



Section G

Banking and Insurance

Information on finance and related topics in New York State — including commercial and savings banks; life, property, and casualty insurance; and stock exchanges.

Highlights

- There were 723 banking and lending institutions in New York State as of the end of 2014.
- Almost \$16.0 trillion worth of life insurance was in force in New York State in 2013, up from almost \$15.0 trillion in 2012.
- \$38.0 billion in premiums were written to property/casualty insurers in New York State in 2013.
- Savings banks and thrifts in New York State had \$80.7 billion in total assets as of December 31, 2014.

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TABLE G-1
FDIC-Insured Financial Institutions — Number, Assets, and Liabilities
United States by State — 2015(a)

| State | Number of Institutions Reporting | Assets and Liabilities (thousands) | | | |
|-------------------------------|----------------------------------|------------------------------------|-------------------------|-------------------|------------------|
| | | Assets | Liabilities and Capital | | |
| | | | Total | Liabilities | Equity Capital |
| United States | 6,270 | \$ 15,800,218,541 | \$ 15,800,218,528 | \$ 14,003,122,646 | \$ 1,797,095,882 |
| Alabama | 131 | 252,687,603 | 252,687,602 | 218,735,533 | 33,952,069 |
| Alaska | 5 | 6,340,204 | 6,340,204 | 5,515,135 | 825,069 |
| Arizona | 19 | 22,892,822 | 22,892,822 | 20,269,699 | 2,623,123 |
| Arkansas | 106 | 73,551,270 | 73,551,266 | 64,457,264 | 9,094,002 |
| California | 198 | 652,133,593 | 652,133,590 | 570,156,966 | 81,976,624 |
| Colorado | 92 | 49,812,189 | 49,812,186 | 44,857,259 | 4,954,927 |
| Connecticut | 43 | 97,110,147 | 97,110,147 | 86,080,783 | 11,029,364 |
| Delaware | 22 | 980,989,316 | 980,989,316 | 847,850,053 | 133,139,263 |
| District of Columbia | 4 | 2,249,878 | 2,249,878 | 2,020,173 | 229,705 |
| Florida | 165 | 172,261,928 | 172,261,931 | 154,387,310 | 17,874,621 |
| Georgia | 199 | 284,271,286 | 284,271,287 | 249,361,016 | 34,910,271 |
| Hawaii | 8 | 47,970,029 | 47,970,029 | 42,755,820 | 5,214,209 |
| Idaho | 12 | 5,098,045 | 5,098,045 | 4,478,206 | 619,839 |
| Illinois | 497 | 444,549,597 | 444,549,598 | 396,334,978 | 48,214,620 |
| Indiana | 120 | 77,592,053 | 77,592,055 | 68,652,549 | 8,939,506 |
| Iowa | 315 | 77,427,947 | 77,427,943 | 68,992,005 | 8,435,938 |
| Kansas | 273 | 66,375,607 | 66,375,610 | 58,595,288 | 7,780,322 |
| Kentucky | 170 | 54,984,986 | 54,984,988 | 48,625,329 | 6,359,659 |
| Louisiana | 133 | 69,397,264 | 69,397,261 | 61,349,295 | 8,047,966 |
| Maine | 27 | 21,900,897 | 21,900,897 | 19,458,735 | 2,442,162 |
| Maryland | 64 | 35,447,399 | 35,447,398 | 31,230,753 | 4,216,645 |
| Massachusetts | 142 | 374,283,070 | 374,283,070 | 338,924,935 | 35,358,135 |
| Michigan | 112 | 64,515,540 | 64,515,538 | 57,084,038 | 7,431,500 |
| Minnesota | 328 | 69,580,487 | 69,580,488 | 61,881,888 | 7,698,600 |
| Mississippi | 80 | 82,322,472 | 82,322,472 | 72,688,419 | 9,634,053 |
| Missouri | 298 | 160,017,461 | 160,017,460 | 143,551,136 | 16,466,324 |
| Montana | 56 | 29,014,186 | 29,014,184 | 25,624,743 | 3,389,441 |
| Nebraska | 195 | 70,923,926 | 70,923,928 | 63,384,094 | 7,539,834 |
| Nevada | 18 | 151,071,113 | 151,071,113 | 138,258,913 | 12,812,200 |
| New Hampshire | 20 | 10,938,631 | 10,938,631 | 9,713,870 | 1,224,761 |
| New Jersey | 96 | 161,400,196 | 161,400,197 | 142,268,517 | 19,131,680 |
| New Mexico | 41 | 17,547,535 | 17,547,534 | 15,691,934 | 1,855,600 |
| New York | 153 | 934,881,678 | 934,881,680 | 828,963,051 | 105,918,629 |
| North Carolina | 67 | 1,901,381,300 | 1,901,381,302 | 1,664,218,502 | 237,162,800 |
| North Dakota | 79 | 25,482,482 | 25,482,480 | 22,928,364 | 2,554,116 |
| Ohio | 206 | 2,785,020,386 | 2,785,020,391 | 2,501,800,330 | 283,220,061 |
| Oklahoma | 214 | 100,215,385 | 100,215,383 | 89,900,287 | 10,315,096 |
| Oregon | 25 | 32,497,299 | 32,497,299 | 27,271,555 | 5,225,744 |
| Pennsylvania | 181 | 221,559,786 | 221,559,787 | 195,161,282 | 26,398,505 |
| Rhode Island | 9 | 113,895,281 | 113,895,281 | 96,591,155 | 17,304,126 |
| South Carolina | 62 | 28,122,121 | 28,122,119 | 25,005,164 | 3,116,955 |
| South Dakota | 71 | 2,966,882,145 | 2,966,882,144 | 2,662,939,926 | 303,942,218 |
| Tennessee | 168 | 91,459,561 | 91,459,556 | 81,077,177 | 10,382,379 |
| Texas | 485 | 456,510,844 | 456,510,845 | 404,789,946 | 51,720,899 |
| Utah | 50 | 523,990,297 | 523,990,297 | 455,725,266 | 68,265,031 |
| Vermont | 12 | 6,255,215 | 6,255,215 | 5,634,537 | 620,678 |
| Virginia | 94 | 653,140,860 | 653,140,858 | 570,186,003 | 82,954,855 |
| Washington | 56 | 67,297,050 | 67,297,048 | 58,889,030 | 8,408,018 |
| West Virginia | 60 | 32,084,201 | 32,084,199 | 28,261,157 | 3,823,042 |
| Wisconsin | 246 | 105,188,819 | 105,188,821 | 92,149,438 | 13,039,383 |
| Wyoming | 32 | 7,576,010 | 7,576,011 | 6,786,503 | 789,508 |
| U.S. Territories | | | | | |
| American Samoa ¹ | 1 | 129,939 | 129,939 | 112,518 | 17,421 |
| Federated State of Micronesia | 1 | 146,960 | 146,960 | 127,031 | 19,929 |
| Guam | 3 | 2,044,811 | 2,044,811 | 1,871,168 | 173,643 |
| Puerto Rico | 5 | 57,662,828 | 57,662,828 | 49,372,357 | 8,290,471 |
| Virgin Islands | 2 | 264,545 | 264,545 | 236,781 | 27,764 |

a As of September 30.

