuny.edu](mailto:jpereira@gc.cuny.edu)  
Web Site: [www.urbanresearch.org](http://www.urbanresearch.org)

Serves: Statewide

Columbia County Planning and  
Economic Development Department  
401 State Street, 3rd Floor  
Hudson, New York 12534

Contact: Ms. Patrice O. Perry  
Phone: (518) 828-3375  
Fax: (518) 828-2825  
E-mail: [patrice.perry@columbiacountyny.com](mailto:patrice.perry@columbiacountyny.com)  
Web site: [www.columbiacountyny.com/depts/planning](http://www.columbiacountyny.com/depts/planning)

Serves: Columbia County

Columbia University  
Lehman Library  
328 SIPA  
420 West 118th Street, MC 3301  
New York, New York 10027

Contact: Ms. Ashley Jester  
Phone: (212) 854-6012  
Fax: (212) 854-2495  
E-mail: [dssc.data@columbia.edu](mailto:dssc.data@columbia.edu)  
Web Site: [library.columbia.edu/locations/dssc/data/service.html](http://library.columbia.edu/locations/dssc/data/service.html)

Serves: Bronx, Kings, New York, Queens, Richmond

Dutchess County Department of Planning  
27 High Street, 2nd Floor  
Poughkeepsie, New York 12601

Contact: Ms. Janet Tissiere  
Phone: (845) 486-3600  
Fax: (845) 486-3610  
E-mail: [jtissiere@dutchessny.gov](mailto:jtissiere@dutchessny.gov)  
Web Site: [www.co.dutchess.ny.us/CountyGov/Departments/Planning/PLIndex.htm](http://www.co.dutchess.ny.us/CountyGov/Departments/Planning/PLIndex.htm)

Serves: Dutchess

Finger Lakes Health Systems Agency  
1150 University Avenue  
Rochester, New York 14607

Contact: Ms. Melinda Whitbeck  
Phone: (585) 461-3520  
Fax: (585) 461-0997  
E-mail: [melindawhitbeck@flhsa.org](mailto:melindawhitbeck@flhsa.org)  
Web Site: [www.flhsa.org](http://www.flhsa.org)

Serves: Statewide

Genesee Finger Lakes Regional Planning Council  
50 West Main Street, Suite 8107  
Rochester, New York 14614

Executive Director: Mr. David Zorn

Contact: Mr. Razy Kased  
Phone: (585) 454-0190 Ext. 14  
Fax: (585) 454-0191  
E-mail: [dave.zorn@gflrpc.org](mailto:dave.zorn@gflrpc.org),  
[rkased@gflrpc.org](mailto:rkased@gflrpc.org)  
Web Site: [www.gflrpc.org](http://www.gflrpc.org)

Serves: Genesee, Livingston, Monroe, Ontario, Orleans, Seneca, Wayne, Wyoming, Yates

Greene County Department of Economic  
Development, Tourism and Planning  
411 Main Street  
Catskill, New York 12414

Contact: Mr. William Roehr  
Phone: (518) 719-3290  
Fax: (518) 719-3789  
E-mail: [wroehr@co.montgomery.ny.us](mailto:wroehr@co.montgomery.ny.us)  
Web Site: [greenebusiness.com](http://greenebusiness.com)

Serves: Greene

Herkimer-Oneida Counties Comprehensive  
Planning Program  
Union Station

321 Main Street, 3rd Floor  
Utica, New York 13501

Contact: Mr. Dale Miller  
Phone: (315) 798-5710  
Fax: (315) 798-5852  
E-mail: [dmiller@ocgov.net](mailto:dmiller@ocgov.net)  
Web Site: [www.ocgov.net/oneida/planning/](http://www.ocgov.net/oneida/planning/)

Serves: Herkimer, Oneida

Hudson Valley Regional Council  
3 Washington Center, 2nd Floor  
Newburgh, New York 12550-4667

Contact: Ms. Patricia S. Pomeroy, Executive Director  
Phone: (845) 564-4075  
Fax: (845) 565-4918  
E-mail: [hvrc@hvi.net](mailto:hvrc@hvi.net)  
Web Site: [hudsonvalleyregionalcouncil.org](http://hudsonvalleyregionalcouncil.org)

Serves: Hudson Valley, Sullivan County

Lake Champlain/Lake George Regional Planning Board  
P. O. Box 765  
Lake George, New York 12845

Contact: Mr. Walter Young  
Phone: (518) 668-5773  
Fax: (518) 668-5774  
E-mail: [wyoung\\_rpb@verizon.net](mailto:wyoung_rpb@verizon.net)  
Web Site: [www.lclgrpb.org](http://www.lclgrpb.org)

Serves: Clinton, Essex, Hamilton, Warren, Washington

Montgomery County Dept. of Economic Development  
and Planning  
Old County Courthouse

9 Park Street  
P. O. Box 1500  
Fonda, New York 12068-1500

## New York State Data Center and Affiliates

Contact: Mr. Doug Greene  
Phone: (518) 853-8155  
Fax: (518) 853-8336  
E-mail: dgreene@co.montgomery.ny.us  
Web Site: www.mcbdc.org

Serves: Montgomery

Nelson A. Rockefeller Institute of Government  
411 State Street  
Albany, New York 12207

Contact: Mr. Michael Cooper  
Phone: (518) 443-5258  
Fax: (518) 443-5832  
E-mail: michael.cooper@rockinst.suny.edu  
Web Site: www.rockinst.org

Serves: Statewide

New York City Department of City Planning  
22 Reade Street — 4 West  
New York, New York 10007

Contact: Mr. Joel Alvarez  
Phone: (212) 720-3522  
Fax: (212) 720-3495  
E-mail: JAlvare@planning.nyc.gov  
Web Site: www.nyc.gov/population

Serves: Bronx, Kings, New York, Queens, Richmond

New York Metropolitan Transportation Council  
25 Beaver Street, Suite 201  
New York, New York 10004

Contact: Ms. Larisa Morozovskaya  
Phone: (212) 383-7222  
E-mail: Larisa.Morozovskaya@dot.ny.gov  
Web Site: www.nymtc.org

Serves: Nassau, New York City, Putnam, Rockland, Suffolk, Westchester

New York State Department of Economic Development  
625 Broadway  
Albany, New York 12245

Contact: Ms. MeMe Zin  
Phone: (518) 292-5134  
E-mail: mzin@esd.ny.gov  
Web Site: www.esd.ny.gov

Serves: Statewide

New York State Department of Transportation  
Policy and Planning Division  
Demographic Analysis and Forecasting  
50 Wolf Road  
Albany, New York 12232

Contact: Mr. Nathen M. Harp  
Phone: (518) 485-0968  
Fax: (518) 457-9658  
E-mail: Nathen.Harp@dot.ny.gov  
Web Site: www.dot.ny.gov/index

Serves: Statewide

New York State Homes and Community Renewal  
Hampton Plaza  
38-40 State Street  
Albany, New York 12204

Contact: Mr. Michael Weber  
Phone: (518) 486-5188  
E-mail: Michael.Weber@nyshcr.org  
Web Site: www.nyshcr.org

Serves: Statewide

New York State Office of Children and Family Services  
Bureau of Evaluation and Research  
52 Washington Street, Room 313  
South Building  
Rensselaer, New York 12144

Contact: Dr. Vajeera Dorabawila  
Phone: (518) 402-7386  
Fax: (518) 473-2102  
E-mail: vajeera.dorabawila@ocfs.state.ny.us  
Web Site: ocfs.ny.gov/main/

Serves: Statewide

New York State Office of Temporary and  
Disability Assistance  
40 North Pearl Street  
Albany, New York 12243

Contact: Mr. Dave Dlugolecki  
Phone: (518) 474-9370  
Fax: (518) 474-7688  
E-mail: dave.dlugolecki@otda.ny.gov  
Web Site: www.otda.ny.gov

Serves: Statewide

New York State Rural Housing Coalition, Inc.  
79 North Pearl Street, Suite 300  
Albany, New York 12207

Contact: Ms. Lorraine Wynne  
Phone: (518) 458-8696 Ext. 10  
E-mail: Lorraine@ruralhousing.org  
Web Site: ruralhousing.org

Serves: Statewide

New York State Small Business Development Center  
State University of New York  
22 Corporate Woods Boulevard, 3rd Floor  
Albany, New York 12246

Contact: Mr. Roger Green  
Phone: (518) 641-0610  
Fax: (518) 432-3849  
E-mail: roger.green@nyssbdc.org  
Web site: www.nyssbdc.org

Serves: Statewide

Niagara County Center for Economic Development  
Vantage Center, Suite One  
6311 Inducon Corporate Drive  
Sanborn, New York 14132

Contact: Mr. Mike Casale  
Phone: (716) 278-8750  
Fax: (716) 278-8757  
E-mail: michael.casale@niagaracounty.com  
Web site: www.niagaracountybusiness.com/contact\_NCDED.asp

Serves: Niagara

## New York State Data Center and Affiliates

Orange County Department of Planning  
124 Main Street  
Goshen, New York 10924

Contact: Ms. Megan Tennermann  
Phone: (845) 615-3855  
Fax: (845) 291-2533  
E-mail: mtennermann@orangecounty.gov.com  
Web Site: www.orangecountygov.com/content/124/1362/default.aspx

Serves: Orange

Port Authority of New York and New Jersey  
233 Park Avenue South, 11th Floor  
New York, New York 10003

Contact: Dr. Alexander Heil  
Phone: (212) 435-4417  
Fax: (212) 435-4423  
E-mail: aheil@panynj.gov  
Web Site: www.panynj.gov

Serves: Dutchess, Nassau, New York City, Orange, Putnam, Rockland, Suffolk, Westchester

Putnam County Real Property Tax Service Agency  
40 Gleneida Avenue, 1st Floor  
Carmel, New York 10512

Contact: Ms. Lisa Johnson  
Phone: (845) 808-1030  
E-mail: Lisa.Johnson@putnamcountyny.gov  
Web Site: www.putnamcountyny.com/directory/#toggle-id-26

Serves: Putnam

Queens Library  
89-11 Merrick Boulevard  
Jamaica, New York 11432

Contact: Ms. Jaime Anno  
Manager of Organizational Assessment  
Phone: (718) 990-8656  
Fax: (718) 297-3404  
E-mail: Jaime.L.Anno@queenslibrary.org  
Web Site: www.queenslibrary.org

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Rockland County Department of Planning  
50 Sanatorium Road, Building T  
Pomona, New York 10970

Contact: Mr. Michael D'Angelo  
Phone: (845) 364-3439  
Fax: (845) 364-3435  
E-mail: DAngeloM@co.rockland.ny.us  
Web Site: rocklandgov.com/departments/planning/

Serves: Rockland

St. Lawrence County Planning Office  
48 Court Street  
Canton, New York 13617-1169

Contact: Mr. John F. Tenbusch  
Phone: (315) 379-2292  
Fax: (315) 379-2252  
E-mail: planning@co.st-lawrence.ny.us  
Web Site: www.co.st-lawrence.ny.us/Departments/Planning/

Serves: St. Lawrence

Southern Tier Central Regional Planning and Development Board  
8 Denison Parkway East  
Suite 310  
Corning, New York 14830

Contact: Ms. Victoria Ehlen  
Phone: (607) 962-5092  
Fax: (607) 962-3400  
E-mail: economicdevelopment@stny.rr.com  
Web Site: www.stcplanning.org

Serves: Chemung, Schuyler, Steuben

Southern Tier East Regional Planning and Development Board  
49 Court Street, Suite 222  
Binghamton, New York 13901

Contact: Ms. Lolene Cornish and  
Ms. Caroline Quidort  
Phone: (607) 724-1327 Ext. 207  
Fax: (607) 724-1194  
E-mail: lcornish@steny.org,  
cquidort@steny.org  
Web Site: www.steny.org

Serves: Broome, Chenango, Cortland, Delaware, Otsego, Schoharie, Tioga, Tompkins

Southern Tier West Regional Planning and Development Board  
Center for Regional Excellence  
4039 Route 219, Suite 200  
Salamanca, New York 14779

Contact: Mr. Richard Zink  
Phone: (716) 945-5301 Ext. 2203  
Fax: (716) 945-5550  
E-mail: rzink@southerntierwest.org  
Web Site: www.southerntierwest.org

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State University of New York at Albany  
Center for Social and Demographic Analysis, BA-B10  
1400 Washington Avenue  
Albany, New York 12222

Contact: Mr. Timothy Gage  
Phone: (518) 442-4829  
Fax: (518) 442-3380  
E-mail: TBG97@albany.edu  
Web Site: www.csda.albany.edu

Serves: Statewide

State University of New York at Binghamton  
Binghamton University Libraries  
P. O. Box 6012  
Binghamton, New York 13902

Contact: Mr. Dave Vose  
Phone: (607) 777-4907  
Fax: (607) 777-4848  
E-mail: dvose@binghamton.edu  
Web Site: www.binghamton.edu/libraries/

Serves: Statewide

State University of New York at Buffalo  
Business and Government Documents Reference Center  
Lockwood Library  
Buffalo, New York 14260-2200

## New York State Data Center and Affiliates

Contact: Mr. Ed Herman  
Phone: (716) 645-7395  
Fax: (716) 645-3859  
E-mail: lolherma@buffalo.edu  
Web Site: library.buffalo.edu/lml/  
/collections/gov/

Serves: Statewide

Suffolk County Department of Economic Development  
and Planning

H. Lee Dennison Building, 4th Floor  
100 Veterans Memorial Highway  
Hauppauge, New York 11788

Contact: Mr. Peter Lambert  
Phone: (631) 853-5196  
Fax: (631) 853-4044  
E-mail: peter.lambert@suffolkcountyny.gov  
Web Site: www.suffolkcountyny.gov  
/Departments/Planning/Divisions  
/PlanningResearch.aspx

Serves: Nassau, Suffolk

Syracuse-Onondaga County Planning Agency  
1100 Civic Center  
421 Montgomery Street  
Syracuse, New York 13202

Contact: Ms. Megan Costa  
Phone: (315) 435-8571  
Fax: (315) 435-2439  
E-mail: megancosta@ongov.net  
Web Site: www.ongov.net/planning

Serves: Onondaga

Syracuse University  
Bird Library  
222 Waverly Avenue  
Syracuse, New York 13244

Contact: Mr. Paul Bern  
Phone: (315) 443-1352  
Fax: (315) 443-9510  
E-mail: phbern@syr.edu  
Web Site: library.syr.edu

Serves: Statewide

Ulster County Planning Department  
P. O. Box 1800  
244 Fair Street  
Kingston, New York 12402

Contact: Mr. Robert Leibowitz  
Phone: (845) 340-3337  
Fax: (845) 340-3429  
E-mail: rlei@co.ulster.ny.us  
Web Site: co.ulster.ny.us/planning/

Serves: Ulster

Westchester County Department of Planning  
432 County Office Building  
148 Martine Avenue  
White Plains, New York 10601

Contact: Mr. Ted Leimbach  
Phone: (914) 995-2412  
Fax: (914) 995-3780  
E-mail: eal8@westchestergov.com  
Web Site: planning.westchestergov.com

Serves: Westchester

## Law, Department of

See: Attorney General, New York State Office of the

## Liquor Authority, State

See: Alcoholic Beverage Control, Division of

## Medicaid Inspector General, Office of the New York State

800 North Pearl Street  
Albany, New York 12204  
Dennis Rosen, Medicaid Inspector General

**Contact:** Victoria Vattimo, Director of  
Intergovernmental Relations  
(518) 408-0270  
Web Site: www.omig.ny.gov  
Twitter: @NYSOMIG

The mission of the Office of the Medicaid Inspector General (OMIG) is to enhance the integrity of the New York State Medicaid program by preventing and detecting fraudulent, abusive, and wasteful practices in the Medicaid program and recovering impro-

perly expended Medicaid funds while promoting high-quality patient care. OMIG focuses on fighting fraud, improving integrity and quality, and saving taxpayer dollars.

OMIG's core function is to conduct, coordinate, and supervise activities to prevent, detect, and investigate Medicaid fraud and abuse, with the goal of assuring integrity in the Medicaid program, as well as to recover overbilling by Medicaid providers.

The Office of the Medicaid Inspector General is responsible for:

- Coordinating fraud and abuse control activities with a number of partner agencies:
  - Department of Health;
  - Offices of Mental Health, Aging, Persons with Developmental Disabilities, Alcoholism and Substance Abuse Services, Temporary and Disability Assistance, and Children and Family Services;
  - New York State Justice Center for the Protection of People with Special Needs;
  - State Education Department;
  - the fiscal agent — Computer Sciences Corporation (CSC) — employed to operate the Medicaid management information system; and
  - local and county governments and entities.