1 America Samoa is not included in the United States totals.

SOURCE: Federal Deposit Insurance Corporation (FDIC), *Statistics on Banking*, www2.fdic.gov/SDI/SOB/ (last viewed February 8, 2016).

TABLE G-2

**Domestic and Foreign Deposits in Insured Commercial Banks
United States by State — June 30, 2014**

| State | Deposits (billions) | State | Deposits (billions) | State | Deposits (billions) |
|----------------------------|------------------------|----------------|------------------------|----------------|------------------------|
| United States ¹ | \$ 9,204.8 | | | | |
| Alabama | 87.9 | Kentucky | 69.8 | North Dakota | 23.3 |
| Alaska | 10.4 | Louisiana | 91.7 | Ohio | 240.3 |
| Arizona | 53.2 | Maine | 27.1 | Oklahoma | 74.4 |
| Arkansas | 94.4 | Maryland | 117.9 | Oregon | 61.1 |
| California | 1,070.4 | Massachusetts | 279.6 | Pennsylvania | 264.0 |
| Colorado | 106.9 | Michigan | 167.6 | Rhode Island | 24.0 |
| Connecticut | 78.6 | Minnesota | 251.1 | South Carolina | 68.8 |
| Delaware | 412.0 | Mississippi | 47.3 | South Dakota | 415.5 |
| District of Columbia | 41.1 | Missouri | 133.2 | Tennessee | 118.6 |
| Florida | 437.1 | Montana | 20.1 | Texas | 648.7 |
| Georgia | 193.4 | Nebraska | 53.4 | Utah | 389.7 |
| Hawaii | 30.3 | Nevada | 54.1 | Vermont | 6.9 |
| Idaho | 20.1 | New Hampshire | 21.6 | Virginia | 205.0 |
| Illinois | 412.1 | New Jersey | 216.8 | Washington | 115.7 |
| Indiana | 101.7 | New Mexico | 27.4 | West Virginia | 30.2 |
| Iowa | 73.1 | New York | 1,184.1 | Wisconsin | 124.9 |
| Kansas | 59.6 | North Carolina | 334.8 | Wyoming | 13.6 |

NOTE: Detail may not add to totals due to rounding.

1 Includes territorial possessions.

SOURCE: United States Federal Deposit Insurance Corporation; material compiled by Empire State Development.

TABLE G-3
Deposits of All FDIC¹-Insured Institutions
New York State by County — As of June 30, 2015

| County | All Institutions | | | Commercial Banks | | |
|----------------|-------------------------------------|-------------------|--|-------------------------------------|-------------------|--|
| | Number of Institutions ² | Number of Offices | Total Deposits ³ (millions) | Number of Institutions ² | Number of Offices | Total Deposits ³ (millions) |
| New York State | 217 | 5,270 | \$ 1,381,891 | 154 | 4,449 | \$ 1,273,631 |
| New York City | | | | | | |
| Bronx | 23 | 153 | 11,779 | 16 | 126 | 9,981 |
| Kings | 41 | 371 | 45,373 | 28 | 285 | 38,781 |
| New York | 89 | 699 | 920,432 | 70 | 652 | 874,109 |
| Queens | 53 | 441 | 53,802 | 38 | 327 | 41,886 |
| Richmond | 19 | 117 | 11,336 | 13 | 73 | 7,792 |
| Rest of State | | | | | | |
| Albany | 18 | 125 | 12,030 | 15 | 97 | 10,748 |
| Allegany | 5 | 19 | 501 | 5 | 19 | 501 |
| Broome | 8 | 46 | 2,608 | 8 | 46 | 2,608 |
| Cattaraugus | 9 | 28 | 916 | 8 | 27 | 897 |
| Cayuga | 12 | 23 | 1,030 | 10 | 20 | 970 |
| Chautauqua | 11 | 46 | 1,466 | 9 | 36 | 1,022 |
| Chemung | 7 | 21 | 1,005 | 6 | 15 | 736 |
| Chenango | 4 | 15 | 955 | 4 | 15 | 955 |
| Clinton | 7 | 23 | 1,272 | 7 | 23 | 1,272 |
| Columbia | 7 | 25 | 976 | 5 | 18 | 841 |
| Cortland | 8 | 16 | 662 | 8 | 16 | 662 |
| Delaware | 4 | 20 | 956 | 4 | 20 | 956 |
| Dutchess | 18 | 93 | 4,943 | 13 | 70 | 4,079 |
| Erie | 16 | 238 | 37,303 | 14 | 225 | 36,901 |
| Essex | 5 | 18 | 627 | 5 | 18 | 627 |
| Franklin | 5 | 14 | 461 | 5 | 14 | 461 |
| Fulton | 6 | 11 | 683 | 5 | 10 | 631 |
| Genesee | 8 | 18 | 777 | 8 | 18 | 777 |
| Greene | 8 | 22 | 1,098 | 6 | 13 | 523 |
| Hamilton | 2 | 3 | 94 | 2 | 3 | 94 |
| Herkimer | 7 | 18 | 600 | 7 | 18 | 600 |
| Jefferson | 11 | 37 | 1,464 | 8 | 23 | 792 |
| Lewis | 2 | 7 | 238 | 2 | 7 | 238 |
| Livingston | 7 | 19 | 768 | 7 | 19 | 768 |
| Madison | 7 | 20 | 1,157 | 6 | 13 | 552 |
| Monroe | 15 | 171 | 12,399 | 13 | 161 | 12,128 |
| Montgomery | 7 | 16 | 670 | 5 | 13 | 613 |
| Nassau | 37 | 477 | 68,564 | 27 | 381 | 55,178 |
| Niagara | 7 | 44 | 2,504 | 6 | 43 | 2,485 |
| Oneida | 12 | 58 | 3,272 | 11 | 52 | 3,132 |
| Onondaga | 17 | 129 | 9,170 | 13 | 121 | 8,528 |
| Ontario | 10 | 32 | 1,873 | 9 | 29 | 1,819 |
| Orange | 24 | 112 | 6,451 | 18 | 93 | 5,784 |
| Orleans | 7 | 10 | 354 | 6 | 9 | 308 |
| Oswego | 9 | 34 | 1,718 | 7 | 22 | 1,127 |
| Otsego | 5 | 28 | 966 | 5 | 28 | 966 |
| Putnam | 13 | 29 | 1,978 | 11 | 25 | 1,502 |
| Rensselaer | 12 | 41 | 1,927 | 10 | 30 | 1,412 |
| Rockland | 14 | 95 | 12,351 | 11 | 88 | 11,991 |
| St. Lawrence | 9 | 38 | 1,121 | 6 | 30 | 751 |
| Saratoga | 18 | 83 | 4,005 | 16 | 65 | 3,457 |
| Schenectady | 11 | 47 | 2,568 | 9 | 31 | 1,576 |
| Schoharie | 7 | 12 | 408 | 6 | 11 | 386 |
| Schuyler | 4 | 5 | 183 | 3 | 4 | 172 |
| Seneca | 8 | 15 | 493 | 7 | 11 | 383 |
| Steuben | 8 | 30 | 969 | 6 | 27 | 870 |
| Suffolk | 27 | 470 | 48,472 | 21 | 397 | 43,525 |
| Sullivan | 11 | 37 | 1,202 | 11 | 37 | 1,202 |
| Tioga | 6 | 13 | 410 | 6 | 13 | 410 |
| Tompkins | 10 | 27 | 1,832 | 9 | 24 | 1,733 |
| Ulster | 21 | 56 | 2,843 | 13 | 34 | 1,710 |
| Warren | 10 | 34 | 1,560 | 9 | 30 | 1,499 |
| Washington | 5 | 19 | 702 | 4 | 17 | 628 |
| Wayne | 9 | 20 | 801 | 9 | 20 | 801 |
| Westchester | 36 | 363 | 71,467 | 26 | 318 | 64,446 |
| Wyoming | 5 | 13 | 988 | 5 | 13 | 988 |
| Yates | 3 | 6 | 360 | 3 | 6 | 360 |

(Continued on the following page)

TABLE G-3 (continued)
Deposits of All FDIC¹-Insured Institutions
New York State by County — As of June 30, 2015

| County | Savings Institutions | | | U.S. Branches of Foreign Banks | | |
|----------------|-------------------------------------|-------------------|--|-------------------------------------|-------------------|--|
| | Number of Institutions ² | Number of Offices | Total Deposits ³ (millions) | Number of Institutions ² | Number of Offices | Total Deposits ³ (millions) |
| New York State | 55 | 813 | \$ 66,658 | 8 | 8 | \$ 41,602 |
| New York City | | | | | | |
| Bronx | 7 | 27 | 1,798 | — | — | — |
| Kings | 13 | 86 | 6,592 | — | — | — |
| New York | 12 | 40 | 5,436 | 7 | 7 | 40,887 |
| Queens | 14 | 113 | 11,200 | 1 | 1 | 715 |
| Richmond | 6 | 44 | 3,543 | — | — | — |
| Rest of State | | | | | | |
| Albany | 3 | 28 | 1,281 | — | — | — |
| Allegany | — | — | — | — | — | — |
| Broome | — | — | — | — | — | — |
| Cattaraugus | 1 | 1 | 20 | — | — | — |
| Cayuga | 2 | 3 | 60 | — | — | — |
| Chautauqua | 2 | 10 | 444 | — | — | — |
| Chemung | 1 | 6 | 269 | — | — | — |
| Chenango | — | — | — | — | — | — |
| Clinton | — | — | — | — | — | — |
| Columbia | 2 | 7 | 135 | — | — | — |
| Cortland | — | — | — | — | — | — |
| Delaware | — | — | — | — | — | — |
| Dutchess | 5 | 23 | 864 | — | — | — |
| Erie | 2 | 13 | 403 | — | — | — |
| Essex | — | — | — | — | — | — |
| Franklin | — | — | — | — | — | — |
| Fulton | 1 | 1 | 52 | — | — | — |
| Genesee | — | — | — | — | — | — |
| Greene | 2 | 9 | 575 | — | — | — |
| Hamilton | — | — | — | — | — | — |
| Herkimer | — | — | — | — | — | — |
| Jefferson | 3 | 14 | 671 | — | — | — |
| Lewis | — | — | — | — | — | — |
| Livingston | — | — | — | — | — | — |
| Madison | 1 | 7 | 605 | — | — | — |
| Monroe | 2 | 10 | 270 | — | — | — |
| Montgomery | 2 | 3 | 57 | — | — | — |
| Nassau | 10 | 96 | 13,387 | — | — | — |
| Niagara | 1 | 1 | 19 | — | — | — |
| Oneida | 1 | 6 | 139 | — | — | — |
| Onondaga | 4 | 8 | 641 | — | — | — |
| Ontario | 1 | 3 | 53 | — | — | — |
| Orange | 6 | 19 | 667 | — | — | — |
| Orleans | 1 | 1 | 46 | — | — | — |
| Oswego | 2 | 12 | 591 | — | — | — |
| Otsego | — | — | — | — | — | — |
| Putnam | 2 | 4 | 476 | — | — | — |
| Rensselaer | 2 | 11 | 514 | — | — | — |
| Rockland | 3 | 7 | 360 | — | — | — |
| St. Lawrence | 3 | 8 | 369 | — | — | — |
| Saratoga | 2 | 18 | 548 | — | — | — |
| Schenectady | 2 | 16 | 992 | — | — | — |
| Schoharie | 1 | 1 | 22 | — | — | — |
| Schuyler | 1 | 1 | 11 | — | — | — |
| Seneca | 1 | 4 | 110 | — | — | — |
| Steuben | 2 | 3 | 100 | — | — | — |
| Suffolk | 6 | 73 | 4,948 | — | — | — |
| Sullivan | — | — | — | — | — | — |
| Tioga | — | — | — | — | — | — |
| Tompkins | 1 | 3 | 98 | — | — | — |
| Ulster | 8 | 22 | 1,134 | — | — | — |
| Warren | 1 | 4 | 61 | — | — | — |
| Washington | 1 | 2 | 75 | — | — | — |
| Wayne | — | — | — | — | — | — |
| Westchester | 10 | 45 | 7,021 | — | — | — |
| Wyoming | — | — | — | — | — | — |
| Yates | — | — | — | — | — | — |

NOTE: Detail may not add to totals due to rounding.

— Represents zero.

1 The Federal Deposit Insurance Corporation.

2 New York State Totals for Number of Institutions will not add to detail. The New York State Totals are of unique institutions in the state and are counted in more than one county.

3 Deposits of branch banks are included in the county where branch is located.

SOURCE: Federal Deposit Insurance Corporation, www2.fdic.gov/sod/sod/Summary.asp?barItem=3 (last viewed February 8, 2016).