- Working in a coordinated and cooperative manner with, to the greatest extent possible, the
  - State Attorney General for Medicaid Fraud Control; and
  - State Comptroller
- Pursuing civil and administrative enforcement actions against those who engage in fraud, waste, or abuse or other illegal or inappropriate acts perpetrated within the Medicaid program.
- Apprising the governor and the heads of agencies with responsibility for the administration of the Medicaid program of efforts to prevent, detect, investigate, and prosecute fraud, waste, and abuse within the Medicaid system.
- Making available to appropriate law enforcement agencies the information and evidence relating to potential criminal acts that may be obtained in carrying out the day-to-day functions of the office (e.g., auditing, investigating, etc.).

OMIG also stresses the role of compliance in preventing improper payments to Medicaid providers. OMIG conducts educational programs via webinars and written material to assist providers in their compliance efforts.

The OMIG maintains an active Web site ([www.omig.ny.gov](http://www.omig.ny.gov)), containing information on providers who have been excluded from the Medicaid program, regulations pertaining to the OMIG, information on compliance programs, and final audit reports.

The Office of the Medicaid Inspector General is headquartered in Albany. Regional offices are located in Buffalo, Hauppauge, New York City, Rochester, Syracuse, and White Plains.

## Medical Care Facilities Finance Agency

Merged into the Dormitory Authority of the State of New York effective September 1, 1995.

## Mental Health, Office of

44 Holland Avenue  
Albany, New York 12229  
Ann Marie T. Sullivan, M.D., Acting Commissioner

**Contact:** Marleen Radigan, DrPH  
Director, Office of Performance Measurement  
and Evaluation  
(518) 486-7235  
Web Site: [www.omh.ny.gov](http://www.omh.ny.gov)

The Office of Mental Health (OMH) is charged with carrying out mandates of the state's Mental Hygiene Law and has overall responsibility for developing plans, programs, and services in the care, treatment, rehabilitation, education, and training of the mentally ill.

Its responsibility is two tiered — it directly provides services and also controls the allocation of funds to and the certification of the nonstate-operated mental health programs. OMH provides inpatient treatment at fifteen adult hospitals, four child/youth facilities, three forensic facilities, and several additional child and forensic units attached to the adult facilities. OMH also operates two sex offender treatment programs, two research institutions, and dozens of community outpatient, residential, and care management programs.

It allocates state-appropriated funds to local governments and issues operating certificates to provider agencies that meet required standards.

The Office maintains a large database computer system for the facilities it operates. Individual patient-specific information is entered online at the facilities and transmitted to the Central Office mainframe. These resultant data files are maintained at the Central Office and are used for billing, planning, statistical, and management activities. In addition, the Patient Characteristics Survey (PCS) is conducted every two years, and collects demographic, clinical, and service-related information for each person who receives a public mental health service during a specified one-week period. The PCS receives data from approximately 4,900 mental health programs serving 180,000 people during the survey week. All programs licensed or funded by the New York State Office of Mental Health (OMH) are required to complete the survey. The Office also maintains smaller data systems, which provide information on certified beds, staffing, and budget allocations.

### Statistical Series:

#### PATIENTS SERVED

Persons seen during a one-week period in all programs operated, certified, or funded by the Office of Mental Health (e.g., state psychiatric centers, outpatient clinics, inpatient units of general hospitals, emergency service programs, residential, vocational, and support programs). Details on persons seen include: county of residence, county of unit locations, age, sex, ethnic group, diagnosis, multiple disabilities, and other variables.

In: Survey of Patient Characteristics, Summary Reports, County Rankings and Detailed Reports. Additional information is available at [www.omh.ny.gov](http://www.omh.ny.gov).

Area covered: State, regions, and counties

Survey years: 1981, 1982, 1984, 1985, and every two years thereafter.

## Mental Hygiene, Department of

See: Alcoholism and Substance Abuse Services, Office of; Mental Health, Office of; Mental Retardation and Developmental Disabilities, Office of

## Mental Retardation and Developmental Disabilities, Office of

See: People With Developmental Disabilities, Office for

## Mentally Disabled, Commission on Quality of Care for the

See: Quality of Care for Persons with Disabilities, Commission on

## Metropolitan Transportation Authority

2 Broadway  
New York, New York 10004  
Thomas F. Prendergast, Chairman and Chief Executive Officer

**Contact:** Donna Evans, Chief of Staff  
(212) 878-7476  
Web Site: [www.mta.info](http://www.mta.info)

The Metropolitan Transportation Authority is a public-benefit corporation for the continuance, further development, and improvement of public transportation in the five boroughs of New York City, seven suburban counties in New York State (Dutchess, Nassau, Orange, Putnam, Rockland, Suffolk, and Westchester), and extending into Fairfield and New Haven counties in Connecticut.

In 1965, the State Legislature created the Metropolitan Commuter Transportation Authority to operate the Long Island Rail Road. The authority was reconstituted as the Metropolitan Transportation Authority (MTA) in 1968, with its powers expanded to include the New York City Transit Authority, the Manhattan and Bronx Surface Transit Operating Authority (MaBSTOA) (collectively, MTA New York City Transit), and the Triborough Bridge and Tunnel Authority (MTA Bridges and Tunnels). In 1971, it added responsibility for the Staten Island Rapid Transit Operating Authority (MTA Staten Island Railway, operated by New York City Transit); and in 1983 the Metro-North Commuter Railroad (MTA Metro-North Railroad). In 2003, the MTA created the MTA Capital Construction Company to manage its large-scale system expansion projects. In 2004, it created the MTA Bus Company (MTA Bus) to consolidate the operations of seven private bus companies operating under franchises issued by the New York City Department of Transportation. In 2007, the operations of its bus companies (New York City Transit – Buses and MTA Bus) were consolidated under a single management group. (From 1973 through 2011, the MTA operated the Metropolitan Suburban Bus Authority [MTA Long Island Bus] on behalf of Nassau County.)

MTA headquarters provides policy direction and financing to its operating agencies for the development of operating and capital programs and for maximizing the use of MTA transportation services and facilities. Operating agencies carry out daily operations on the various transportation systems. The State of New York provides operating assistance to the MTA through mass transportation assistance programs administered by the Department of Transportation. Subsidies from the federal and local governments also provide operating support. In the past decade, the MTA has had unprecedented ridership growth. It provides more than eight and a half million rides each weekday, accounting for one-third of all transit ridership in the United States.

Capital funding is provided through government appropriations and through the issuance of MTA debt securities. Since 1982, the MTA has been carrying out an enormous capital program, restoring and replacing its vast transit network. By the end of 2014, \$98.5 billion has been invested for this purpose, enabling the authority to continue providing safe, secure, and reliable public transportation to its service region.

The capital program has funded improvements in all MTA operations. In New York City, these included upgraded tracks and signals; modernized subway stations; new and renovated bus depots; new and overhauled subway cars and buses; and security programs for trains, subways, and yards. For commuter railways, the capital program has funded new rail cars and locomotives; the restoration of Grand Central Terminal and renovation of Penn Station; improvements to stations, yards, tunnels, and signals; new repair and maintenance facilities; and electrification of lines.

#### Statistical Series:

Capital Program Oversight Committee Report: Monitors such capital program activities as: funding; contract awards; impact on

operating budget; and project progress. Required by 1986 state legislation, this report is sent to members of the Capital Program Review Board, MTA Board, and other interested parties.

Frequency: Quarterly

Areas covered: New York City and the suburban counties

MTA Annual Report to Governor: Reports on MTA-wide issues, as required by statute, including the progress of the capital program, and on the operating performance of each MTA agency.

Frequency: Annually

Areas covered: New York City and the suburban counties

Comprehensive Annual Financial Report: Published in compliance with guidelines set forth by the Government Financial Officers Association. Includes complete audited financial statements.

Frequency: Annually

Areas covered: New York City and the suburban counties

Report to the MTA Finance Committee: Reports on financial performance, service, and operating performance for each MTA agency. Included are revenues, expenditures, operating statistics, and such performance indicators as on-time statistics and frequency of breakdowns. Also reports on the progress of MTA's capital program for MTA New York City Transit, MTA Metro-North Railroad, MTA Long Island Rail Road, MTA Bridges and Tunnels, and MTA Capital Construction. Included are specific contracts signed, costs for each project, category of work, expected start and completion dates, reasons for delays, projects completed, and major highlights.

Frequency: Eight times per year (approximately every six weeks)

Areas covered: New York City and the suburban counties

Passenger Environment Surveys: The MTA operating agencies perform periodic surveys of its environment and its passengers.

Frequency: Various

Areas covered: New York City and the suburban counties

## Metropolitan Transportation Council, New York

199 Water Street, 22nd Floor

New York, New York 10038

Lisa Daglian, Interim Executive Director

**Contact:** Lisa Daglian, Interim Executive Director

(212) 383-7241

E-mail: [lisa.daglian@dot.ny.gov](mailto:lisa.daglian@dot.ny.gov)

Web Site: [www.NYMTC.org](http://www.NYMTC.org)

The New York Metropolitan Transportation Council (NYMTC) is a regional council of governments that is the metropolitan planning organization for New York City, Long Island, and the lower Hudson Valley. NYMTC provides a collaborative planning forum to address transportation-related issues, develops regional plans, and makes decisions on the use of federal transportation funds. The NYMTC planning area covers 2,440 square miles and a population of 12.4 million, which was approximately 64 percent of New York State's population based on 2010 Census counts.

#### Mission

- To serve as the collaborative forum to address transportation-related issues from a regional perspective;
- To facilitate informed decision-making within the Council by providing sound technical analyses;
- To ensure the region is positioned to capture the maximum federal funds available to achieve the goals of the

Unified Planning Work Program, Regional Transportation Plan, and Transportation Improvement Program; and

- To focus the collective planning activities of all Council members to achieve a shared regional vision.

### Voting Members

- Matthew J. Driscoll, New York State Department of Transportation Commissioner and New York Metropolitan Transportation Council co-chair
- Steve Bellone, Suffolk County Executive and New York Metropolitan Transportation Council co-chair
- Polly Trottenberg, Commissioner, New York City Department of Transportation
- Carl Weisbrod, Director, New York City Department of City Planning
- Thomas F. Prendergast, Chairman and Chief Executive Officer, Metropolitan Transportation Authority
- Edward P. Mangano, Nassau County Executive
- MaryEllen Odell, Putnam County Executive
- Edwin J. Day, Rockland County Executive
- Robert P. Astorino, Westchester County Executive

### Advisory Members

- Patrick Foye, Executive Director, Port Authority of New York and New Jersey
- Dennis J. Martin, Interim Executive Director, New Jersey Transit
- Mary K. Murphy, Executive Director, North Jersey Transportation Planning Authority
- Basil Seggos, Acting Commissioner, New York State Department of Environmental Conservation
- Henrika Buchanin-Smith, Regional Administrator, Federal Transit Administration, New York State Division
- Peter Osborn, Division Administrator, Federal Highway Administration
- Judith Enck, Regional Administrator, U.S. Environmental Protection Agency
- Lisa Daglian, Interim Executive Director, New York Metropolitan Transportation Council
- Ron Epstein, Council Secretary, New York State Department of Transportation

### Statistical Series

Hub-Bound Travel: Persons and vehicles entering and leaving Manhattan's Central Business District, by travel mode and time of day, on an average business day.

Frequency: Annually

Areas covered: Manhattan Central Business District (south of 60th Street)

Published:

1959 (contains some CBD information for the years: 1924, 1932, 1940, 1948, 1956)

1961 (1924-1960 data)

1966 (1963 data)

1973 (1971 data)

1975 to present (contains 1973 to most recent [2012] available CBD data)

Regional Transportation Statistical Report: Demographic and transportation statistics, including operational and financial data by mode; social and economic statistics; and safety statistics.

Frequency: Annually to 2007

Areas covered: New York metropolitan area, including New Jersey and Connecticut

Published: 1983, 1986-1988, 1991 to 2007

Note: No longer produced

Transportation Safety Report: Addresses transportation safety issues and transportation accident statistics.

Frequency: Annually

Area covered: The 10-county downstate metropolitan area

Published: 1997-98, 2000-06

Note: No longer produced

Truck Toll Volumes Report: An analysis of truck trips on toll crossings data, emphasizing the importance of freight transportation in the region's overall economic development.

Frequency: Annually

Area covered: The New York metropolitan region

Published: 1999-2008 (1979 to 2007 data)

Note: No longer produced

Travel Patterns: An indication of the major changes in mass transit ridership and vehicular traffic on the river crossings in the New York metropolitan area, following the events of September 11, 2001.

Frequency: Quarterly

Area covered: The New York metropolitan region

Published: 2002 to present (2002 to most recent [2013] available data)

### Other Publications

#### FEDERALLY REQUIRED PLANNING PRODUCTS

Plan 2040 — A Shared Vision for a Sustainable Region (adopted September 2013). Plan 2040 lays out a long-range framework for maintaining and improving the region's transportation system: its roads, bridges, freight and mass transit facilities, and its bicycle and pedestrian networks. It identifies the transportation improvement concepts, projects, and policy recommendations that can address the region's long-term growth challenges and needs — an initial step to bringing federal transportation dollars into the area.

The 2013 Congestion Management Process (CMP) Status Report (adopted September 2013) contains model-based estimates of current, and forecasts of future, traffic congestion in NYMTC's planning area and specific performance measures that reflect the amount, severity, and general locations of traffic congestion. In order to fulfill federal requirements for a Congestion Management Process, the CMP Status Report is published every four years in conjunction with the release of NYMTC's Regional Transportation Plan.

The 2040 Environmental Justice and Title VI Assessment Report (adopted September 2013) examines NYMTC's transportation planning process in the context of the requirements of Title VI of the Civil Rights Act of 1964, the Federal Executive Order of 1994, and other federal guidance on environmental justice. It was developed to meet federal transportation planning requirements during the same cycle of the draft Regional Transportation Plan (RTP). The Environmental Justice Assessment for the Planning Process Report informs and guides the efforts of NYMTC and each of its member agencies and partners to ensure that the benefits and burdens of strategic regional transportation investments do not disproportionately affect disadvantaged communities.

2014-2018 Transportation Improvement Program (TIP) (adopted

September 2013). The TIP outlines all of the federally funded transportation projects proposed for the NYMTC area over a five year period.

The Transportation Conformity Determination (adopted September 2013) is a regional air quality analysis of mobile source emissions required by the Clean Air Act of 1990 for designated nonattainment areas. A Conformity Determination must be done for all Transportation Improvement Programs and Regional Transportation Plans in non-attainment areas.

2010-2035 Regional Transportation Plan: A Shared Vision for A Shared Future. The plan includes the transportation projects, concepts and studies for the region; uses socioeconomic forecasts and demographic trends to help identify transportation needs; and establishes a regional framework and potential funding for future improvements and investments.

2011-2015 Transportation Improvement Program: The TIP implements the first five years of the plan, and includes funding for roadways, bridges, transit systems, bikeways, and pedestrian facilities.

2012-2014 Unified Planning Work Program: Outlines the planning priorities facing the metropolitan area and describes all transportation and transportation-related air quality planning activities anticipated within the next year.

2012 Transportation Conformity Determination: This demonstrates that NYMTC's transportation plans conform to the state's air quality "budgets" that set limits for motor vehicle emissions.

2005 Environmental Justice Assessment of the Planning Process: This examines the transportation planning process in the context of the requirements of Title VI of the Civil Rights Act of 1964, which prohibits discrimination in connection with programs and activities receiving federal financial assistance, and the Federal Executive Order on Environmental Justice, which offers guidance on achieving environmental justice by identifying and addressing health and environmental issues that adversely affect minority and low-income populations disproportionately.

2005 Consistency Assessment with New York State's Energy Plan: A review of NYMTC's transportation plan and programs to ensure alignment with the state's Energy Plan, specifically in terms of objectives for increasing energy-efficiency and reducing greenhouse gases.

2009 Congestion Management Process Status Report: A comprehensive analysis of congestion in the NYMTC region broken down by county.

## **Military and Naval Affairs, Division of**

330 Old Niskayuna Road  
Latham, New York 12110-2224  
Major General Patrick A. Murphy, The Adjutant General

**Contact:** Eric Durr, Director, Public Affairs  
(518) 786-4581  
Web Site: [www.dmna.state.ny.us](http://www.dmna.state.ny.us)

The Division of Military and Naval Affairs is responsible for the military forces of New York State. This authority is derived through the Military Law and the Executive Law of New York State.

State military forces are comprised of the New York Army National Guard, New York Air National Guard, New York Naval Militia, and the New York Guard. The Army and Air National Guard and Naval Militia are reserve components of the federal military service and have a dual federal/state obligation. The New York Guard is a volunteer reserve land force with responsibility only to the State of New York. Its mission is to provide a reserve force capable of augmenting and assisting National Guard units mobilized by the governor in cases of state emergencies.