TABLE G-4
Principal Banking and Lending Facilities
in New York State — 2014

| | Number of Institutions | | | Assets (thousands) | | |
|------------------------------------|------------------------|---------------|---------------|--------------------|------------------|----------------|
| | New York State | New York City | Rest of State | New York State | New York City | Rest of State |
| All Institutions ¹ | 723 | 296 | 414 | \$ 3,174,052,359 | \$ 2,815,806,100 | \$ 358,246,259 |
| Commercial Banks ² | 129 | 59 | 70 | 804,119,815 | 576,017,502 | 228,102,313 |
| Savings Banks | 42 | 13 | 29 | 108,818,843 | 37,049,646 | 71,769,197 |
| Savings and Loan Associations | 8 | 1 | 7 | 3,035,606 | 1,740,528 | 1,295,078 |
| Credit Unions | 384 | 84 | 300 | 68,597,057 | 11,612,257 | 56,984,800 |
| Safe Deposit Companies | 2 | — | 2 | 521 | — | 521 |
| Investment Companies (Article XII) | 6 | 5 | 1 | 1,050,159 | 1,050,156 | 3 |
| Licensed Lenders ³ | 19 | 1 | 5 | 95,320 | 973 | 94,347 |
| Foreign Branches | 118 | 118 | — | 2,074,242,713 | 2,074,242,713 | — |
| Foreign Agencies | 15 | 15 | — | 114,092,325 | 114,092,325 | — |
| State Charter ¹ | 253 | 157 | 83 | \$ 2,684,406,553 | \$ 2,496,721,621 | \$ 187,684,932 |
| Commercial Banks ² | 81 | 42 | 39 | 678,486,993 | 559,927,445 | 118,559,548 |
| Savings Banks | 22 | 4 | 18 | 80,522,765 | 16,270,364 | 64,252,401 |
| Savings and Loan Associations | 2 | — | 2 | 190,798 | — | 190,798 |
| Credit Unions | 20 | 4 | 16 | 7,558,977 | 2,971,663 | 4,587,314 |
| Safe Deposit Companies | 2 | — | 2 | 521 | — | 521 |
| Investment Companies (Article XII) | 6 | 5 | 1 | 1,050,159 | 1,050,156 | 3 |
| Licensed Lenders ³ | 19 | 1 | 5 | 95,320 | 973 | 94,347 |
| Foreign Branches | 87 | 87 | — | 1,802,836,839 | 1,802,836,839 | — |
| Foreign Agencies | 14 | 14 | — | 113,664,181 | 113,664,181 | — |
| Federal Charter ¹ | 470 | 139 | 331 | \$ 489,645,806 | \$ 319,084,479 | \$ 170,561,327 |
| Commercial Banks ² | 48 | 17 | 31 | 125,632,822 | 16,090,057 | 109,542,765 |
| Savings Banks | 20 | 9 | 11 | 28,296,078 | 20,779,282 | 7,516,796 |
| Savings and Loan Associations | 6 | 1 | 5 | 2,844,808 | 1,740,528 | 1,104,280 |
| Credit Unions | 364 | 80 | 284 | 61,038,080 | 8,640,594 | 52,397,486 |
| Safe Deposit Companies | — | — | — | — | — | — |
| Investment Companies (Article XII) | — | — | — | — | — | — |
| Licensed Lenders | — | — | — | — | — | — |
| Foreign Branches | 31 | 31 | — | 271,405,874 | 271,405,874 | — |
| Foreign Agencies | 1 | 1 | — | 428,144 | 428,144 | — |

— Represents zero.

1 Dollars may not add to totals due to rounding.

2 Banks, trust companies, limited-purpose trust companies, and private bankers.

3 Fourteen licensed institutions located outside New York State.

SOURCE: New York State Department of Financial Services, *2014 Department of Financial Services Annual Report*; www.dfs.ny.gov/report/pub/annual/dfs_annualrpt_2014.pdf (last viewed August 11, 2015).

TABLE G-5
Insured Savings Institutions
Number and Deposits
New York State — 1986-2014

| Year | Institutions | | | Deposits (thousands) | |
|------|--------------|---------|-------|----------------------|--------------|
| | Total | Federal | State | Total | Demand |
| 1986 | 165 | 81 | 84 | \$ 122,968,043 | \$ 1,331,829 |
| 1987 | 166 | 81 | 85 | 132,355,135 | 1,506,507 |
| 1988 | 164 | 80 | 84 | 138,699,666 | 1,740,453 |
| 1989 | 163 | 80 | 83 | 140,061,241 | 2,844,282 |
| 1990 | 151 | 70 | 81 | 122,955,596 | 2,683,173 |
| 1991 | 139 | 62 | 77 | 114,857,365 | 2,687,047 |
| 1992 | 131 | 61 | 70 | 107,661,570 | 2,951,657 |
| 1993 | 125 | 60 | 65 | 93,641,075 | 3,053,194 |
| 1994 | 120 | 57 | 63 | 90,547,120 | 2,868,337 |
| 1995 | 112 | 55 | 57 | 99,938,027 | 3,106,673 |
| 1996 | 105 | 52 | 53 | 93,478,821 | 3,205,050 |
| 1997 | 97 | 52 | 45 | 84,686,730 | 3,594,520 |
| 1998 | 91 | 47 | 44 | 81,490,747 | 4,306,173 |
| 1999 | 88 | 46 | 42 | 82,396,572 | 3,996,235 |
| 2000 | 82 | 42 | 40 | 82,010,935 | 4,289,781 |
| 2001 | 79 | 39 | 40 | 87,500,649 | 6,138,131 |
| 2002 | 75 | 40 | 35 | 80,201,547 | 3,672,069 |
| 2003 | 71 | 39 | 32 | 82,319,491 | NA |
| 2004 | 68 | 38 | 30 | 87,256,026 | 3,844,949 |
| 2005 | 71 | 40 | 31 | 83,881,919 | 3,897,620 |
| 2006 | 70 | 43 | 27 | 85,197,392 | 3,222,514 |
| 2007 | 67 | 43 | 24 | 100,183,925 | 7,839,859 |
| 2008 | 67 | 44 | 23 | 107,184,575 | 7,143,895 |
| 2009 | 65 | 43 | 22 | 92,721,595 | 3,961,465 |
| 2010 | 64 | 43 | 21 | 82,636,354 | 2,604,097 |
| 2011 | 60 | 38 | 22 | 79,033,950 | 2,963,431 |
| 2012 | 51 | 29 | 22 | 81,395,896 | 3,086,828 |
| 2013 | 51 | 29 | 22 | 73,673,593 | 2,708,730 |
| 2014 | 49 | 26 | 23 | 76,142,802 | 2,864,997 |

NA Not available.

SOURCE: Federal Deposit Insurance Corporation, compiled by Empire State Development.

TABLE G-6
Condition of Commercial Banks, Trusts, and Private Bankers
New York State — 2014
(thousands)

| Commercial Banks, Trust Companies, and Private Bankers | Assets | | | | | | |
|---|-----------------------|--|-----------------------|---|-------------------------|---------------------------|-------------------------------------|
| | Total Assets | Cash and Due From Banks ¹ | Total Securities | Federal Funds Sold and Repos ² | Loans and Leases Net | Premises and Equipment | All Other Assets ³ |
| Total | \$ 644,557,749 | \$ 221,326,675 | \$ 129,270,515 | \$ 20,447,495 | \$ 193,792,116 | \$ 2,565,985 | \$ 77,154,963 |
| Commercial Banks | | | | | | | |
| Adirondack Bank | 643,670 | 12,824 | 191,224 | 1,221 | 410,048 | 6,210 | 22,143 |
| Alden State Bank | 250,818 | 3,605 | 66,277 | 558 | 168,422 | 1,848 | 10,108 |
| Alma Bank | 950,028 | 138,603 | 56,734 | 144 | 730,040 | 9,819 | 14,688 |
| Alpine Capital Bank | 234,419 | 62,574 | 31,533 | — | 137,847 | 785 | 1,680 |
| Amerasia Bank | 379,391 | 23,941 | 9,299 | 20,000 | 311,922 | 7,786 | 6,443 |
| American Community Bank | 171,686 | 19,377 | 27,377 | 8,600 | 106,410 | 1,225 | 8,697 |
| Bank of Akron | 254,380 | 6,201 | 49,527 | — | 184,851 | 4,301 | 9,500 |
| Bank of Cattaraugus | 16,248 | 2,623 | 4,886 | — | 7,776 | 311 | 652 |
| Bank of Holland | 89,041 | 5,641 | 18,588 | 52 | 60,591 | 2,593 | 1,576 |
| Berkshire Bank Municipal Bank | 56,186 | 11,847 | 27,516 | 16,709 | — | — | 114 |
| BDP Bank | 4,686 | 3,236 | — | — | — | — | 1,450 |
| Catskill Hudson Bank | 396,060 | 8,399 | 180,043 | — | 195,843 | 3,026 | 8,749 |
| Cattaraugus County Bank | 189,478 | 12,661 | 48,619 | 3,000 | 109,532 | 6,157 | 9,509 |
| Citizens Bank of Cape Vincent | 58,119 | 4,157 | 17,516 | 4,500 | 30,082 | 1,079 | 785 |
| Country Bank | 514,970 | 18,665 | 170,643 | — | 313,609 | 568 | 11,485 |
| Emigrant Mercantile Bank | 3,650 | 3,637 | — | — | — | — | 13 |
| Empire State Bank | 149,078 | 4,298 | 19,953 | — | 119,234 | 2,285 | 3,308 |
| First American International Bank | 551,495 | 64,171 | 93,545 | — | 354,637 | 17,507 | 21,635 |
| Flushing Bank | 4,725,183 | 33,083 | 980,220 | — | 3,402,827 | 20,356 | 288,697 |
| Genesee Regional Bank | 377,819 | 23,416 | 117,708 | — | 231,256 | 778 | 4,661 |
| Global Bank | 119,655 | 9,152 | 9,233 | — | 98,157 | 174 | 2,939 |
| Gold Coast Bank | 244,136 | 28,067 | 47,860 | 858 | 162,801 | 1,944 | 2,606 |
| Greene County Commercial Bank | 190,613 | 1,626 | 188,260 | — | — | — | 727 |
| Hanover Community Bank | 120,972 | 13,915 | 23 | 7,293 | 95,903 | 3,357 | 481 |
| Interaudi Bank | 1,452,699 | 547,348 | 352,406 | — | 537,208 | 3,622 | 12,115 |
| Jeff Bank | 429,804 | 19,621 | 110,325 | — | 269,132 | 3,682 | 27,044 |
| Mahopac Bank | 990,218 | 13,071 | 271,177 | — | 634,747 | 16,021 | 55,202 |
| New York Commercial Bank | 3,684,485 | 190,300 | 874,095 | 1,564 | 2,245,566 | 25,648 | 347,312 |
| NewBank | 182,349 | 54,745 | 294 | — | 120,757 | 1,160 | 5,393 |
| PathFinder Commercial Bank | 61,384 | 2,486 | 57,694 | — | — | — | 1,204 |
| PCSB Commercial Bank | 34,275 | 4,131 | 29,905 | 154 | — | — | 85 |
| Pioneer Commercial Bank | 59,927 | 11,366 | 48,441 | 17 | — | — | 103 |
| Riverside Bank | 221,343 | 9,208 | 20,385 | 208 | 184,085 | 1,178 | 6,279 |
| Savoy Bank | 100,954 | 18,834 | 6,648 | — | 74,453 | 519 | 500 |
| Shinhan Bank America | 984,982 | 100,387 | 113,248 | — | 737,119 | 10,134 | 24,094 |
| Signature Bank | 22,376,663 | 237,929 | 7,740,345 | — | 13,805,159 | 31,467 | 561,763 |
| Spring Bank | 98,990 | 2,841 | 13,242 | — | 79,029 | 498 | 3,380 |
| State Bank of Chittenango | 186,883 | 31 | 184,228 | — | — | — | 2,624 |
| The Berkshire Bank | 766,716 | 92,586 | 334,625 | — | 309,184 | 2,797 | 27,524 |
| The Westchester Bank | 426,504 | 46,459 | 42,939 | 2,683 | 320,134 | 2,712 | 11,577 |
| Tioga State Bank | 395,225 | 6,331 | 111,148 | — | 256,283 | 4,760 | 16,703 |
| United International Bank | 176,666 | 38,129 | 15,368 | — | 117,582 | 1,308 | 4,279 |
| United Orient Bank | 92,221 | 14,021 | 2,846 | 1,000 | 72,668 | 94 | 1,592 |
| USNY Bank | 179,849 | 14,913 | 5,414 | — | 154,496 | 1,742 | 3,284 |
| Victory State Bank | 299,566 | 67,633 | 154,663 | 456 | 71,987 | 1,893 | 2,934 |
| Woori America Bank | 1,156,416 | 169,054 | 100,519 | — | 857,532 | 4,316 | 24,995 |
| WSB Municipal Bank | 61,594 | 11,749 | 49,128 | — | — | — | 717 |

(Continued on the following page)

TABLE G-6 (continued)
Condition of Commercial Banks, Trusts, and Private Bankers
New York State — 2014
(thousands)