Goals/Objectives:

- **Federal:** The New York Army and Air National Guard are subject to mobilization by the president of the United States. The federal mission is to provide a trained force to perform federal duties as required.
- **State:** At the direction of the governor, the military forces of New York are available to assist both state and local civil authorities during natural disaster or emergency situations that threaten the lives or property of citizens.

The Division of Military and Naval Affairs is responsible for providing the administrative, training, housing, and logistical support necessary to sustain the proficiency and readiness of New York Military Forces.

## **Mortgage Agency, State of New York**

641 Lexington Avenue  
New York, New York 10022  
Darryl C. Towns, Commissioner/CEO  
William Mulrow, Chairman

**Contact:** Christopher Browne, Deputy Commissioner,  
Policy and Communications  
(518) 473-2526  
Web Site: [www.nyshcr.org](http://www.nyshcr.org)

The State of New York Mortgage Agency (SONYMA) is one of the housing and community renewal agencies that were integrated under the banner of New York State Homes and Community Renewal (HCR) in 2010. Integration of the agencies under a single leadership structure has provided for more effective management and better coordination of the State's resources.

SONYMA's programs are designed to assist first-time homebuyers in the purchase of one-to-four unit residences that are required to meet eligibility criteria established by the Agency and by applicable federal law. SONYMA's primary home ownership program is the Low Interest Rate Mortgage Program. Using the proceeds of tax-exempt mortgage revenue bonds, the Low Interest Rate Mortgage Program offers below-market interest rate mortgage loans to low- and moderate-income households who must be first-time homebuyers except in target areas.

In addition, SONYMA from time to time operates special home ownership programs. These programs currently include the Achieving the Dream Program, the Constructive Incentive Program, the Remodel New York Program, the Homes for Veterans Program, the Habitat for Humanity Program, the Energy Star<sup>®</sup> Labeled Homes Program, and the Sustainable Neighborhoods Initiative. The Achieving the Dream Program provides very low interest rates to low-income first time home buyers. The Construction Incentive Program allows qualified buyers to obtain financing for the purchase of newly constructed and rehabilitated

one and two family homes. The Remodel New York program allows for the borrower to finance the cost of acquisition and renovation in one low rate loan. The Homes for Veterans program allows qualified U.S. military veterans to apply for any currently available SONYMA program, but with more favorable terms. The Energy Star<sup>®</sup> Labeled Homes program offers lower interest rates for the purchase of newly built energy efficient homes. The Sustainable Neighborhoods Initiative was created to spur the rehabilitation of vacant and/or blighted residential properties and for the redevelopment of vacant lots.

The Agency has also issued Mortgage Credit Certificates to assist first-time homebuyers, since federal tax law provides mortgage credit certificates as an alternative to issuing tax-exempt bonds.

In addition, in 2009 the Agency's existing (but unused) authority to issue bonds to finance student loans was expanded and modernized to authorize SONYMA to issue bonds to finance and refinance education loans that are originated under the NYHELPS or FFELP programs. SONYMA, in conjunction with the New York State Higher Education Services Corporation, the state's student loan insurer, issued bonds in 2009 to fund a student loan program.

The Agency's Mortgage Insurance Fund Division (MIF) provides primary mortgage insurance when the property that is the security for the loan (a) is in an area suffering from disinvestment; (b) is located within a designated economic development zone; (c) will result in the production of affordable housing; (d) will be financed by a public lender and meets that lender's criteria; or (e) will provide a retail or community service facility that would not otherwise be provided. In addition, in 1989, the MIF was authorized to write pool insurance on pools of (a) single family loans financed by SONYMA's Single Family Division; (b) loans made by certain domestic not-for-profit corporations; or (c) loans made by a financial institution to a cooperative housing corporation where refinancing is not otherwise available and will facilitate or accommodate affordable home ownership opportunities. In addition, in December of 2004, the MIF was authorized to enter into agreements to provide credit support for bonds and ancillary bond facilities issued by the Convention Center Development Corporation, a subsidiary of the New York State Urban Development Corporation. The yearly amount of credit support that can be provided by the MIF is limited by statute.

Membership of the SONYMA board consists of nine directors as follows: the Comptroller or a director appointed by the Comptroller who will serve until a successor is appointed, the Director of the Budget, the Commissioner of Housing and Community Renewal, one director appointed by the Temporary President of the Senate, one director appointed by the Speaker of the Assembly, and four directors appointed by the Governor with the advice and consent of the Senate. From the four directors appointed by him, the Governor designates the chairperson of the Agency. The directors appointed by the Temporary President of the Senate and the Speaker of the Assembly serve at the pleasure of the Temporary President of the Senate and the Speaker of the Assembly, respectively. A majority of the directors then in office constitutes a quorum for the transaction of any business or the exercise of any power or function of the Agency. Directors do not receive a salary but are reimbursed for actual and necessary expenses. Annual reports to the Governor, Chairperson of the Senate Finance Committee, Chairperson of the Assembly Ways and Means Committee, Comptroller, and Director of the Budget are required.

## **Motor Vehicles, Department of**

Swan Street Building  
6 Empire State Plaza  
Albany, New York 12228  
Vacant, Commissioner

**Contact:** Rich Cirino  
(518) 473-5113  
E-mail: DataServices@dmv.ny.gov  
Web Site: dmv.ny.gov

The major objective of the Department of Motor Vehicles is to develop and administer an effective highway safety program in New York State, with emphasis on driver and vehicle safety.

The Department maintains an extensive program of recording, reporting, and analyzing motor vehicle accidents occurring in the state. Excluded are property-damage-only accidents involving \$1,000 or less damage to any one person, effective August 1, 1991. Previously, the limits were:

\$600 (9/85-8/91)  
\$400 (9/78-9/85)  
\$200 (1/70-9/78)  
\$150 (1/65-1/70)

Accident data summaries are published annually and are available statewide and by geographic area. These data are extensively used for evaluating safety aspects of programs of the state, by and of the Department, other state agencies, local governments, and the traffic safety community.

The Department is also responsible for: registration and titling of resident motor vehicles; registration of snowmobiles and motorboats; examination and licensing of motor vehicle operators; control and remedial training of operators evidencing poor driving performance; inspection and evaluation of the safety condition of motor vehicles; regulation of vehicle and driver-related businesses; collection and analysis of motor vehicle conviction information; and management of the compulsory financial security program. Each of these functions has a contributory role in the Department's larger responsibility for promoting traffic accident prevention in the state.

### **Statistical Series:**

**Motor Vehicle Accidents:** Data are aggregated and categorized by a number of variables including date, severity, location, driver characteristics, driving environment characteristics, vehicle type, etc.

In: Summary of Motor Vehicle Accidents (MV-144A)

Frequency: Monthly, Semiannually, Annually

Areas covered: New York State, counties and minor civil divisions (annual only)

Published: 1962 to present

**Motor Vehicle Drivers' Licenses:** Number of licenses and fees collected, by type of license.

In: Motor Vehicle Registrations, Licenses and Fees Collected (MV-213)

Frequency: Annually

Areas covered: Counties of New York State

Published: 1921 to present

**Motor Vehicle Registrations:** Number of vehicles and fees received, tabulated by type of vehicle registration and county of residence.

In: Motor Vehicle Registrations, Licenses and Fees Collected (MV-213)

Frequency: Annually

Areas covered: Counties of New York State

Published: 1921 to present

Motor Vehicle Statistics: Comprehensive compilation of accident, conviction, registration, and license data.

Frequency: Annually

Area covered: New York State

Published: 1975 to present

## **Municipal Bond Bank Agency, New York State**

641 Lexington Avenue

New York, New York 10022

James S. Rubin, Commissioner/CEO

William C. Thompson, Chairman

**Contact:** Christopher Browne, Deputy Commissioner,  
Policy and Communication  
(518) 473-2526  
Web Site: [www.nyshcr.org](http://www.nyshcr.org)

The New York State Municipal Bond Bank Agency (MBBA) is one of the housing and community agencies that were integrated under the banner of New York State Homes and Community Renewal (HCR) in 2010. Integration of the agencies under a single leadership structure has provided for more effective management and better coordination of the state's resources.

MBBA was created in 1972, pursuant to Article 8 of the Public Authorities Law of the State of New York, as amended. Its purpose is, in part, to foster and promote the provision of adequate capital markets for, and to facilitate borrowings by, the state's municipalities for their public improvements or purposes; to assist those municipalities in fulfilling their needs for improvements by the creation of indebtedness; and further, to encourage continued investor interest in the purchase of bonds or notes of municipalities as sound and preferred securities for investment. In Chapter 166 of the Laws of 1991, MBBA was authorized to enter into special program agreements with special program municipalities to allow them to obtain funds to refund certain property taxes. In 2000 and 2003, further authority was granted to MBBA to assist special program municipalities with paying the cost of settling litigation and financing certain improvements to school facilities.

In Chapter 203 of the Laws of 2000, a municipal tax lien securitization program was authorized. The program involves the issuance of obligations by MBBA (or an entity created by MBBA) to facilitate the purchase of delinquent tax liens from municipalities in the State of New York. In legislation enacted in 2002, MBBA was authorized to issue school deficit program bonds for the enlarged city school district of the City of Troy. The same legislation permits MBBA to issue special school purpose bonds on behalf of certain special school purpose municipalities that have prior year claims due and payable to them under certain provisions of the State Education law. In legislation enacted in 2009, MBBA was authorized to issue bonds to purchase obligations issued by municipalities seeking to take advantage of the opportunities to borrow for public improvements of purposes authorized under the American Recovery and Reinvestment Act of 2009.

In 2009 and 2010, MBBA issued an aggregate of \$351,401,949 of Recovery Act Bonds, under authority of the 2009 legislation. In 2010, legislation was enacted to authorize MBBA to assist state and local first aid responder public safety agencies to finance interoperable communications capabilities.

The membership of MBBA consists of the State Comptroller (or a director appointed by the Comptroller), the Secretary of State, the Director of the Budget, the Chairman of the New York State Housing Finance Agency, and three additional directors appointed by the Governor, with the advice and consent of the Senate, at least one of whom must be an elected official of a municipality. The directors appointed by the Governor serve for the full or unexpired portions of four-year terms. MBBA generates an annual report, which is sent to the Governor, the Chairman of the Finance Committee, the Chairman of the Assembly Ways and Means Committee, the Comptroller, and the Director of the Budget.

## **New York Gaming Commission/ Division of the Lottery**

One Broadway Center

P.O. Box 7500

Schenectady, New York 12301-7500

Robert Williams, Executive Director

**Contact:** Lee Park  
(518) 388-3415  
Web Site: [www.gaming.ny.gov](http://www.gaming.ny.gov) and [www.nylottery.org](http://www.nylottery.org)

In Fiscal Year 2012-13, the New York State Legislature found that responsive, effective, and innovative regulation was necessary for the state's gaming industry to operate in an evolving and increasingly competitive market place. The New York State Gaming Commission (Commission) was created on February 1, 2013, to meet those objectives by merging the functions and responsibilities of the former Division of the Lottery and the former Racing and Wagering Board. The Commission regulates all aspects of gaming in the state, including horse racing and pari-mutuel wagering, Indian gaming, charitable gaming, video lottery gaming at racetracks, and traditional lottery gaming.

To report on its gaming operations that support education, the Commission is proud to offer this year-end review of its traditional lottery and video lottery gaming businesses for Fiscal Year 2011-12.

The Commission's traditional and video lottery operations remain the nation's largest and most successful, achieving record financial results for the fiscal year ended March 31, 2012. Sales and net win for the period totaled \$8.44 billion, up 7.3 percent, producing a profit of nearly \$2.9 billion for education, up 8.8 percent. The figures exclude extraordinary items reported in Fiscal Year 2010-11, which included a \$380 million licensing fee from Resorts World New York in September 2010 and a one-time gain from investment bond swaps of \$15.3 million in May of 2010.

The traditional lottery business benefitted from strong growth in its two national jackpot games, Powerball and Mega Millions, and other draw games. Substantial increases in video lottery net win (total bets less prizes) and profits were reported at all nine of the Lottery's video gaming operations, led by the opening of Resorts World Casino New York City.

The traditional Lottery business includes draw games such as *Powerball*, *Mega Millions*, daily *Numbers*, and *Lotto*, and instant scratch-off games such as *Win-for-Life* and *Cashword*. During FY 2011-12, traditional Lottery games sales increased 3.8 percent to \$7.01 billion, a result of a \$221.5 million increase in draw game sales (up 6.9 percent) and a \$32.54 million increase in instant

game sales (up 0.9 percent). The strong growth in draw games was attributable to a world record Mega Millions jackpot and a change in pricing strategy for the Powerball game.

The Lottery's video gaming business benefitted greatly from the opening of the Resorts World Casino New York City at Aqueduct, the state's ninth and largest video gaming facility and the only such facility located within the five boroughs. Video gaming net win for the period totaled \$1.43 billion, up \$317.22 billion or 28.6 percent over the previous fiscal year. The profit was \$697.11 billion, an increase of more than \$149.71 million or 27.4 percent.

#### **Where Lottery Money Goes:**

Overall, 96 percent of the Lottery's \$8.44 billion in combined sales and net win was returned to New Yorkers in the form of prizes, aid to education, and commissions to retailers:

- Prizes paid totaled more than \$4.1 billion in traditional lottery games.
- Commissions paid to over 17,000 traditional lottery retailers and nine video gaming facilities totaled more than \$1 billion. The Lottery paid \$420 million in commissions to traditional lottery retailers and \$617 million in commissions to video gaming facilities.
- The entire operation of the Lottery, including advertising, marketing, printing, systems, telecommunications, finance, and administration, is funded from less than 4 percent of sales.

#### **About the New York Lottery and Education Funding:**

Lottery revenue is distributed by the New York State Education Department to local school districts by the same statutory formula used to distribute other state aid to education. It takes into account both a school district's size and its income level; larger, lower-income school districts receive proportionately larger shares of Lottery school funding.

All Lottery profits go to funding K-12 education in New York State. The Lottery's fiscal year 2011-12 profit of nearly \$2.9 billion represents nearly 15 percent of total state aid to local school districts.

### **New York Gaming Commission/ Racing and Wagering Board**

One Broadway Center  
P.O. Box 7500  
Schenectady, New York 12301-7500  
Robert Williams, Executive Director

**Contact:** Lee Park  
(518) 388-3415  
Web Site: [www.gaming.ny.gov](http://www.gaming.ny.gov)

The New York State Gaming Commission's Racing and Wagering Division exercises jurisdiction over all horse racing activities and all pari-mutuel betting activities, both on-track and off-track, in the state and over the corporations, associations, and persons engaged therein. The Division also regulates the conduct of bingo and games of chance by authorized nonprofit organizations. In addition, the Board regulates Indian Casino gambling pursuant to Compact provisions negotiated under the Indian Gaming Regulatory Act of 1988.

On an annual basis, the Division issues track licenses and/or race dates to each of the state's operating racetracks, both thoroughbred and harness. The Division also issues simulcast licenses to the racetracks and off-track betting corporations to enable simulcasting of New York and out-of-state races. It is the Division's responsibility to protect the honesty and integrity of all horse races conducted in New York. All racing participants including jockeys, drivers, owners, trainers, grooms, track management, agents concessionaires, veterinarians, exercise riders, and others who work at New York State racetracks must be licensed by the Gaming Commission on an annual basis. The licensing process involves the completion of a complete criminal background check, including a review of the applicant's experience, if any, in other states; an assessment of the applicant's character and fitness; and, in some cases, a determination of the applicant's competency to perform the duties for which the license is sought. Once issued, a license may be suspended or revoked by the Gaming Commission for any just cause.

Currently, New York offers more racing than any other state in terms of race days, both thoroughbred and harness.

### **New York Independent System Operator**

10 Krey Boulevard  
Rensselaer, New York 12144  
Brad Jones, President and Chief Executive Officer

**Contact:** Henry Chao, Vice President, System and  
Resource Planning  
(518) 356-6111  
E-mail: [HChao@nyiso.com](mailto:HChao@nyiso.com)  
Web Site: [www.nyiso.com](http://www.nyiso.com)

The New York Independent System Operator (NYISO) is a not-for-profit corporation responsible for operating the state's bulk electricity grid, administering New York's competitive wholesale electricity markets, conducting comprehensive long-term planning for the state's electric power system, and advancing the technological infrastructure of the electric system serving the Empire State.

The NYISO is subject to the oversight of the Federal Energy Regulatory Commission (FERC) and regulated in certain aspects by the New York State Public Service Commission (NYSPSC). NYISO operations are also overseen by electric system reliability regulators, including the North American Electric Reliability Corporation (NERC), Northeast Power Coordinating Council, Inc. (NPCC), and the New York State Reliability Council (NYSRC).