| Commercial Banks, Trust Companies, and Private Bankers | Assets | | | | | | |
|---|-----------------|--|---------------------|---|-------------------------|---------------------------|------------------------|
| | Total Assets | Cash and Due From Banks ¹ | Total Securities | Federal Funds Sold and Repos ² | Loans and Leases Net | Premises and Equipment | All Other Assets |
| Trust Companies | | | | | | | |
| Amalgamated Bank | \$ 3,765,045 | \$ 192,622 | \$ 1,450,709 | — | \$ 1,927,540 | \$ 32,870 | \$ 161,304 |
| Banco Popular North America | 8,755,205 | 211,067 | 2,042,213 | — | 5,614,910 | 60,601 | 826,414 |
| Bank of Leumi USA | 5,191,130 | 542,678 | 675,834 | — | 3,677,643 | 35,940 | 259,035 |
| Bank of Millbrook | 214,282 | 18,125 | 86,212 | \$ 2,155 | 98,406 | 3,228 | 6,156 |
| Bank of Richmondville | 130,163 | 7,446 | 35,699 | 293 | 81,970 | 988 | 3,767 |
| Bank of Utica | 951,530 | 6,296 | 883,693 | — | 49,868 | 962 | 10,711 |
| Chemung Canal Trust Company | 1,477,891 | 52,633 | 351,090 | — | 983,781 | 30,031 | 60,356 |
| Deutsche Bank Trust Company Americas | 55,759,000 | 18,979,000 | 14,000 | 15,323,000 | 19,838,000 | 43,000 | 1,562,000 |
| Fiduciary Trust Company International | 918,218 | 501,446 | 73,708 | — | 196,177 | 3,639 | 143,248 |
| Five Star Bank | 2,922,017 | 56,592 | 859,184 | — | 1,810,264 | 34,216 | 161,761 |
| Goldman Sachs Bank USA | 105,616,000 | 51,102,000 | — | 1,539,000 | 22,775,000 | — | 30,200,000 |
| Habib American Bank | 859,621 | 334,529 | 33,702 | — | 477,975 | 2,703 | 10,712 |
| Israel Discounts Bank of New York | 9,600,085 | 695,298 | 3,864,412 | — | 4,645,563 | 34,854 | 359,958 |
| Manufacturers and Traders Trust | 84,346,633 | 3,142,891 | 8,461,784 | 231,673 | 62,652,518 | 616,937 | 9,240,830 |
| Metropolitan Commercial Bank | 635,192 | 39,965 | 70,391 | — | 494,430 | 4,691 | 25,715 |
| Mitsubishi UFJ Trust and Banking | 258,381 | 237,230 | — | — | — | 550 | 20,601 |
| Mizuho Bank (USA) | 5,219,678 | 427,500 | 117,948 | — | 4,520,722 | — | 153,508 |
| Mizuho Trust and Banking Company (USA) | 782,526 | 742,198 | — | — | — | 4,889 | 35,439 |
| Orange County Trust Company | 667,002 | 10,267 | 286,442 | — | 318,660 | 13,724 | 37,909 |
| Solvay Bank | 686,029 | 10,594 | 250,360 | 357 | 404,437 | 7,999 | 12,282 |
| Steuben Trust Company | 439,026 | 13,913 | 161,483 | — | 239,513 | 7,181 | 16,936 |
| The Adirondack Trust Company | 991,604 | 63,767 | 295,855 | — | 558,696 | 27,169 | 46,117 |
| The Bank of Castile | 1,105,565 | 17,890 | 288,616 | — | 752,704 | 14,934 | 31,421 |
| The Bank of New York Mellon | 296,626,000 | 136,341,000 | 94,757,000 | 3,282,000 | 30,576,000 | 1,191,000 | 30,479,000 |
| Tompkins Trust Company | 1,602,169 | 45,610 | 561,463 | — | 901,815 | 16,882 | 76,399 |
| Limited Purpose Trust Companies | | | | | | | |
| American Stock Transfer and Trust | 606,751 | 6,871 | — | — | — | 40,896 | 558,984 |
| Anthos Trust Company, LLC | 5,134 | 24 | 5,087 | — | — | — | 23 |
| Continental Stock Transfer and Trust | 5,058 | 544 | 1,592 | — | — | 693 | 2,229 |
| Genesee Valley Trust Company | 16,965 | 5,517 | — | — | — | 27 | 11,421 |
| Law Debenture Trust Company of New York | 53,570 | 50,466 | — | — | — | 10 | 3,094 |
| Market Street Trust Company | 6,320 | 390 | 3,545 | — | — | 1,833 | 552 |
| New York Life Trust Company | 16,999 | 532 | 13,719 | — | — | — | 2,748 |
| OFI Global Trust Company | 10,634 | — | 7,319 | — | — | — | 3,315 |
| The Bank of Nova Scotia Trust Company | 17,678 | 16,926 | — | — | — | — | 752 |
| The Depository Trust Company | 2,944,532 | 2,708,358 | — | — | — | 87,921 | 148,253 |
| The Northern Trust Company of New York | 7,125 | 1,159 | 5,660 | — | — | — | 306 |
| The Warehouse Trust Company LLC | 11,582 | 5,676 | — | — | — | — | 5,906 |
| Private Bankers | | | | | | | |
| Brown Brothers Harriman and Company | 6,223,915 | 2,548,763 | 616,128 | — | 2,116,615 | 39,957 | 902,452 |

(Continued on the following page)

TABLE G-6 (continued)
Condition of Commercial Banks, Trusts, and Private Bankers
New York State — 2014
(thousands)