The NYISO, which began operating in 1999, has an independent Board of Directors and it utilizes a collaborative, shared governance structure with its stakeholders, enabling representatives to discuss, debate, and vote on issues directly affecting the NYISO markets and system operations. The ten-member Board of Directors includes individuals with experience in energy, finance, technology, government, and communications. The NYISO's Code of Conduct requires the Board members and all NYISO staff to be independent from the financial and corporate interests of its Market Participants.

The shared governance structure relies on three primary stakeholder committees: the Management Committee, the Operating Committee, and the Business Issues Committee. Membership is

comprised of stakeholder representatives from five major market sectors: Transmission Owners, Generation Owners, Other Suppliers, End-Use Consumers, and Public Power and Environmental Parties.

The NYISO balances the electric demands of consumers with available generation and other power resources, consistent with requirements for grid reliability. Private utilities and public authorities own and operate the more than 11,000 circuit-miles of high-voltage electricity transmission lines that comprise the state's bulk electricity grid. The NYISO controls and coordinates power flow in accordance with the federal policy of open and equal access to the grid on a nondiscriminatory basis.

New York's wholesale electricity markets average \$7.5 billion in transactions annually. As market administrator, the NYISO conducts an ongoing series of auctions in which the companies serving consumers bid to purchase electric energy, and suppliers offer to sell it. Similarly, the NYISO administers markets for reserves needed to maintain service reliability and other ancillary services.

The NYISO is one of nine Independent System Operators and Regional Transmission Organizations (ISO/RTOs) in North America. The ISO/RTOs serve two-thirds of electricity consumers in the United States and more than one-half of Canada's population.

## New York Power Pool

See: New York Independent System Operator

## New York State Association of REALTORS<sup>®</sup>, Inc.

130 Washington Avenue

Albany, New York 12210-2220

Duncan R. MacKenzie, Chief Executive Officer

**Contact:** Salvatore I. Prividera, Jr.,  
Director of Communications  
(518) 463-0300  
E-mail: [commun@nysar.com](mailto:commun@nysar.com)  
Web Site: [www.nysar.com](http://www.nysar.com)

The New York State Association of REALTORS<sup>®</sup> is a not-for-profit trade organization representing more than 50,000 of New York State's real estate professionals. The association provides a variety of benefits including legislative and legal representation, educational programs, publications such as *New York State REALTOR<sup>®</sup>* and a code of professional standards. The term REALTOR<sup>®</sup> is a registered trademark, which identifies real estate professionals who subscribe to a strict code of ethics as members of the National Association of REALTORS<sup>®</sup>. These REALTORS<sup>®</sup> are also members of the New York State Association of REALTORS<sup>®</sup> as well as their local board or association of REALTORS<sup>®</sup>.

The New York State Association of REALTORS<sup>®</sup> (NYSAR) was founded in 1905 as the Real Estate Association of New York State, and for a time was better known as the New York State Association of Real Estate Boards.

Throughout its history, NYSAR has remained consistent in its purpose, yet has progressed and matured with the times. The goal is to assist the association's members in conducting their business successfully and ethically, while promoting the preservation of the right to own, transfer, and use real property.

## Niagara Frontier Transit Metro System, Inc.

181 Ellicott Street

Buffalo, New York 14203

Howard A. Zemsky, Chairman

Kimberley A. Minkel, Executive Director

**Contact:** Kimberley A. Minkel, Executive Director  
(716) 855-7470  
E-mail: [Kim\\_Minkel@nfta.com](mailto:Kim_Minkel@nfta.com)  
Web Site: [www.nfta.com](http://www.nfta.com)

Created by an Act of the New York State Legislature in 1967, the Niagara Frontier Transit Metro System, Inc., formerly the Niagara Frontier Transportation Authority (NFTA), is a regional multi-modal transportation authority responsible for air and surface transportation in Erie and Niagara Counties in New York State. NFTA businesses include the Niagara Frontier Transit Metro System, Inc., that operates bus, Metro-link, light rail, and ADA para-transit service, while the NFTA, which is the parent company, owns and operates the Buffalo Niagara International Airport, the Niagara Falls International Airport, and transportation centers in Buffalo and Niagara Falls, as well as numerous nontransit properties.

## Olympic Regional Development Authority

Olympic Center

2634 Main Street

Lake Placid, New York 12946

Ted Blazer, President and Chief Executive Officer

**Contact:** Jon Lundin, Director of Communications  
(518) 523-1655 ext. 5313  
E-mail: [jlundin@orda.org](mailto:jlundin@orda.org) or [info@orda.org](mailto:info@orda.org)  
Web Site: [www.whiteface.com](http://www.whiteface.com)

The Olympic Regional Development Authority (ORDA) was created in 1982 as a public benefit corporation with the mandate to manage, maintain, promote, and upgrade the facilities that were utilized for the 1932 and 1980 Olympic Winter Games.

ORDA constructed the United States Olympic Training Center in Lake Placid. It also sponsors numerous athletic and cultural events that bring world class athletes to the area, which is becoming a notable year-round resort.

Under the management of ORDA are:

- The Olympic Center, one of the world's largest ice centers, with three refrigerated surfaces under one roof;
- State-owned ski center facilities on Whiteface Mountain, Gore Mountain in the Adirondacks, and Belleayre Ski Resort in the Catskills;
- The Olympic Jumping Complex, a multifaceted site for ski jumping, freestyle aerial skiing (with plastic matting for summer and fall jumping), and a freestyle pool containing 750,000 gallons of water for summer training;
- The Olympic Speed Skating Oval, which is a 400-meter refrigerated surface;
- The Olympic Sports Complex includes a combined bobsled/luge and skeleton track, which opened in January 2000, 50 kilometers of cross-country ski trails, and a biathlon range, as well as snowshoeing and mountain biking; and

- The 1932 and 1980 Lake Placid Winter Olympic Museum located at the Olympic Center.

Beyond management of events and initiation of programs such as Sports Development to promote education and participation in Olympic sports, the Authority has significantly expanded and improved the facilities, investing over \$70 million. In addition, with the support of the State of New York, the Authority constructed the \$16 million U.S. Olympic Training Center at Lake Placid, one of three in the country.

The Authority is also a major promoter of tourism in the Adirondack Region of Upstate New York. From figure skating shows and concerts at the Olympic Center to world competitions in winter sports, the Authority has allowed Lake Placid to proudly carry the banner of the “Winter Sports Capital of the World.” Its most recent economic survey revealed that ORDA generates \$356 million in statewide activity each year.

The Authority hosted the Winter Goodwill Games in Lake Placid on February 16-20, 2000. The Goodwill Games were founded in 1986 by Ted Turner as the result of a vision of international goodwill through world-class competition.

The Authority has also partnered with ESPN for the 2000 ESPN Great Outdoor Games. The two entities collaborated on this event once again on July 12-15, 2001, and did so again in July 2002.

ORDA hosted the 2009 World Championships in bobsled, luge, and skeleton. Lake Placid has played host to nine world bobsled championships (1949, 1961, 1969, 1973, 1978, 1983, 2003, 2009, 2012) and two world luge championships (1983 and 2009).

Each winter, the Authority plays host to numerous World Cup events and Olympic qualifiers in the winter sports, including World Cup freestyle skiing. Lake Placid has played host to this event 26 times in the past 28 years.

In 2008, 2009, 2010, 2012, and again in 2013, the NCAA Men’s Division III Ice Hockey Championships were held in Lake Placid. In 2007, the NCAA Women’s Division I Ice Hockey Championship was hosted there.

## **Parks, Recreation and Historic Preservation, Office of**

Albany, New York 12238  
Rose Harvey, Commissioner

**Contact:** Diana Carter, Director, Resource and Facility Planning Bureau  
(518) 474-8288  
Web Site: [www.nysparks.com](http://www.nysparks.com)

The Office of Parks, Recreation and Historic Preservation (OPRHP) is responsible for, as of May 2015, a statewide system of 281 park units. This includes 180 state parks and thirty-five state historic sites. In addition, OPRHP oversees three major performing arts centers providing a diversity of popular entertainment. Historic site programs interpret colonial life and highlight the state’s military heritage for today’s generations. State Parks’ eighteen nature centers and their related activities reveal the secrets of the natural environment to thousands of school children and outdoor enthusiasts each year.

Additionally, OPRHP administers state and federal grant programs for recreational development, historic preservation, and cultural enrichment, as well as boating and snowmobile safety and education programs.

The major planning document of the Office is the Statewide Comprehensive Outdoor Recreation Plan (SCORP), which outlines major program areas and projects future use and facility needs. OPRHP maintains: (1) revenue and attendance records for state parks and historic sites; (2) inventories of public and private recreation facilities as well as properties listed on the State and National Registers of Historic Places; (3) estimates of recreational participation by activity; and (4) a statewide inventory of public and private historic, architectural, and archaeological resources.

### **Statistical Series:**

Marine and Recreational Vehicles, including Snowmobiles: Data on accidents, arrests, fines, and forfeitures; information on rules and regulations.

Frequency: Annually

Area covered: New York State

Published: 1960 to present (Navigation);  
1971 to present (Snowmobiles)

State Park Attendance: Attendance and revenues derived from state park and historic facilities by individual park or site.

Frequency: Annually

Area covered: New York State

Published: 1994 to present (annual attendance)

2003 Snowmobiler Owners Survey: Results of a mail survey, responded to by over 1,300 snowmobile owners registered in New York State. The report covers demographics, travel patterns, expenditures, concerns, etc.

### **Other Publications:**

Historic Sites: Inventory of selected sites including all sites on the National Register.

Area covered: New York State

Published: 1970 to present

Recreation Facility Inventory: Public and private facilities — size and activities offered. Detailed analysis tables and inventory listings.

Area covered: New York State by county

Available for current year

Empire State Trails: Provides general information about a small sample of the numerous trail opportunities in New York State. This publication’s primary focus is on New York State Office of Parks, Recreation and Historic Preservation and Department of Environmental Conservation trails. Major statewide trail systems are also described.

Published: 2001

2009 New York State Open Space Conservation Plan: This plan is developed by the New York State Office of Parks, Recreation and Historic Preservation; the Department of Environmental Conservation; and the Department of State. The Open Space Plan provides guidance in the conservation of open space areas throughout the state. It provides a listing of priority projects that were developed with the assistance of the Regional Advisory Committees and functions as the acquisition plan required

for the Coastal and Estuarine Land Conservation Program (CELCP).

Published: November 2009

The following publications are fully downloadable from the agency Web site: [www.nysparks.com](http://www.nysparks.com):

Handbook on the Alienation of Municipal Parkland, published April 2005

Biodiversity in New York's State Parks — Summary of Findings, published June 2005

The Preservationist, published biannually

State Park Master Plans: Bethpage and Trail View Final Trails Plan, Genesee Valley Greenway State Park Management Plan, John Boyd Thacher and Thompson's Lake State Parks Final Master Plan, Rockland Lake, Hook Mountain, Nyack Beach and Haverstraw Beach State Parks Final Master Plan, Ogden and Ruth Livingston Mills and Margaret Lewis Norrie State Parks and Staatsburgh State Historic Site Final Master Plan, Grafton Lakes State Park Final Master Plan, Harlem Valley Rail Trail Interim Management Guide, Green Lakes State Park Final Master Plan, Clarence Fahnestock Memorial State Park and Hudson Highlands State Park Preserve Final Master Plan, Robert G. Wehle State Park Final Master Plan, Hallock (formerly Jamesport) State Park Preserve Final Master Plan, Allegany State Park Final Master Plan, Caumsett State Historic Park Preserve Final Master Plan, Minnewaska State Park Preserve Final Master Plan, Saratoga Spa State Park Final Master Plan, Midway State Park Final Master Plan, Black Diamond Trail Final Master Plan, Moreau Lake State Park Final Master Plan, Final Project Scoping Report for the Robert Moses Parkway — South Segment

2014-2019 New York Statewide Comprehensive Outdoor Recreation Plan

New York Statewide Trails Plan: Analysis and inventory of trail resources and linear recreation systems. Descriptions of existing trail and greenway planning initiatives, trail issues, and needs. Published: June 1994  
Updated December 2010 (and available on Web site)

Office of Parks, Recreation and Historic Preservation Trails Technical Documents

Park Trail Maps

## Parole, Division of

See Corrections and Community Supervision, Department of

The Division of Parole and Department of Correctional Services was merged into the Department of Corrections and Community Supervision in April 2011.

## People With Developmental Disabilities, Office for

44 Holland Avenue  
Albany, New York 12229  
Kerry Delaney, Acting Commissioner

**Contact:** Laura Rosenthal, Director of Data Management and Performance Metrics  
(518) 474-8977  
Web Site: [www.opwdd.gov](http://www.opwdd.gov)

The NYS Office for People With Developmental Disabilities (OPWDD), formerly known as the Office of Mental Retardation and Developmental Disabilities (OMRDD), became an independent agency when legislation reorganizing the Department of Mental Hygiene became effective on April 1, 1978.

OPWDD's mission is to help people with developmental disabilities live richer lives.

OPWDD's vision is to ensure that people with developmental disabilities:

- enjoy meaningful relationships with friends, family, and others in their lives;
- experience personal health and growth;
- live in the home of their choice; and
- fully participate in their communities.

OPWDD operates five Regional Developmental Disabilities Regional Offices (DDROs) responsible for coordinating services in support of its mission. The offices provide assistance to each individual as requested by that person or by his or her family. In partnership with individuals with developmental disabilities, families, nonprofit providers, and local government, these offices seek to enrich people's lives by providing high quality person-centered services that focus on outcomes that meet each person's individual needs.

## The Port Authority of New York and New Jersey

225 Park Avenue South  
New York, New York 10003  
John J. Degnan, Chairman  
Patrick J. Foye, Executive Director

**Contact:** Ian Van Praagh, Acting Director  
Office of Government and Community Relations  
(212) 435-6909  
Fax: (212) 435-6976  
E-mail: [bwsimon@panynj.gov](mailto:bwsimon@panynj.gov)  
Web Site: [www.panynj.info](http://www.panynj.info)

On April 30, 1921, The Port of New York Authority was established to administer the common harbor interests of New York and New Jersey. The first of its kind in the Western Hemisphere, the organization formed under a clause of the Constitution permitting Compacts between states, with Congressional consent. An area of jurisdiction called the "Port District," a bistate region of about 1,500 square miles centered on the Statue of Liberty, was established. In 1972, the organization's name changed to The Port Authority of New York and New Jersey to better identify its role as a bistate agency.

### Mission

The Port Authority of New York and New Jersey's mission is to provide world-class, critical transportation infrastructure and to serve as a key economic engine in the bistate region. The agency

builds, operates, and maintains many of the most important transportation and trade infrastructure assets in the country. The agency's network of aviation, rail, surface transportation, and seaport facilities annually moves hundreds of millions of people and goods throughout the region and the world. The agency supports more than 550,000 regional jobs, more than \$23 billion in annual wages, and \$80 billion in annual economic activity. The Port Authority also owns and manages the sixteen-acre World Trade Center site, which is home to the iconic One World Trade Center, the tallest building in the Western Hemisphere.

### **Purview**

The Port Authority is authorized to plan, develop, and operate transit and trade infrastructure assets in the Port District.

It achieves its mission by managing a network of aviation, transportation infrastructure, and seaport facilities, and partnering with public and private sector entities in regional economic development projects. During the course of its history, the Port Authority has invested the equivalent of over \$43.6 billion in the bistate regional transportation and trade infrastructure.

### **Finance**

The Port Compact of 1921, which established the Port Authority, envisioned the organization as a financially self-supporting entity. As such, the Port Authority does not receive tax revenue from any state or local jurisdiction and has no power to tax. It must raise funds for the improvement or construction of facilities on the basis of its own credit. The Port Authority does not have the power to pledge the credit of either state or any municipality.

The Port Authority relies primarily on revenue generated from facility operations — tolls from the six bridges and tunnels between New York and New Jersey, passenger facility fees from its airports and bus terminals, fares on its rail transit system, and rent and fees from tenant businesses.

### **Governance**

The governor of each state appoints six commissioners to the Port Authority's Board of Commissioners, each appointment subject to the approval of the respective State Senate. Commissioners serve as public officials without remuneration for overlapping six-year terms. The Port Authority may proceed with only those projects the two states authorize; the governors retain the right to veto the actions of the commissioners from their respective states.

An executive director and a deputy executive director, elected by the Board of Commissioners, are responsible for managing the operation of the Port Authority in a manner consistent with the agency's policies, as established by the Board.

Within the Port District, the Port Authority undertakes projects and initiatives that are consistent with the Port Compact and federal and supplemental bistate legislation.

### **Statistical Series:**

Comprehensive Annual Financial Report  
Frequency: Annually

## **New York Power Authority**

123 Main Street  
White Plains, New York 10601  
John R. Koelmel, Chairman  
Gil C. Quiniones, President and Chief Executive Officer  
Edward A. Welz, Chief Operating Officer

**Contact:** Ethan Riegelhaupt, Vice President,  
Corporate Communications  
Web Site: [www.nypa.gov](http://www.nypa.gov)

The New York Power Authority (NYPA) is the nation's largest state public power organization, providing economical electricity for community-owned electric systems, investor-owned utilities, government agencies, job-producing businesses, and certain out-of-state customers. It owns and operates sixteen generating facilities across New York State and more than 1,400 circuit-miles of transmission lines, accounting for approximately one-third of the state's high-voltage transmission.

More than 70 percent of the electricity produced by NYPA is emission-free renewable hydropower, primarily from the Niagara Power Project in Western New York and the St. Lawrence-Franklin D. Roosevelt Power Project in Northern New York. Thousands of jobs and billions of dollars in capital investments are linked to the low-cost power allocations from those facilities.

The Power Authority is playing an integral role in support of major energy initiatives introduced by Gov. Andrew M. Cuomo, including the Reforming the Energy Vision and the New York Energy Highway. Those programs are crucial to accelerating the market penetration of clean renewable energy sources, including at customer sites, and modernizing the statewide energy system for meeting the changing needs of a twenty-first century economy.

The Power Authority's efforts include an ongoing \$726-million Life Extension and Modernization program for refurbishing and upgrading aging transmission facilities in Northern, Western, and Central New York. The improvements include smart-grid technologies to improve "situational awareness" of transmission conditions and increase power flows along existing transmission corridors.

NYPA is charged with administering Governor Cuomo's BuildSmart NY program for increasing the energy efficiency of state government facilities by 20 percent by 2020. In 2014, it launched the state's first energy management network operations center — New York Energy Manager — as an extension of the cost-effective measures under BuildSmart NY to improve the energy efficiency of thousands of public facilities across the state.

As a corporate instrumentality of the state of New York, NYPA is governed by a seven-member Board of Trustees, whose members are appointed by the governor and confirmed by the New York State Senate. NYPA finances its operations from revenues earned in large part through sales of electricity and the sale of bonds. No tax revenues or state credit are used.

## **Probation and Correctional Alternatives, Division of**

See: Criminal Justice Services, Division of

The Division of Probation and Correctional Alternatives was merged into the Division of Criminal Justice Services (DCJS) in April 2010 as a result of the 2010-11 enacted state budget.

## **Project Finance Agency, New York State**

Agency went out of operation in 2004.

## **Public Employment Relations Board**

P.O. Box 2074

Empire State Plaza

Agency Building 2, Floors 18 and 20

Albany, New York 12220-0074

Seth H. Agata, Chairperson

**Contact:** Anthony Zumbolo, Executive Director  
(518) 457-2676  
Web Site: [www.perb.ny.gov](http://www.perb.ny.gov)

The Public Employment Relations Board (PERB) is New York's impartial labor relations agency. It is responsible for administering New York's public and private sector collective bargaining statutes: the Public Employees' Fair Employment Act (commonly referred to as the Taylor Law) and the State Employment Relations Act (commonly referred to as SERA). Under the Taylor Law, PERB is responsible for the determination of appropriate bargaining units and the direction of the process of representative selection by public employees; adjudicating improper practice charges filed by public sector unions, individuals, and employers; providing neutral services for the resolution of collective bargaining impasses; and enforcing the Taylor Law's prohibition against public sector strikes. PERB's responsibilities under SERA include resolving questions of representation in the private sector; adjudicating unfair labor practice charges filed by private sector unions and employees; and mediating certain private sector labor disputes. PERB's jurisdiction under SERA, however, is limited to employers who are not subject to the jurisdiction of the National Labor Relations Board (NLRB) or the National Mediation Board (NMB).

PERB accomplishes its mission through two major program offices. The Office of Conciliation provides dispute resolution assistance to employers and employees in their collective bargaining and contract administration. The processes utilized are mediation, fact finding, arbitration, and conciliation. The Board supplements its permanent staff by hiring per diem mediators and fact finders to provide these services. The Office of Employment Practices and Representation settles and/or decides disputes involving representation and rules on charges of improper and unfair employment practices.

Although placed by statute in the Department of Civil Service, PERB functions independently and is not subject to the direction or control of the Civil Service Commission or its president. The Board consists of a full-time chairperson and two part-time members appointed by the governor, upon confirmation by the Senate, for six-year terms. No more than two of the three members may be of the same political party. PERB's public sector jurisdiction includes the approximately 4,900 negotiating units in New York State in cities, towns, villages, school districts, public authorities, as well as other entities such as libraries and water districts and the agencies of the state. In addition, PERB is notified of the pending expiration of several thousand private sector collective bargaining agreements annually.

## **Publications:**

Statutes Administered by PERB

Latest Edition: 2013

Charge: \$10.00 plus postage and handling

Rules of Procedure

Latest Edition: 1999

Charge: \$15.00 plus postage and handling

Mandatory/Nonmandatory Subjects of Negotiation

Latest Edition: 2015

Charge: \$34.00 plus postage and handling

What Is the Taylor Law?

Latest Edition: 2013

Charge: \$10.00 plus postage and handling

The Taylor Law and the Duty of Fair Representation

Latest Edition: 2008

Charge: \$15.00 plus postage and handling

Advance copies of PERB decisions

Frequency: Monthly

Area covered: New York State

Charge: \$450.00 for annual subscription (via US mail)  
\$300.00 for electronic subscription (via e-mail)

Annotated Rules of Procedure, 2nd Edition

Latest Edition: 2000

Charge: \$40.00 plus postage and handling

Taylor Law Remedies (with 1996-98 supplements)

Latest Edition: 1995

Charge: \$25.00 plus postage and handling

## **Public Service, Department of**

Agency Building Three

Empire State Plaza

Albany, New York 12223-1350

Audrey Zibelman, Chair

**Contact:** Jeffrey Hogan, Chief, Accounting, Audits, and Finance  
(518) 474-9500  
Web Site: [www.dps.ny.gov](http://www.dps.ny.gov)

The Department of Public Service has a broad mandate to ensure that all New Yorkers have access to reliable and low-cost utility services. The Department is the staff arm of the Public Service Commission. The Commission regulates the state's electric, gas, steam, telecommunications, and water utilities. The Commission also oversees the cable industry. The Commission is charged by law with responsibility for setting rates and ensuring that adequate service is provided by New York's utilities. In addition, the Commission exercises jurisdiction over the siting of major gas and electric transmission facilities and has responsibility for ensuring the safety of natural gas and liquid petroleum pipelines.

The Mission Statement reads as follows:

**Mission Statement** — The primary mission of the New York State Department of Public Service is to ensure safe, secure, and reliable access to electric, gas, steam, telecommunications, and

water services for New York State's residential and business consumers, at just and reasonable rates. The Department seeks to stimulate innovation, strategic infrastructure investment, consumer awareness, competitive markets where feasible, and the use of resources in an efficient and environmentally sound manner.

**Statistical Series:**

**Monthly Report on Consumer Complaint Activity:** Provides an overview of complaint activity and utility responsiveness during the preceding month that is informative to both consumers and utility companies. The Complaint Activity of New York's Major Utilities reports on the volume of complaints received against the largest utilities in each industry. These utility companies vary in size from just over 10,000 customers to several million customers. Therefore, in order to compare complaint volumes among companies, a complaint rate per 100,000 customers is displayed. This allows the reader to compare the complaints of a large company to that of a small company.

Available: [www.dps.ny.gov/ocs\\_stats.html](http://www.dps.ny.gov/ocs_stats.html)

Frequency: Monthly

Area covered: New York State

**Monthly Report on Consumer Complaint Activity: The Customer Service Response Index (CSRI)** reports on the level of customer service and responsiveness delivered by each service provider under the Commission's jurisdiction.

Available: [www.dps.ny.gov/ocs\\_stats.html](http://www.dps.ny.gov/ocs_stats.html)

Frequency: Monthly

Area covered: New York State

**Comparison of Monthly Bills of Residential, Commercial, and Industrial Customers of Major Utilities:** For six major electric companies contains data on: bills at base rates for various usages; fuel cost; total charges; and total base bill with and without gross revenue tax surcharge. Shows monthly bills for typical use by small, medium, and large customers of electric service.

Available: [www.dps.ny.gov/TypicalBills.htm](http://www.dps.ny.gov/TypicalBills.htm)

Frequency: Semi-Annually

Area covered: New York State

**Financial Statistics of the Major Privately Owned Utilities in New York State:** Operating and financial data on Class A and B utilities such as composite and individual balance sheets; income statements; cash flow statements; plant and operation and maintenance information; bill data; equity; and interest coverage.

Available: [www.dps.ny.gov/arform224.htm](http://www.dps.ny.gov/arform224.htm)

Frequency: Annually

Area covered: New York State

Available for current 5-year period

**Quality of Service — Verizon New York, Inc.:** Highlights evaluation of telephone service, based on computerized data.

Available: [www.dps.ny.gov/New\\_telcomNews.html](http://www.dps.ny.gov/New_telcomNews.html) (Service Quality Reports)

Frequency: Quarterly

Area covered: New York State

**Regulatory Activities:** Statistics on rate cases, electric and gas supply, telephone and water service, conservation plans, and research and development activities.

Available: [www.dps.ny.gov/pscpub.html](http://www.dps.ny.gov/pscpub.html)

Frequency: Annually

Area covered: New York State

## **Quality of Care and Advocacy for Persons with Disabilities, Commission on**

Agency went out of operation on June 30, 2013.

## **Quality of Care for the Mentally Disabled, Commission on**

See: Quality of Care and Advocacy for Persons with Disabilities, Commission on

## **Real Property Tax Services, Office of**

W.A. Harriman State Campus

Albany, New York 12227

Susan Savage, Deputy Commissioner

**Contact:** James McGovern, Real Property Analyst 2

(518) 591-5694

E-mail: [jim.mcgovern@tax.ny.gov](mailto:jim.mcgovern@tax.ny.gov)

Web Site: [www.tax.ny.gov](http://www.tax.ny.gov)

The Office of Real Property Tax Services (ORPTS), a division within the New York State Department of Taxation and Finance, oversees local assessment administration. The division works directly with county and municipal officials to improve the fairness of property assessments. The responsibilities of ORPTS include:

- Measuring the total market value of each town and city to establish equalization rates and other ratios used to apportion property taxes and state aid;
- Developing values of state-owned land to protect the rights of New York State as a property taxpayer;
- Providing financial and technical assistance to assessing jurisdictions in order to encourage fair and efficient assessing practices;
- Determining special franchise values for utility property in the public right-of-way;
- Establishing STAR property tax exemptions to reduce homeowners' school tax bills;
- Training and certifying local assessors in order to assist them in gaining the skills to carry out their responsibilities; and
- Setting ceiling values for railroad and certain public utility property, per acre agricultural use values, oil and gas unit of production values, and other such factors.

The State Board of Real Property Tax Services makes determinations regarding complaints about equalization rates, special franchise values, and ceiling values for railroad and certain public utility property.

### **Selected Publications:**

2011 Report on the Effectiveness of State Technical and Financial Assistance Programs for Assessment Administration (Publication #1205)

Published: March 2011

Assessment Equity in New York: Results from the 2013 Market Value Survey (Publication #1200)

Published: January 2014

Compensating Local Governments for Loss of Tax Base Due to State Ownership of Land

(Publication #1281)  
Published: September 1996

Contesting Your Assessment in New York State (Publication #1114)  
Revised: February 2012

Distribution of Farm and Forest Parcels by Property Class, 1993  
Assessment Rolls (Publication #1278)  
Published: July 1994

Distribution of Parcels by Property Class, 2013 Assessment Rolls  
(Publication #1201)  
Published: March 2014

Divestiture of Electricity Generating Plants: Property Tax Impli-  
cations (Publication #1282, December 1999)

Exemptions from Real Property Taxation in New York State: 2013  
County, City, and Town Assessment Rolls (Publication #1202)  
Published: July 2014

Fair Assessments: A Guide for Property Owners (Publication #1112)  
Revised: January 2011

Municipal Options for More Efficient Assessment Administration  
(Publication #1017)  
Revised: February 2012

Survey of Ratio Study Methods Used by the States (Publication  
#1279)  
Published: September 1995

Survey of Railroad and Utility Taxation Practices Among the  
States: 2005 Update (Publication #1287)  
Published: April 2005

Understanding the Equalization Rate: A Guide for Property Owners  
(Publication #1121)  
Revised: November 2011

These and other ORPTS publications are available at [www.tax.ny.gov](http://www.tax.ny.gov).

## Regional Authorities

See: Central New York Regional Transportation Authority  
Metropolitan Transportation Council, New York  
Olympic Regional Development Authority  
Port Authority of New York and New Jersey, The

## Regulatory and Management Assistance, Office of

See: Regulatory Reform, Governor's Office of

## Regulatory Reform, Governor's Office of

Agency went out of operation on March 31, 2011

## Social Services, Department of

See: Temporary and Disability Assistance, Office of

## St. Lawrence-Eastern Ontario Commission

Authority went out of operation in 1995.

## State, Department of

One Commerce Plaza  
99 Washington Avenue  
Albany, New York 12231-0001  
Cesar A. Perales, Secretary of State  
(518) 474-0050

**Contact:** Lazaro Benitez, Public Information Officer  
(518) 486-9846  
Web Site: [www.dos.ny.gov](http://www.dos.ny.gov)

The Department of State (DOS), one of the oldest and most diverse agencies in state government, works to make New York a more welcoming, equitable, and prosperous place for all who call it home. By the broad nature of its work, the agency touches the lives of nearly every person living and working in the Empire State.

Established in 1778, and known as the Keeper of Records for more than two centuries, the Department improves the quality of life for all New Yorkers by providing a myriad of essential services and programs to local governments, businesses, community organizations, and citizens.

In partnership with local leaders, DOS helps municipalities reduce costs and improve services that support local economies. It manages more than \$100 million in local assistance grants and provides expert guidance and training to help hundreds of communities create more efficient, vibrant, and healthier places to live and work.

In its efforts to ensure the health and safety of all residents, the Department oversees the enforcement practices of local governments in matters pertaining to building construction and energy conservation. It also promotes business growth and oversees the licensing of thirty-two professional occupations from hair stylists to real estate brokers, handles corporate filings, and regulates the state's not-for-profit cemeteries.

Through its newly acquired Division of Consumer Protection, the Department serves as the state's top watchdog and think tank on a wide range of consumer issues from investigating questionable business practices, to product recalls, to helping citizens mitigate the consequences of identity theft.

The Department of State also houses, by reason of legislative appropriation, three independent commissions that perform diverse governmental functions. These are the Committee on Open Government, Authorities Budget Office, and New York State Athletic Commission.

The New York Department of State employs more than 500 employees throughout the state. Its principal offices are located in Albany and New York City, with eight regional offices including the cities of Binghamton, Buffalo, and Syracuse.

### Other Publications:

New York State Register: Official notices of state agencies and information on proposed and adopted rules. The Register is available free of charge on the department's Web site at

[www.dos.ny.gov/info/register.htm](http://www.dos.ny.gov/info/register.htm). A yearly subscription may be purchased, which includes a quarterly index, a complete listing of subjects, purposes, and publication date of each agency's rule makings. (Available from the Division of Administrative Rules; \$80 first class; \$40 periodical rate — (518) 474-6957.)

Frequency: Weekly

Official Compilation of Codes, Rules and Regulations of the State of New York (NYCRR): An unofficial version of the NYCRR is available free of charge on the department's Web site at [www.dos.ny.gov/info/nycrr.html](http://www.dos.ny.gov/info/nycrr.html). Loose-leaf; supplement series updated semi-monthly. Distributed exclusively for the Department of State by West Publishing (Customer Services: 1-800-344-5009).

## State Comptroller, Office of the

110 State Street  
Albany, New York 12236  
Thomas P. DiNapoli, Comptroller

**Contacts:** Financial Data for Local Governments, Annual Report on Local Governments, and Overlapping Real Property Taxes: Information Services  
(518) 408-4934 or toll-free at (858) 478-5472  
E-mail: [localgov@osc.state.ny.us](mailto:localgov@osc.state.ny.us)

Comprehensive Annual Financial Report, Comptroller's Report on the Financial Condition of New York State, Comptroller's Annual Report to the Legislature on State Funds Cash Basis: Suzette Baker, Executive Director of the Bureau of Financial Reporting and Oil Spill Remediation  
(518) 474-3277  
E-mail: [sbaker@osc.state.ny.us](mailto:sbaker@osc.state.ny.us)

Comprehensive Annual Financial Report (New York State and Local Retirement System), Employer Forum, and E-News: Paul Kentoffio, Director of Retirement Communications  
(518) 486-5897  
E-mail: [pkentoffio@osc.state.ny.us](mailto:pkentoffio@osc.state.ny.us)  
Web Site: [www.osc.state.ny.us](http://www.osc.state.ny.us)

The Office of the State Comptroller (OSC), headed by an independently elected official, is generally responsible for financial operations of the state and its political subdivisions. This responsibility includes auditing state agencies and public authorities; state expenditures and collections of revenues and receipts; and activities relating to state debt, investments, and cash management. Most state executive agency contracts are approved by the Office. The Office also administers the New York State and Local Employees' Retirement System and the New York State and Local Police and Fire Retirement System.