| Commercial Banks, Trust Companies, and Private Bankers | Liabilities and Equity | | | | | | | |
|---|-------------------------------------|----------------------|-------------------|-------------------------------------|---|---|-----------------------------|----------------------------|
| | Liabilities | | | | | | | |
| | Total Liabilities and Capital | Total Liabilities | Total Deposits | Federal Funds Bought and Sold | Trading Liabilities and Other Borrowed Money | Subordinated Notes and Debentures | All Other Liabilities | Total Equity Capital |
| Total | \$ 678,486,976 | \$ 602,190,604 | \$ 526,659,752 | \$ 19,393,477 | \$ 32,630,156 | \$ 4,225,234 | \$ 19,281,985 | \$ 76,296,372 |
| Commercial Banks | | | | | | | | |
| Adirondack Bank | 676,002 | 622,753 | 557,931 | 20,030 | 39,164 | — | 5,628 | 53,249 |
| Alden State Bank | 270,608 | 237,259 | 236,871 | — | — | — | 388 | 33,349 |
| Alma Bank | 940,129 | 824,844 | 822,466 | — | — | — | 2,378 | 115,285 |
| Alpine Capital Bank | 287,670 | 248,775 | 246,034 | — | — | — | 2,741 | 38,895 |
| Amerasia Bank | 430,422 | 386,449 | 384,133 | — | — | — | 2,316 | 43,973 |
| American Community Bank | 153,774 | 130,412 | 126,632 | — | 2,000 | — | 1,780 | 23,362 |
| Bank Leumi USA | 5,202,709 | 4,659,537 | 4,401,029 | — | 24,340 | 90,000 | 144,168 | 543,172 |
| Bank of Akron | 268,336 | 241,872 | 229,788 | — | 8,525 | — | 3,559 | 26,464 |
| Bank of Cattaraugus | 16,784 | 15,210 | 15,206 | — | — | — | 4 | 1,574 |
| Bank of Holland | 91,951 | 83,833 | 83,695 | — | — | — | 138 | 8,118 |
| Catskill Hudson Bank | 412,945 | 382,048 | 379,719 | — | — | 1,800 | 529 | 30,897 |
| Cattaraugus County Bank | 195,734 | 178,438 | 174,420 | — | — | — | 4,018 | 17,296 |
| Citizens Bank of Cape Vincent | 54,349 | 48,661 | 48,356 | — | — | — | 305 | 5,688 |
| Country Bank | 525,801 | 483,406 | 442,363 | 19,000 | 20,000 | — | 2,043 | 42,395 |
| Emigrant Mercantile Bank | 3,619 | 550 | 500 | — | — | — | 50 | 3,069 |
| Empire State Bank | 185,526 | 170,390 | 150,632 | — | 19,000 | — | 758 | 15,136 |
| First American International Bank | 575,791 | 502,865 | 436,509 | — | 61,000 | — | 5,356 | 72,926 |
| Genesee Regional Bank | 415,140 | 379,848 | 359,255 | — | 18,000 | — | 2,593 | 35,292 |
| Global Bank | 123,690 | 105,942 | 105,599 | — | — | — | 343 | 17,748 |
| Gold Coast Bank | 323,851 | 295,377 | 294,901 | — | — | — | 476 | 28,474 |
| Greene County Commercial Bank | 217,441 | 198,683 | 158,450 | — | 40,061 | — | 172 | 18,758 |
| Hanover Community Bank | 169,021 | 145,481 | 145,239 | — | — | — | 242 | 23,540 |
| Interaudi Bank | 1,698,000 | 1,584,565 | 1,471,761 | 10,000 | 100,000 | — | 2,804 | 113,435 |
| Jeff Bank | 435,786 | 384,762 | 378,599 | — | — | — | 6,163 | 51,024 |
| Mahopac Bank | 1,048,508 | 931,540 | 822,437 | — | 101,600 | — | 7,503 | 116,968 |
| Metropolitan Commercial Bank | 766,506 | 687,563 | 618,174 | — | 63,923 | — | 5,466 | 78,943 |
| New York Commercial Bank | 4,349,529 | 3,760,543 | 2,635,479 | 75,000 | 1,042,252 | — | 7,812 | 588,986 |
| NewBank | 201,343 | 173,663 | 164,075 | — | — | — | 9,588 | 27,680 |
| PathFinder Commerical Bank | 71,622 | 63,773 | 63,476 | — | — | — | 297 | 7,849 |
| PCSB Commercial Bank | 34,872 | 27,316 | 27,250 | — | — | — | 66 | 7,556 |
| Pioneer Commercial Bank | 67,513 | 62,387 | 62,283 | — | — | — | 104 | 5,126 |
| Savoy Bank | 122,263 | 109,696 | 108,931 | — | — | — | 765 | 12,567 |
| Shinhan Bank America | 965,918 | 823,475 | 811,233 | — | — | — | 12,242 | 142,443 |
| Signature Bank | 27,318,640 | 24,822,402 | 22,621,783 | 1,535,000 | 515,163 | — | 150,456 | 2,496,238 |
| Spring Bank | 117,234 | 102,799 | 102,241 | — | — | — | 558 | 14,435 |
| State Bank of Chittenango | 201,210 | 182,259 | 170,487 | — | — | — | 11,772 | 18,951 |
| The Berkshire Bank | 755,384 | 652,719 | 617,192 | 30,000 | — | — | 5,527 | 102,665 |
| The Westchester Bank | 522,334 | 467,176 | 437,065 | — | 28,145 | — | 1,966 | 55,158 |
| Tioga State Bank | 396,558 | 347,838 | 302,920 | 12,670 | 28,799 | — | 3,449 | 48,720 |
| United International Bank | 177,013 | 156,767 | 149,647 | — | 6,429 | — | 691 | 20,246 |
| United Orient Bank | 98,331 | 86,998 | 84,383 | — | 2,000 | — | 615 | 11,333 |
| USNY Bank | 217,251 | 197,544 | 188,436 | — | 8,402 | — | 706 | 19,707 |
| Victory State Bank | 285,730 | 257,716 | 256,760 | — | — | — | 956 | 28,014 |
| Woori America Bank | 1,207,536 | 1,061,239 | 1,054,303 | — | — | — | 6,936 | 146,297 |
| WSB Municipal Bank | 82,576 | 75,621 | 72,694 | — | — | — | 2,927 | 6,955 |

(Continued on the following page)

TABLE G-6 (continued)
Condition of Commercial Banks, Trusts, and Private Bankers
New York State — 2014
(thousands)