In addition, the Office has overall supervision of the fiscal affairs of local governments. The OSC's Division of Local Government and School Accountability monitors and makes recommendations on the fiscal condition of local governments (including school districts) and their operations, examines and audits local accounts and fiscal records, prescribes uniform systems of budgeting and accounting, reviews the annual financial reports required to be filed by local governments, conducts research on local government finances and issues affecting localities, monitors the tax levied

by municipalities to ensure compliance with constitutional limits, and approves the formation of certain special districts. OSC also assists local governments in the solutions of problems of law, finance, and administration. The Office of the State Deputy Comptroller for the City of New York (OSDC) assists the comptroller in fulfilling his constitutional responsibilities to oversee New York City finances. OSDC also has a statutory responsibility to assist the New York State Financial Control Board (FCB) for the City of New York and supports the comptroller in his role as a member of the FCB. In addition, OSDC provides technical assistance to other divisions within OSC.

### Statistical Series:

Financial Data for Local Governments: Summary data for municipalities compiled from annual financial reports showing revenues, expenditures, and outstanding indebtedness. Also included are full valuation of taxable real property, tax levy data, population, and land area. Starting with the data for the 1996 fiscal year, this series is issued in electronic format available at the state comptroller's Web site: [www.osc.state.ny.us/localgov/datanstat](http://www.osc.state.ny.us/localgov/datanstat) or by calling (518) 408-4934 or toll-free at (866) 321-8503.

Frequency: Annually

Areas covered: Counties, cities, towns, villages, school districts, fire districts, joint activities, and independent special purpose units (including industrial development agencies) of New York State  
Published: 1907 to present

Local government financial data can also be obtained through "Open Book New York" on the state comptroller's Web site: [www.openbooknewyork.com](http://www.openbooknewyork.com).

Annual Report on Local Governments: Provides analysis of fiscal and demographic trends in local governments and describes the major activities and services of the Division of Local Government and School Accountability. Contains tables and charts of data on revenue, expenditures, and indebtedness for all major classes of local governments (counties, cities, towns, villages, school districts, and fire districts). The Annual Report is available on the state comptroller's Web site: [www.osc.state.ny.us/localgov/datanstat/annreport](http://www.osc.state.ny.us/localgov/datanstat/annreport).

Frequency: Annually

Area covered: Counties, cities, towns, villages, school districts, and fire districts of New York State  
Published: 1999 to present

Overlapping Real Property Taxes (ORPT): Contains real property tax related data for all local taxing jurisdictions. The ORPT tables are available on the state comptroller's Web site: [www.osc.state.ny.us/localgov/orptbook/taxrates.htm](http://www.osc.state.ny.us/localgov/orptbook/taxrates.htm).

Frequency: Annually

Area covered: Counties, cities, towns, villages, and school districts of New York State  
Published: 1971 to present

Comprehensive Annual Financial Report: Contains audited GAAP financial statements and other state fiscal data summarizing revenues, expenditures, debt, transfers, and balances for all State Treasury funds on a fiscal year basis. Income shown by source (various taxes and miscellaneous revenues); expenditures by function and program; debt by type and purpose; and investments by fund and type.

Frequency: Annually

Area covered: New York State  
Published: 1982 to present

Comptroller's Report on the Financial Condition of New York State: Gives an overview of the financial condition of New York State. Presents selected financial, economic, and demographic information in an easy-to-understand format. Provides basic information on trends in state revenue and spending, the state's financial position as measured by Generally Accepted Accounting Principles (GAAP), and selected economic and demographic trends affecting the state.

Frequency: Annually

Published: 1994 to present

Comptroller's Annual Report to the Legislature on State Funds Cash Basis: Prepared on a cash (budgetary) basis of accounting and contains detailed and comprehensive analysis of all state receipts and disbursements during the fiscal year.

Frequency: Annually

Published: 1982 to present

Recent editions of the Comprehensive Annual Financial Report, Comptroller's Report on the Financial Condition of New York State and the Comptroller's Annual Report to the Legislature on State Funds Cash Basis are available on the state comptroller's Web site: <http://www.osc.state.ny.us/finance/index.htm>.

(Other publications/reports available through the Bureau of Financial Reporting include: Quarterly GAAP Financial Statements, Comptroller's GAAP Financial Report to the Legislature, Citizen's Guide, Schedule of Expenditures of Federal Awards, Oil Spill Fund Financial Statements and Comptroller's Monthly Report on State Funds Cash Basis of Accounting.)

Comprehensive Annual Financial Report (New York State and Local Employees' Retirement System, Police and Fire Retirement System): Summary of funding, investment, and accounting practices of the system. The CAFR can be accessed through the state comptroller's Web site: [http://www.osc.state.ny.us/retire/about\\_us/index.php](http://www.osc.state.ny.us/retire/about_us/index.php).

Frequency: Annually

Published: 1921 to present

Employer Forum: A newsletter for payroll and personnel staff of employers that participate in the New York State and Local Retirement System. Contains articles on current legislation, registering and reporting Retirement System members, and services available to employers. The Employer Forum can be accessed through the state comptroller's Web site: <http://www.osc.state.ny.us/retire/publications/newsletters.php#employers>.

Frequency: Semi-annually

Area covered: New York State

Published: 1990 to present

E-News: A monthly newsletter sent via e-mail that provides the very latest information about the Retirement System, including tips on planning for retirement. To receive E-News go to [www.osc.state.ny.us/retire](http://www.osc.state.ny.us/retire), click on "Email Sign Up," and submit the online registration form.

Frequency: Monthly

Published: April 2003 to present

## State Liquor Authority

See: Alcoholic Beverage Control, Division of

## State Police, Division of

Public Security Building

1220 Washington Avenue

Albany, New York 12226-2552

Joseph A. D'Amico, Superintendent

**Contact:** Major Richard W. Lynch, Planning and Research  
(518) 457-6712

Web Site: [www.troopers.ny.gov](http://www.troopers.ny.gov)

The Division of State Police was established by Executive Law on April 11, 1917. The Division provides a full range of law enforcement services to the people of New York, enforcing laws, protecting life and property, detecting and preventing crime, and arresting violators. It also plays a crucial role in the state's terrorism response preparedness and counter-terrorism efforts.

The Division is directed by a superintendent who is appointed by the governor and confirmed by the Senate. For administration purposes, the Division divides the state into eleven geographical areas known as Troops. Each of these is further divided into Zones and Station areas. Some station areas are served by one or more satellite offices in addition to the primary station. Special Detail offices are located in many cities.

Uniform Troopers are the field officers who provide direct police services and, as first responders to calls for service, most frequently come in contact with members of the public. Investigators in the Bureau of Criminal Investigation (BCI) investigate all felony and serious misdemeanor crimes reported to the State Police. Uniform Force and BCI personnel cooperate closely with each other and with members of other law enforcement agencies.

The Division of State Police provides a wide array of specialized support services, including the Counter-Terrorism Intelligence Unit, Community Narcotics Enforcement Team, New York State Intelligence Center, Violent Felony Warrant Squad, School and Community Outreach Unit, Forensic Investigation Center and Crime Laboratories, Aviation Unit, Canine Unit, Commercial Vehicle Enforcement Unit, Computer Crime Unit, Special Operations Response Team, Bomb Disposal Unit, Dive Team, Violent Criminal Investigation Team, Auto Theft Unit, Special Investigations Unit, Pistol Permit Section, and the Illegal Firearms Tracing Unit. All of these services are available without charge to any law enforcement agency in the state that requests assistance.

## State University of New York, The

State University Plaza

Albany, New York 12246

Nancy L. Zimpher, Chancellor

**Contact:** Teresa Foster

Interim Director and Assistant Provost,

Office of Institutional Research and Data Analytics

(518) 320-1530

E-mail: [teresa.foster@suny.edu](mailto:teresa.foster@suny.edu)

Web Site: [www.suny.edu](http://www.suny.edu)

The State University's sixty-four colleges and university centers, including thirty community colleges, is one of the largest university systems in the United States. The University offers higher education programs at all levels in the liberal arts and sciences, the fine arts, applied arts and technologies, and specialized and graduate training in such fields as medicine, law, optometry,

education, engineering, library studies, maritime studies, agriculture, forestry, industrial and labor relations, and public affairs.

The State University maintains comprehensive statistics on its operations through the Office of Institutional Research, which is a research service agency for the System and its campuses.

#### **Statistical Series:**

**Official Enrollments:** Fall, Winter, Spring, and Summer enrollments of undergraduate and graduate students by campus.

Frequency: Semester/Term

**Student Characteristics:** General characteristics of the students enrolled at the State University by campus, e.g., ethnic and geographic diversity, age, academic program, and registered hours.

Frequency: Semester/Term

**Attrition/Graduation:** Persistence and graduation rates of entering cohorts of first-time, full-time undergraduate students enrolled in an academic program.

Frequency: Annually for students entering in a fall term.

**Degrees and Certificates Granted:** Two-year, four-year, graduate (masters and doctoral), and professional degrees and certificates awarded by campus.

Frequency: Annually

**Trends in Tuition and Other Basic Student Charges:** Normal tuition, fees, room, and board charges.

Frequency: Annually

**Employees:** Full-time and part-time employees by employee category, ethnic group, and gender.

Frequency: Annually

**Faculty Salaries:** Median salaries of faculty by rank and campus.

Frequency: Annually

### **Substance Abuse, Division of**

See: Alcoholism and Substance Abuse Services, Office of

### **Taxation and Finance, Department of**

State Office Campus, Building 9

Albany, New York 12227

Nonie Manion, Executive Deputy Commissioner

**Contact:** Robert D. Plattner, Deputy Commissioner for Tax Policy and Program Development, Office of Tax Policy Analysis (518) 530-5344

Fax: (518) 435-8479

E-mail: Robert.Plattner@tax.ny.gov

Web Site: [www.tax.ny.gov](http://www.tax.ny.gov)

The Department of Taxation and Finance collects most of the taxes and some of the fees imposed by the state. It has basic collection data on these taxes and additional statistical data, in annual surveys and other studies, for several of the major taxes.

The most productive single source of state revenue is the personal income tax. The Department annually conducts a large sample survey of returns to obtain information on components of income

and deductions. Other taxes for which surveys are conducted, or studies are made, are corporation taxes, bank taxes, and insurance taxes.

#### **Statistical Series:**

**All Tax Collections:** Net collections (after refunds) from taxes levied on alcoholic beverages, cigarettes, corporation franchises, estates, highway use, motor fuel, personal income, petroleum businesses, sales and use, and others.

In: Statistical Report of New York State Tax Collections

Frequency: Annually

Published: 1913 to present

Web Site: [www.tax.ny.gov/research/stats/statistics/stat\\_fy\\_collections.htm](http://www.tax.ny.gov/research/stats/statistics/stat_fy_collections.htm) (state fiscal year 1995 to present)

**Alcoholic Beverage Taxes and Cigarette Taxes, Summary Data.**

In: Statistical Report of New York State Tax Collections

Frequency: Annually

Published: 1935 to present

Web Site: [www.tax.ny.gov/research/stats/statistics/stat\\_fy\\_collections.htm](http://www.tax.ny.gov/research/stats/statistics/stat_fy_collections.htm) (state fiscal year 1995 to present)

**Corporation Franchise Tax:** Amount of tax and other variables for general business corporations, real estate corporations, and others, by industry.

In: Statistical Report of New York State Tax Collections (1949-1990)

**Corporation Franchise Tax:** A mandated accounting of credit activity under the general business corporation franchise tax.

In: Analysis of Article 9-A General Business Corporation Franchise Tax Credits

Frequency: Annually

Published: 1992 to 2010

Web Site: [www.tax.ny.gov/research/stats/stat\\_corp/analysis\\_of\\_article\\_9a\\_general\\_business\\_corporation\\_franchise\\_tax\\_credits.htm](http://www.tax.ny.gov/research/stats/stat_corp/analysis_of_article_9a_general_business_corporation_franchise_tax_credits.htm)

**Corporation Franchise Taxes:** Statistics for Article 9-A (the franchise tax on business corporations), Article 9 (the corporation and utilities tax), Article 32 (the franchise tax on banking corporations), and Article 33 (the franchise tax on insurance companies). The reports are intended to provide a summary of corporate tax data to aid interested parties in their analysis of New York's corporate tax structure.

In: New York State Corporate Tax Statistical Report

Frequency: Annually

Published: 1990 to 2010

Web Site: [www.tax.ny.gov/research/stats/stat\\_corp/corporate\\_tax\\_statistical\\_report.htm](http://www.tax.ny.gov/research/stats/stat_corp/corporate_tax_statistical_report.htm)

**Estate Taxes:** Gross collections and net collections, refunds, county treasurer's fees (where applicable), etc.

In: Statistical Report of New York State Tax Collections

Frequency: Annually

Areas covered: New York State and counties

Published: 1925 to present

Web Site: [www.tax.ny.gov/research/stats/statistics/stat\\_fy\\_collections.htm](http://www.tax.ny.gov/research/stats/statistics/stat_fy_collections.htm) (state fiscal year 1995 to present)

**Estate Taxes:** Taxable estates by net estate class, components of gross estate, amount and type of deductions, and number of estates by tax liability class.

In: Analysis of Estates Closed During (specified) Year

Frequency: Annually

Areas covered: New York State and counties  
Published: 1962 to 1988; for state fiscal years 1994-95 to 2000-01  
Web Site: [www.tax.ny.gov/research/stats/statistics/estate\\_tax\\_statistical\\_reports.htm](http://www.tax.ny.gov/research/stats/statistics/estate_tax_statistical_reports.htm) (state fiscal years 1994-95 to 2000-01)

Highway Use Taxes, Motor Fuel Taxes: Summary data on collections and taxable gallons, by type of fuel, etc.  
In: Statistical Report of New York State Tax Collections  
Frequency: Annually  
Published: 1965 to present  
Web Site: [www.tax.ny.gov/research/stats/statistics/stat\\_fy\\_collections.htm](http://www.tax.ny.gov/research/stats/statistics/stat_fy_collections.htm) (state fiscal years 1995 to present)

Mortgage Tax: Number of mortgages recorded, gross tax collections, expenses of recording offices, refunds, and net amounts paid to county treasurers.  
In: Statistical Report of New York State Tax Collections  
Frequency: Annually  
Areas covered: New York State and counties  
Published: 1946 to present  
Web Site: [www.tax.ny.gov/research/stats/statistics/stat\\_fy\\_collections.htm](http://www.tax.ny.gov/research/stats/statistics/stat_fy_collections.htm) (state fiscal year 1995 to present)

Pari-mutuel Taxes and Racing Admissions Taxes: Attendance at and revenue derived from each harness and flat track in New York State, including revenue from the pari-mutuel tax, breakage, uncashed tickets, and commissions.  
In: Statistical Report of New York State Tax Collections  
Frequency: Annually  
Area covered: New York State  
Published: 1944 to present  
Web Site: [www.tax.ny.gov/research/stats/statistics/stat\\_fy\\_collections.htm](http://www.tax.ny.gov/research/stats/statistics/stat_fy_collections.htm) (state fiscal year 1995 to present)

Personal Income Tax (New York State): Data based on all returns, taxable and nontaxable, reporting on total income, total tax liability, etc.  
In: New York Personal Income and Tax Liability for (specified) Income Year by County of Residence  
Frequency: Annually  
Areas covered: New York State and counties  
Published: 1963 to 2011  
Web Site: [www.tax.ny.gov/research/stats/stat\\_pit/analysis\\_of\\_state\\_personal\\_income\\_tax\\_returns\\_by\\_place\\_of\\_residence.htm](http://www.tax.ny.gov/research/stats/stat_pit/analysis_of_state_personal_income_tax_returns_by_place_of_residence.htm) (1993 to 2011)

Personal Income Tax (New York State): Analyses of sample data from a stratified sample of returns (separately for long-form returns and short-form returns). Data on sources of income, deductions, exemptions, tax liability, etc.  
In: Analysis of (specified year) Personal Income Tax Returns  
Frequency: Annually  
Published: 1949 to 2011  
Web Site: [www.tax.ny.gov/research/stats/stat\\_pit/analysis\\_of\\_personal\\_income\\_tax\\_returns.htm](http://www.tax.ny.gov/research/stats/stat_pit/analysis_of_personal_income_tax_returns.htm) (1992 to 2011)

Personal Income Tax (New York State): Summary of sample data.  
In: Statistical Report of New York State Tax Collections  
Frequency: Annually  
Published: 1949 to 1993

Personal Income Tax (New York State): Detailed data on a county-level basis showing the usage and value of the earned income tax credit, as mandated by the Tax Law. Data are classified by amount of earned income, size of credit, and number of qualifying children.

In: Earned Income Tax Credit: Analysis of Credit Claims  
Frequency: Annually  
Published: 1994 to 2010  
Web Site: [www.tax.ny.gov/research/stats/stat\\_pit/earned\\_income\\_tax\\_credit\\_analysis\\_of\\_credit\\_claims.htm](http://www.tax.ny.gov/research/stats/stat_pit/earned_income_tax_credit_analysis_of_credit_claims.htm)

Personal Income Tax (New York State): Information on households receiving real property circuit breaker tax credits. Detailed information includes number of claims, total credits and average credit by filer age, type of residence, and household income in each New York State county.  
In: Real Property Circuit Breaker Tax Credit: Credit Use by County  
Frequency: Annually  
Published: 1992 to 2013  
Web Site: [www.tax.ny.gov/research/stats/stat\\_pit/real\\_property\\_circuit\\_breaker\\_tax\\_credit.htm](http://www.tax.ny.gov/research/stats/stat_pit/real_property_circuit_breaker_tax_credit.htm)

Personal Income Tax (New York State): Information on a statewide and county level basis for New York's child and dependent care credit. Summary data include number and amount of credit claims based on income, filing status, number of qualifying dependents, and number of wage earners.  
In: Child and Dependent Care Credit: Background and Statistical Analysis  
Frequency: Annually  
Published: 2002 to 2011  
Web Site: [www.tax.ny.gov/research/stats/stat\\_pit/child\\_and\\_dependent\\_care\\_credit.htm](http://www.tax.ny.gov/research/stats/stat_pit/child_and_dependent_care_credit.htm)

Sales and Use Taxes: Data on state collections and local distributions.  
In: Statistical Report of New York State Tax Collections  
Frequency: Annually  
Areas covered: New York State and counties and cities that impose local sales and use taxes by state fiscal year  
Published: 1966 to present  
Web Site: [www.tax.ny.gov/research/stats/statistics/stat\\_fy\\_collections.htm](http://www.tax.ny.gov/research/stats/statistics/stat_fy_collections.htm) (state fiscal year 1995 to present)

Sales and Use Taxes: Data on taxable sales and purchases subject to use tax by county and industry.  
In: Taxable Sales and Purchases Subject to the Use Tax by County and Industry for (specific period)  
Frequency: Semiannually (1978 to 2000; discontinued), annually (1999 to 2005)  
Areas covered: New York State and counties that impose local sales and use taxes  
Published: 1978 to present  
Web Site: [www.tax.ny.gov/research/stats/statistics/sales\\_tax\\_statistical\\_reports.htm](http://www.tax.ny.gov/research/stats/statistics/sales_tax_statistical_reports.htm) (sales period through February 2015)

## Temporary and Disability Assistance, Office of

40 North Pearl Street  
Albany, New York 12243  
Samuel D. Roberts, Commissioner

**Contact:** Public Information Office  
(518) 474-9516  
E-mail: [nyspio@otda.ny.gov](mailto:nyspio@otda.ny.gov)  
Web Site: [www.otda.ny.gov](http://www.otda.ny.gov)

The Office is responsible for supervising programs that provide cash and transitional assistance and other forms of support to eligible children, families, and adults: the Family Assistance program (New York's version of the federal TANF program), the Safety

Net Assistance program, Supplemental Security Income (SSI), Emergency Assistance for Families and Adults (EAF, EAA), Energy Assistance, and Food Stamps.

Due to the State and Federal Welfare Reform Acts, Aid to Families with Dependent Children (AFDC), and Home Relief are obsolete categories and definitions. Caseload and expenditure reporting are now provided under the Family Assistance and Safety Net Assistance programs and definitions. January 1998 denotes the change in reporting definitions from the Public Assistance (AFDC and Home Relief) programs to the Temporary Assistance (Family Assistance and Safety Net Assistance) programs. When attempting to compare historical data, only *total* Public Assistance can be compared with *total* Temporary Assistance. AFDC, Home Relief (HR), Family Assistance, and Safety Net Assistance cannot be compared.

Other functions of the Office include overseeing New York's child-support-enforcement program, and performing disability determinations for SSI. The state's homeless housing and services programs, and its homeless shelters, are also supervised by the Office, as are the refugee and immigrant-services programs.

The Office supervises the work of New York's fifty-eight local districts (New York City and fifty-seven counties) and apportions to localities funds that have been approved by the state legislature or the federal government. The Office also provides fair hearings to persons who appeal the denial of benefits by local districts.

#### **Statistical Series:**

#### **Current Series**

Available online at [www.otda.state.ny.us](http://www.otda.state.ny.us)

#### **Historical Series**

Temporary Assistance Summary Statistics  
Family Assistance Summary Statistics  
Safety Net Assistance Summary Statistics  
In: Temporary and Disability Assistance Statistics; Monthly and Annual Summary Tables  
Frequency: Monthly and Annually  
Published: 1998 to 2001

Supplemental Security Income program (SSI): Summary data on persons in receipt.  
In: Statistical Supplement to the Annual Report  
Frequency: Annually  
Published: 1974 to 1997

Aid to Families with Dependent Children (AFDC): Summary data on cases, persons, and expenditures.  
In: Statistical Supplement to the Annual Report  
Frequency: Annually  
Published: 1960 to 1997

Food Stamp Program: Summary data on households, persons, and coupon values.  
In: Statistical Supplement to the Annual Report  
Frequency: Annually  
Published: 1975 to 1997

Home Energy Assistance Program (HEAP): Number of individuals receiving assistance.  
In: Statistical Supplement to the Annual Report

Frequency: Annually  
Published: 1983 to 1997

Home Relief (HR): Summary data on cases, persons, and expenditures.  
In: Statistical Supplement to the Annual Report  
Frequency: Annually  
Published: 1960 to 1997

Income Maintenance: Summary data on cases, persons, and expenditures.  
In: Statistical Supplement to the Annual Report  
Frequency: Annually  
Published: 1960 to 1997

### **Thruway Authority**

P.O. Box 189  
200 Southern Boulevard  
Albany, New York 12201-0189  
Joanne M. Mahoney, Chair

**Contact:** Maria Lehman, P.E., Executive Director  
(518) 471-5300  
General Inquiry E-mail: [PublicInfo@thruway.ny.gov](mailto:PublicInfo@thruway.ny.gov)  
Web Sites: [www.thruway.ny.gov](http://www.thruway.ny.gov)  
[www.canals.ny.gov](http://www.canals.ny.gov)

The New York State Thruway Authority operates the Governor Thomas E. Dewey Thruway — the nation's premier toll super-highway.

The 570-mile system encompasses a 496-mile route from the New York City line to the Pennsylvania state line at Ripley, including a 426-mile mainline connecting the state's two largest cities, New York City and Buffalo. Other Thruway sections make direct connections with the Connecticut and Massachusetts Turnpikes and New Jersey's Garden State Parkway. In 1991, the Cross-Westchester Expressway (I-287) was added to the Thruway System.

Overall, the Thruway is comprised of 2,815 lane miles of roadway, more than 800 bridges, and some 300 buildings, twenty-seven service areas, 275 toll booths, nearly 120 municipal water connections, eighteen wastewater treatment plants, and twenty-six motor fueling stations for Thruway vehicles and equipment. The Thruway Authority's administrative headquarters is located in Albany.

The Thruway Authority is a public benefit corporation created by the State Legislature in 1950 to build, operate, and maintain the superhighway. The Thruway was built from proceeds raised from the sale of bonds to private investors. Outstanding bonds are secured with toll revenues.

The Thruway is renowned as one of the safest and best maintained highways in the nation, and 2012 and 2013 were the two safest years in the Thruway's nearly six-decade history. In 2012, more than 345 million vehicles traveled more than 8.5 billion miles on the Thruway. The fatality rate along the Thruway remains at above one-fifth of the national average, as calculated by the National Center for Statistics and Analysis of the National Highway Traffic Safety Administration.

State legislation enacted in 1992 transferred jurisdiction for the New York State Canal system from the New York State Department of Transportation to the Thruway Authority, and created the

New York State Canal Corporation as a subsidiary of the Thruway Authority to operate, maintain, reconstruct, improve, develop, finance, and promote the waterway.

The Canal system consists of 524 miles of connected, navigable waterways above sea level encompassing the Erie Canal (338 miles), the Champlain Canal (sixty miles), the Oswego Canal (twenty-four miles), and the Cayuga-Seneca Canal (twelve miles), which links the mainline Erie Canal with the Cayuga and Seneca Finger Lakes. There are also ninety miles of navigable channel through Cayuga Lake to Ithaca, and through Seneca Lake to Watkins Glen, respectively.

Comprised of both “canalized” natural rivers and artificial channels, the Canal system’s fifty-seven locks and sixteen lift bridges facilitate inland navigation as part of a larger network of international navigable waterways extending from Canada south to Florida, and west to the Great Lakes and the Mississippi River. Mariners can cruise or sail from Central New York to anyplace on the globe that is navigable.

## **Tobacco Settlement Financing Corporation**

641 Lexington Avenue  
New York, New York 10022  
James S. Rubin, Commissioner/CEO  
William C. Thompson, Chairman

**Contact:** Christopher Browne, Deputy Commissioner,  
Policy and Communications  
(518) 473-2526  
Web Site: [www.nyshcr.org](http://www.nyshcr.org)

The Tobacco Settlement Financing Corporation (TSFC) is a public benefit corporation of the State of New York (the State) established as a subsidiary of the State of New York Municipal Bond Bank Agency (MBBA). In 2010, MBBA and New York’s other housing and community renewal agencies were integrated under the banner of New York State Homes and Community Renewal (HCR). Integration of the agencies under a single leadership structure has provided for more effective management and better coordination of the state’s resources.

The TSFC was created pursuant to the Tobacco Settlement Financing Corporation Act (the Act) and is treated and accounted for as a legal entity separate from the state and MBBA with its separate corporate purposes set forth in the Act. The directors of MBBA serve as members of TSFC. The Act authorizes TSFC to issue an aggregate principal amount of bonds not to exceed \$4,200,000,000, excluding refunding bonds (plus the financing costs associated therewith), to purchase all or a portion of the state’s share of the Tobacco Settlement Revenues available pursuant to the Master Settlement Agreement (the MSA) entered into by the state. The MSA provides for the states that are party to the MSA to settle all their past, present, and future smoking related claims against certain tobacco companies in exchange for, among other things, an agreement by those certain tobacco companies to make payments to the states that are signatory to the MSA. In June 2003, TSFC issued \$2,310,705,000 of bonds and in December 2003 TSFC issued \$2,240,415,000 of bonds. In March 2008, TSFC issued \$443,875,000 of refunding bonds.

## **Transportation, Department of**

50 Wolf Road  
Albany, New York 12232  
Matthew J. Driscoll, Commissioner  
(518) 457-4422

**Contact:** Angel Canales, P.E., Policy and Planning Division  
(518) 457-4326  
E-mail: [angel.canales@dot.ny.gov](mailto:angel.canales@dot.ny.gov)  
Web Site: [www.nysdot.gov](http://www.nysdot.gov)

The New York State Department of Transportation (NYSDOT) is charged with the coordination and development of comprehensive, balanced transportation policies and plans for New York State. It is concerned with development and operation of such transportation facilities as highways, mass transit systems, railroad passenger and freight services, and marine and aviation facilities.

To help plan its transportation development and maintenance program, the Department maintains basic inventories of highway facilities and usage and selected information on air, rail, bus, and waterway facilities and travels. With respect to highway information, the Department annually updates inventory of traffic volume and physical condition data for the 15,000-mile state highway system. These statistics appear in the Traffic Volume Report and in the Highway Sufficiency Report.

Many of the documents noted below were previously available in print and are now downloadable from the Department’s Web site as PDFs, digital files, Web data interfaces, or applications. For consistency with older *New York State Statistical Yearbooks*, links to the Department’s Web site have been shown for these series where possible.

### **Statistical Series:**

#### **Bridge Data**

Web Site: [www.dot.ny.gov/main/bridgedata](http://www.dot.ny.gov/main/bridgedata)  
Available from: New York State Department of Transportation  
Area Covered: New York State

#### **Highway Data — General**

Web Site: [www.dot.ny.gov/highway-data-services/](http://www.dot.ny.gov/highway-data-services/)

**Highway Physical Inventory:** Location, physical features, and condition data over time for all highway sections on the State Highway System

In: Pavement Data Report

Price: PDF available upon request

Available from: New York State Department of Transportation, Highway Data Services Bureau, 518-457-1965

Areas Covered: Statewide by highway section

Web Site: [www.dot.ny.gov/divisions/engineering/technical-services/pavement-management](http://www.dot.ny.gov/divisions/engineering/technical-services/pavement-management)

**Highway Mileage Report for New York State:** Number of miles of state, city, village, and town or county highways aggregated by minor civil division within each county.

Web Site: [www.dot.ny.gov/highway-data-services/](http://www.dot.ny.gov/highway-data-services/)

Available from: New York State Department of Transportation

Frequency: Annually

Area covered: Statewide

**Traffic Data Report:** Annual average daily traffic for each segment of State highway; data based on periodic traffic counts, adjusted for seasonality. Includes, for each highway segment, latest traffic volume and up to three previous traffic volumes.

Web Site: [www.dot.ny.gov/highway-data-services/](http://www.dot.ny.gov/highway-data-services/)

Frequency: Annually

Available from: New York State Department of Transportation

Area Covered: Statewide

Local Traffic Count Data  
Web Site: [www.dot.ny.gov/highway-data-services/](http://www.dot.ny.gov/highway-data-services/)

Hourly Traffic Data  
Web Site: [www.dot.ny.gov/highway-data-services/](http://www.dot.ny.gov/highway-data-services/)

Traffic Data Viewer:  
Web Site: [www.dot.ny.gov/tdv](http://www.dot.ny.gov/tdv)

New York State Library Digital Collections  
Web Site: [www.nysl.nysed.gov/scandocs/](http://www.nysl.nysed.gov/scandocs/)

New York State Library Digital Collections: Highway Data Services Bureau  
Web Site: [www.dot.ny.gov/highway-data-services/](http://www.dot.ny.gov/highway-data-services/)  
(Scroll to the bottom of page for the link)

Annual Report on Public Transportation Assistance Programs in New York State: Reports on economy, efficiency, and effectiveness of state transit operating assistance programs. Documents trend in transit ridership and service as well as recent accomplishments of various state and federal transit assistance programs that NYSDOT administers.

Price: Hard copy or digital file — free  
Available from: New York State Department of Transportation  
Frequency: Annually  
Area Covered: New York State

Transportation Trends Surveys and Statistics  
Web Site: [www.dot.ny.gov/divisions/policy-and-strategy/darb/dai-unit/ttss](http://www.dot.ny.gov/divisions/policy-and-strategy/darb/dai-unit/ttss)

Some Statistical Series may also be available from New York State Department of Transportation and may be obtained from the Support Services Bureau, Plan and Publication Sales, at the NYSDOT, 50 Wolf Road, Albany, New York 12232.

## Unified Court System, New York State

25 Beaver Street  
New York, New York 10004  
Lawrence Marks, Chief Administrative Judge  
(212) 428-2100

**Contact:** Ronald Younkins, Executive Director  
(212) 428-2120  
Web Site: [www.nycourts.gov](http://www.nycourts.gov)

The Judiciary is one of the three branches of government. Article VI of the State Constitution establishes a unified court system, defines the organization and jurisdiction of the courts, governs the manner of selecting and removing judges, and provides for the administrative supervision of the courts by a Chief Administrator of the Courts, on behalf of the Chief Judge of the Court of Appeals.

The function of the courts is to provide a forum for the fair and prompt resolution of civil claims and family disputes, criminal charges and charges of juvenile delinquency, and disputes between citizens and governmental units. The courts also supervise the management of trusts and estates and determine the sufficiency of wills, adoptions, divorce applications, and other similar matters required to be submitted to them. They provide legal protection for children, mentally ill persons, and others entitled by law to the special protection of the court. They regulate the admission of lawyers to the Bar and their conduct and discipline.

The New York State court system is one of the largest and busiest in the Western World. There are approximately 3,600 state and local judges, as well as approximately 14,600 nonjudicial employees. Pursuant to the Unified Court Budget Act of 1976, the cost of operating the unified court system, excluding town and village courts, has been borne entirely by the state since April 1, 1980. Local governments (counties and cities) remain responsible for providing and maintaining court facilities.

The jurisdiction of each court is established by Article VI of the Constitution or by statute. Generally, misdemeanors, violations, and minor civil matters are within the jurisdiction of the trial courts of limited jurisdiction (see chart at end of this discussion). These courts are also responsible for presiding over arraignments and other preliminary proceedings in felony cases.

The Supreme Court, which functions in the thirteen judicial districts of the state, is the statewide trial court for major civil actions. In New York City and some other parts of the state, the Supreme Court also exercises jurisdiction over charges of felonies.

The Court of Claims has jurisdiction over claims for monetary relief against the state.

There are three county-level courts. The County Court (as established in counties outside New York City) has jurisdiction over all felonies once arraignment and other preliminary proceedings are completed in the lower courts, and over indictments returned by a grand jury. It has jurisdiction over civil matters involving claims of up to \$25,000. The Family Court has jurisdiction over offenses by juveniles, offenses within the family and support and other proceedings involving child welfare and the family. The Surrogate's Court has jurisdiction over the probate of wills and the administration of estates. Both Family Court and Surrogate's Court have jurisdiction over adoptions.

Generally, misdemeanors, violations, and minor civil matters are within the jurisdiction of the trial courts of limited jurisdiction. These courts consist of the Civil Court of the City of New York, the Criminal Court of the City of New York, district courts (established in Nassau County and the five western towns of Suffolk County), city courts, and town and village courts.

The Civil Court of the City of New York has jurisdiction over civil actions involving amounts of \$25,000 or less and other civil matters referred to it by the Supreme Court. The Criminal Court of the City of New York has jurisdiction over misdemeanors and violations and conducts arraignments and preliminary hearings in felony cases.

District courts, city courts, and town and village courts, all of which are outside of New York City, have essentially the same criminal jurisdiction as the New York City Criminal Court, and handle minor civil cases (district courts — up to \$15,000; city courts — up to \$15,000; town and village courts — up to \$3,000).

There are four Appellate Divisions of the Supreme Court, one in each of the state's four judicial departments. Judicial departments consist of two or more judicial districts. The Appellate Divisions hear appeals from courts of superior jurisdiction. In the First and Second Departments, Appellate Terms have been established to hear appeals in cases originating in the Civil and Criminal Courts of the City of New York and civil and criminal cases originating in district, city, town, and village courts. In the Second Department, the Appellate Terms also hear nonfelony appeals

originating in the County Courts. In the Third and Fourth Departments, appeals from city, town, and village courts are heard in the County Court. Appeals from the Appellate Terms and the County Court (sitting as an appellate court) are heard in the Appellate Divisions.

The Court of Appeals, the state's highest court, hears cases on appeal from the Appellate Divisions and in some instances from the courts of original jurisdiction. In most cases, its review is limited to questions of law. The Court also has jurisdiction to review administrative determinations in judicial disciplinary proceedings.

Ancillary services to the courts include the State Board of Law Examiners, attorney disciplinary programs, and professional services such as the Mental Hygiene Legal Service, law guardian programs for the Family Court, and the assignment of counsel to indigent adult defendants.

The Office of Court Administration provides the administrative services required to support all court and auxiliary operations. These include personnel and budget administration, program and planning support, educational programs for judges and nonjudicial personnel, equal employment opportunity programs, and other administrative support operations. Counsel's Office prepares and analyzes legislation and provides legal assistance to the Chief Administrative Judge.

#### Structure and Jurisdiction of New York State Courts

Appellate Courts	Court of Appeals Appellate Divisions of the Supreme Court Appellate Terms of the Supreme Court County Courts (acting as appellate courts)	
Trial Courts of Superior Jurisdiction	Statewide:	Supreme Court Court of Claims Family Court Surrogate's Court
	Outside New York City:	County Courts
Trial Courts of Limited Jurisdiction	New York City:	Criminal Court of the City of New York Civil Court of the City of New York
	Outside New York City:	City Courts District Courts Town Courts Village Courts

#### Veterans' Affairs, Division of

2 Empire State Plaza, 17th Floor  
Albany, New York 12223-1551  
Eric J. Hesse, Director

**Contact:** Jason K. Chakot  
(518) 474-6114  
Web Site: [www.veterans.ny.gov](http://www.veterans.ny.gov)

The New York State Division of Veterans' Affairs was created in 1945 as part of the Executive Department with the task of assisting

World War II service men and women with readjustment from the military to the civilian life.

Our mission is to provide quality service, advocacy, and counseling in a timely manner for New York's Veterans and their families to ensure they receive benefits granted by law for service in the Armed Forces.

The heart of the state's veterans' program is the Division's free counseling service. Experienced and dedicated counselors — each a veteran — in a network of field offices across the state offer veterans professional help to resolve social, medical, and economic problems.

State Veteran Counselors assist the claimant — whether a veteran, spouse, child, or parent — in completing applications, obtaining necessary documentation, and filing for a broad spectrum of federal, state, local, and private veterans' benefits. Counselors also assist claimants in responding to follow-up correspondence and, when necessary, appealing an unfavorable ruling.

By working closely with other state, federal, local, and private agencies, the Division of Veterans' Affairs is able to utilize the expertise and resources of others in the community to help the veteran and his or her family with specific needs, such as economic, employment, rehabilitation, medical treatment, home health care, education, and tax exemption.

In its leadership role, the Division has a responsibility for planning and coordinating services within the community, and works closely with the United States Department of Veterans Affairs (VA) and other state agencies to accomplish this goal. The Division has strived to sensitize governmental and private agencies to the special problems and needs of veterans, particularly those men and women who served in combat and whose social, medical, and mental problems are often specifically rooted in war-time experiences.

The Division also administers a Blind Annuity program that provides a monthly stipend to more than 4,500 visually impaired veterans and eligible surviving spouses; a Gold Star Parent Annuity that authorizes an annuity payment to eligible parents of deceased Veterans; and oversees the Veterans Education Bureau, which investigates and certifies postsecondary educational and vocational institutions as providing legitimate and quality education for veterans seeking to utilize their federal education benefits to attend such facilities.

A toll-free information and referral hotline — 1-888-VETS-NYS (1-888-838-7697) — is available to refer veterans and their families to the nearest State Veteran Benefits Counseling Office. The Division has also established a Web site at [www.veterans.ny.gov](http://www.veterans.ny.gov).

#### Victim Services, Office of

Alfred E. Smith State Office Building  
80 South Swan Street, Second Floor  
Albany, New York 12210

New York City Office:  
55 Hanson Place, Room 1000  
Brooklyn, New York 11217-1523

Buffalo Office:  
65 Court Street, Room 308  
Buffalo, New York 14202-3406

Elizabeth Cronin, Director  
Elizabeth.Cronin@ovs.ny.gov

**Contact:** John Watson, General Counsel  
(518) 457-8066  
1-800-247-8035  
Fax: (518) 457-8658  
E-mail: John.Watson@ovs.ny.gov  
Web Site: www.ovs.ny.gov

Executive Law §622 created in the Executive Department the Office of Victim Services (OVS). OVS is empowered to provide financial assistance to innocent victims of crime, or their family members, who incur expenses due to injury, disability, or death as a direct result of those criminal acts.

The following individuals are eligible to file a claim with the OVS: (1) a victim of a crime who has sustained a personal physical injury; (2) a victim of a crime who did not sustain a physical injury but meets one of the following criteria (some of the categories of compensation may be limited):

- (a) an elderly (sixty years old or older) or disabled victim;
- (b) a child victim/witness;
- (c) a victim of certain unlawful imprisonment/kidnapping, labor trafficking, or sex trafficking crimes;
- (d) a victim of certain menacing, harassment, stalking, or criminal contempt crimes;
- (e) witnesses and relief workers of the September 11, 2001, terrorist attacks on the World Trade Center for counseling expenses incurred on or before December 31, 2007;
- (f) a victim of a frivolous lawsuit brought by the individual who committed a crime against the victim.

(3) the surviving spouse, grandparent, parent, stepparent, guardian, brother, sister, stepbrother, stepsister, child, or stepchild of a victim who died as a direct result of a crime; (4) a spouse, child, or stepchild of a victim of a crime who has sustained personal physical injury as a direct result of a crime; (5) any person dependent for his/her principal support upon a victim who died as a direct result of a crime; (6) any person who paid or incurred the burial expenses of a victim; (7) the parent, stepparent, grandparent, guardian, brother, sister, stepbrother, or stepsister of a child victim of a crime; (8) a surviving spouse of a crime victim who died from causes not directly related to the crime when the victim died prior to filing a claim with the OVS or subsequent to filing a claim but prior to the rendering of an OVS decision (this is limited to only out-of-pocket loss incurred as a direct result of a crime); and (9) victims of terrorist acts outside the United States who are a resident of New York State.

Once a claim is filed, the claimant must establish the following additional eligibility requirements: (1) that a crime was committed; (2) that the crime was promptly reported to a criminal justice agency (in cases involving sex offenses, a "criminal justice agency" shall include any medical facility that provides a forensic physical examination for victims of rape and sexual assault); (3) that the crime victim was an "innocent" victim of the crime (did not contribute to the infliction of his/her injuries by his/her own conduct); (4) when the victim reports to law enforcement, he or she must have cooperated in the investigation and/or prosecution of the crime; (5) the claim must be filed within one year of the crime (or a delay in filing a claim must be justified); and (6) financial difficulty must be proven if the claim is over \$5,000.

Crime victim compensation is available for the following unreimbursed losses: (1) unreimbursed expenses for medical or other related services; (2) lost earnings or support up to \$600 per week, to a maximum of \$30,000 (an award for loss of earnings shall include earnings lost by a parent or guardian as a result of hospitalization of a child victim under age eighteen); (3) burial expenses, to a maximum of \$6,000; (4) occupational or vocational rehabilitation expenses to the victim or a family member; (5) counseling expenses to the victim and to certain family members, paid in accordance with the OVS fee schedule (the fee schedule is based on reasonable fee rates depending on the credential of the treating counselor); (6) crime scene clean-up expenses, to a maximum of \$2,500; (7) for Good Samaritan (to prevent a crime, or to apprehend or help apprehend a crime perpetrator) victims only, property losses up to \$5,000; (8) expense of repair or replacement of essential personal property, to a maximum of \$500, with a maximum of \$100 for cash (the victim need not suffer physical injury if over sixty, disabled, or under eighteen years of age, or a stalking/harassment victim to receive this benefit); (9) transportation expenses for necessary court appearances in connection with the prosecution of the crime; (10) expense of residing at or utilizing the services of a domestic violence shelter; (11) attorney fees for representation before the OVS, to a maximum of \$1,000; (12) reasonable relocation expenses, to a maximum of \$2,500; and (13) burial and certain counseling expenses of family members without regard to the financial difficulty of the claimant when a police officer or firefighter dies from injuries received in the line of duty as a direct result of a crime.

In addition to providing financial assistance, OVS also acts as an advocate for crime victims' rights, needs, and interests in the State of New York. In this capacity OVS works with other governmental units as well as victims individually, and provides information to the public in an attempt to secure equity in the administration of justice at the state, county, and local community level.

From January through September of 2014, OVS funded 189 local, victim/witness assistance programs totaling approximately \$31.7 million. With the start of the Federal Fiscal Year in October, a new funding cycle began and OVS began funding 228 local, victim/witness assistance programs totaling approximately \$36.1 million.

Funding for OVS comes from a combination of state and federal dollars. The federal funding is pursuant to the Victims of Crime Act and is comprised of fines and penalties levied against criminals convicted of federal crimes. The state funding is from the Criminal Justice Improvement Account made up of mandatory surcharges and victim assistance fees assessed upon criminal defendants. In the SFY 2014-15 Budget, \$900,000 in DOH funds was transferred to OVS to support rape crisis programs.

Funded programs provide a comprehensive array of services such as crisis intervention, counseling, court accompaniment and legal assistance, transportation, referrals to other services, and assistance in completing the crime victims compensation application.

The funded programs provide assistance to those applying for state compensation as well as affording services to those who may or may not meet state eligibility requirements for compensation, thus ensuring that all victims in New York are able to receive services.

Victim data and workload statistics are published yearly in the Annual Report of the Office of Victim Services. An Office of Victim Services informational brochure, "A Guide to Crime Victims

Compensation in New York State,” answers frequently asked questions in a reader-friendly format. This brochure provides comprehensive information to victims so they may begin the compensation claim application process. “The Rights of Crime Victims in New York State” pamphlet informs crime victims of their rights in the criminal justice system. An OVS poster and cards to hand out to crime victims to inform them of their rights have been distributed to hospital emergency rooms and police agencies, respectively, as per our statute.

## **Waterfront Commission of New York Harbor**

39 Broadway  
New York, New York 10006  
Walter M. Arsenault, Executive Director

**Contact:** Walter M. Arsenault, Executive Director  
(212) 905-9201  
Web Site: [www.waterfrontcommission.org](http://www.waterfrontcommission.org)

The Waterfront Commission of New York Harbor was created in 1953, by a Compact between the States of New York and New Jersey, to improve waterfront labor conditions and eliminate criminal and corrupt practices in the Port of New York-New Jersey. To accomplish and maintain these reforms, the Commission investigates waterfront practices and criminal activity, registers and licenses various waterfront personnel and firms, balances dock worker forces with the needs of steamship and freight-moving companies, oversees hiring of pier workers, offers workers reliable information on employment opportunities, and prohibits criminal persons from holding positions in pier labor unions. In addition, the Commission has police authority in both states to enforce its regulations and to bring violators to justice. It also is authorized to seek data and assistance from other agencies in both states and the federal government in carrying out its functions.

The agency is headed by two Commissioners, appointed in each state by the Governor, with the consent of the State Senate, for a three-year term. Six divisions carry out its functions: Executive; Administration and Audit; Law, Licensing and Employment Information Centers; Police; Intelligence; and Information Technology. The Waterfront Commission receives its revenues from authorized assessments from employers of Commission registered and licensed waterfront workers.

The Commission publishes an Annual Report, which presents statistics on license applications and revocations, monthly hirings of pier workers, agency finances, arrests and court proceedings, registrations, and removal of workers from registration lists. It also highlights agency progress and achievements.

## **Workers' Compensation Board**

328 State Street  
Schenectady, New York 12305  
Robert E. Beloten, Chair

**Contact:** Office of Public Information  
(518) 408-0469  
1-866-750-5157  
Web Site: [www.wcb.ny.gov](http://www.wcb.ny.gov)

The Workers' Compensation Board is responsible for equitably and fairly administering the provisions of the New York State

Workers' Compensation Law, including Workers' Compensation Benefits, Disability Benefits, Volunteer Firefighters' Benefits, Volunteer Ambulance Workers' Benefits, and Volunteer Civil Defense Workers' Benefits Law on behalf of our customers, New York's injured workers, and their employers.

The Board has district offices in Hauppauge, Hempstead, Brooklyn, Manhattan, Queens, Peekskill, Albany, Binghamton, Syracuse, Rochester, and Buffalo, as well as thirty service centers located across the state. The Board's principal offices are in Albany.

The primary types of workers' compensation data collected relate to the number of accidents reported, hearings held, and cases assembled, as well as data regarding types of accidents, nature and extent of disabilities, and the amount of the benefits. Some statistics are also maintained regarding the number of employers and employees covered and the amount of benefits paid for nonjob related disabilities, as well as the Special Fund for Disability Benefits (for the eligible unemployed).

### **Statistical Series:**

**Accidents Reported:** Job-related accidents reported to the Board, data for volunteer firefighters and volunteer ambulance workers included.

In: Highlights  
Frequency: Monthly  
Area covered: New York State  
Published: 1959 to present

**Cases Assembled:** New cases assembled and old cases reopened, data for volunteer firefighters and volunteer ambulance workers included.

In: Highlights  
Frequency: Monthly  
Area covered: New York State  
Published: 1959 to present

**Hearings Held:** Number of hearings, trial and motion calendar, by outcome (adjourned, continued, or closed) for workers' compensation, volunteer firefighters and volunteer ambulance workers, and disability benefits hearings (continued and closed).

In: W.C. Law Judges' Activity — Cumulative Comparison Report  
Frequency: Monthly  
Area covered: New York State and Workers' Compensation Districts  
Published: 1966 to present

**Cases Closed: By Law Judges.**

In: W.C. Law Judges' Activity — Cumulative Comparison Report  
Frequency: Monthly  
Area covered: New York State and Workers' Compensation Districts  
Data available: 1966 to present

**Compensated Cases Closed:** Number and cost; type of accident; nature of injury; extent of disability; part of body injured; industry; and occupation, age, sex, and earnings of injured workers.

Frequency: Annually  
Area covered: New York State  
Published: 1946 to 1989 (Some data for 1945)

**Disability Benefits:** Off-the-job disabilities of employees, including number of initial indemnity benefit claims allowed; amount and duration of benefits; average number of employees covered; amount of covered payrolls; claim rates; payments per covered

employee; average payment per claim; and amount of medical, hospital, and surgical benefits.

In: Claim Statistics

Area covered: New York State

Published: 1951 to 1990

## **Youth, Division for**

See: Children and Family Services, Office of