| Commercial Banks, Trust Companies, and Private Bankers | Liabilities and Equity | | | | | | | |
|---|-------------------------------------|----------------------|-------------------|-------------------------------------|---|---|-----------------------------|----------------------------|
| | Liabilities | | | | | | | |
| | Total Liabilities and Capital | Total Liabilities | Total Deposits | Federal Funds Bought and Sold | Trading Liabilities and Other Borrowed Money | Subordinated Notes and Debentures | All Other Liabilities | Total Equity Capital |
| Trust Companies | | | | | | | | |
| Amalgamated Bank | \$ 3,728,585 | \$ 3,388,536 | \$ 2,523,816 | \$ 119,645 | \$ 686,468 | — | \$ 58,607 | \$ 340,049 |
| Banco Popular North America | 5,503,571 | 4,136,887 | 3,458,931 | 321,140 | 239,529 | — | 117,287 | 1,366,684 |
| Bank of Millbrook | 211,741 | 189,431 | 187,674 | — | — | — | 1,757 | 22,310 |
| Bank of Richmondville | 130,251 | 114,666 | 112,425 | — | 1,500 | — | 741 | 15,585 |
| Bank of Utica | 981,131 | 800,912 | 779,300 | — | 4,000 | — | 17,612 | 180,219 |
| Chemung Canal Trust Company | 1,515,428 | 1,386,945 | 1,284,215 | 29,652 | 53,116 | — | 19,962 | 128,483 |
| Deutsche Bank Trust Company Americas | 53,547,000 | 44,673,000 | 41,082,000 | 2,109,000 | 86,000 | — | 1,396,000 | 8,874,000 |
| Fiduciary Trust Company International | 230,217 | 33,974 | 549 | — | 653 | — | 32,772 | 196,243 |
| Five Star Bank | 3,065,109 | 2,808,787 | 2,457,199 | 39,504 | 295,300 | — | 16,784 | 256,322 |
| Flushing Bank | 5,082,661 | 4,599,665 | 3,515,586 | 116,000 | 911,721 | — | 56,358 | 482,996 |
| Goldman Sachs Bank USA | 118,214,000 | 96,671,000 | 73,134,000 | 6,578,000 | 8,555,000 | \$ 2,000,000 | 6,404,000 | 21,543,000 |
| Habib American Bank | 941,958 | 852,227 | 819,353 | — | 25,000 | — | 7,874 | 89,731 |
| Israel Discounts Bank of New York | 9,783,466 | 9,009,462 | 7,787,618 | 1,021,215 | 74,327 | — | 126,302 | 774,004 |
| Manufacturers and Traders Trust Company | 95,920,564 | 84,405,838 | 74,827,501 | 1,592,676 | 5,388,039 | 1,368,434 | 1,229,188 | 11,514,726 |
| Mitsubishi UFJ Trust and Bank Corporation (USA) | 287,286 | 66,038 | 63,217 | — | — | — | 2,821 | 221,248 |
| Mizuho Bank (USA) | 5,735,307 | 4,560,364 | 3,815,694 | — | 606,860 | — | 137,810 | 1,174,943 |
| Mizuho Trust and Banking Company (USA) | 819,382 | 759,757 | 731,267 | — | — | — | 28,490 | 59,625 |
| Orange County Trust Company | 715,589 | 625,191 | 539,174 | — | 70,000 | — | 16,017 | 90,398 |
| Solvay Bank | 754,392 | 684,324 | 656,588 | — | 23,700 | — | 4,036 | 70,068 |
| Steuben Trust Company | 474,949 | 427,825 | 384,029 | — | 38,500 | — | 5,296 | 47,124 |
| The Adirondack Trust Company | 1,033,681 | 926,253 | 904,293 | 4,190 | — | — | 17,770 | 107,428 |
| The Bank of Castile | 1,171,198 | 1,083,828 | 1,028,271 | 11,313 | 35,300 | — | 8,944 | 87,370 |
| The Bank of New York Mellon | 304,166,000 | 282,896,000 | 257,479,000 | 5,645,000 | 12,723,000 | 765,000 | 6,284,000 | 21,270,000 |
| Tompkins Trust Company | 1,682,253 | 1,566,539 | 1,364,965 | 104,442 | 59,761 | — | 37,371 | 115,714 |
| Limited Purpose Trust Companies | | | | | | | | |
| American Stock Transfer and Trust | | | | | | | | |
| Company, LLC | 593,749 | 22,635 | — | — | 2,149 | — | 20,486 | 571,114 |
| Anthos Trust Company, LLC | 5,156 | — | — | — | — | — | — | 5,156 |
| Continental Stock Transfer and Trust Company | 4,924 | 1,444 | — | — | — | — | 1,444 | 3,480 |
| Genesee Valley Trust Company | 17,712 | 1,534 | — | — | — | — | 1,534 | 16,178 |
| Law Debenture Trust Company of New York | 53,482 | 3,289 | — | — | — | — | 3,289 | 50,193 |
| Market Street Trust Company | 6,329 | 2,337 | — | — | 566 | — | 1,771 | 3,992 |
| New York Life Trust Company | 17,121 | 2,924 | — | — | — | — | 2,924 | 14,197 |
| OFI Global Trust Company | 17,717 | 2,807 | — | — | — | — | 2,807 | 14,910 |
| The Northern Trust Company of New York | 7,117 | — | — | — | — | — | — | 7,117 |
| The Depository Trust Company | 2,779,028 | 2,332,116 | — | — | 51,126 | — | 2,280,990 | 446,912 |
| The Warehouse Trust Company LLC | 11,225 | 960 | — | — | — | — | 960 | 10,265 |
| Private Bankers | | | | | | | | |
| Brown Brothers Harriman and Company | 6,594,747 | 5,790,115 | 4,701,750 | — | 569,738 | — | 518,627 | 804,632 |

— Represents zero.

- 1 This amount includes cash physically in possession of the bank (cash and coins) and amounts owed to the bank from other banks.
- 2 A repo, also known as a repurchase agreement, is the sale of securities together with an agreement for the seller to buy back the securities at a later date.
- 3 For Commercial Banks, All Other Assets includes FDIC Call Report items: other assets, trading assets, goodwill and intangible assets, and other real estate owned.

SOURCE: New York State Department of Financial Services, *2014 Department of Financial Services Annual Report*; www.dfs.ny.gov/reportpub/annual/dfs_annualrpt_2014.pdf (last viewed August 11, 2015).

TABLE G-7
Condition of Savings Banks and Thrifts
New York State — 2014
(thousands)

| Savings Banks and Thrifts | Assets | | | | | | All Other Assets ³ |
|---|-------------------------------|--------------------------------------|-------------------------------------|---|----------------------|------------------------|-------------------------------|
| | Total Assets | Cash and Due From Banks ¹ | Total Securities and Trading Assets | Federal Funds Sold and Repos ² | Loans and Leases Net | Premises and Equipment | |
| Total | \$ 80,713,563 | \$ 1,764,669 | \$ 13,228,517 | \$ 275,095 | \$ 58,466,158 | \$ 613,942 | \$ 6,365,182 |
| Savings Banks | | | | | | | |
| Apple Bank For Savings | 11,659,371 | 747,736 | 1,379,251 | — | 9,023,477 | 33,318 | 475,589 |
| CMS Bank | 267,486 | 2,412 | 39,302 | — | 218,891 | 2,522 | 4,359 |
| Cross County Savings Bank | 389,043 | 46,346 | 63,604 | — | 258,958 | 7,147 | 12,988 |
| Elmira Savings Bank | 546,032 | 7,960 | 73,013 | 606 | 414,235 | 9,475 | 40,743 |
| Emigrant Bank | 6,313,353 | 118,036 | 1,628,764 | — | 4,136,704 | 82,114 | 347,735 |
| Fairport Savings Bank | 242,947 | 4,119 | 36,470 | — | 191,791 | 2,836 | 7,731 |
| First Central Savings Bank | 497,244 | 44,606 | 114,538 | — | 301,445 | 935 | 35,720 |
| Fulton Savings Bank | 372,487 | 49,266 | 143,387 | 1,229 | 158,659 | 823 | 19,123 |
| New York Community Bank | 44,281,869 | 242,366 | 6,234,207 | 253,657 | 32,712,135 | 292,357 | 4,547,147 |
| NorthEast Community Bank | 503,969 | 24,237 | 6,635 | — | 421,966 | 11,718 | 39,413 |
| Pathfinder Bank | 560,634 | 11,167 | 128,583 | — | 382,209 | 13,200 | 25,475 |
| Pioneer Savings Bank | 820,974 | 38,309 | 71,340 | 2,362 | 654,109 | 17,145 | 37,709 |
| Putnam County Savings Bank | 991,048 | 72,835 | 386,818 | 4,242 | 503,273 | 4,931 | 18,949 |
| Rhinebeck Bank | 650,982 | 30,573 | 75,218 | — | 485,588 | 18,344 | 41,259 |
| Ridgewood Savings Bank | 5,087,405 | 114,536 | 2,126,425 | — | 2,574,456 | 13,361 | 258,627 |
| Rondout Savings Bank | 301,414 | 9,969 | 39,005 | — | 236,340 | 6,983 | 9,117 |
| Sawyer Savings Bank | 201,064 | 5,705 | 70,921 | — | 115,964 | 3,810 | 4,664 |
| The Dime Savings Bank of Williamsburgh | 4,480,563 | 78,436 | 31,350 | — | 4,100,747 | 25,065 | 244,965 |
| The North Country Savings Bank | 239,363 | 16,289 | 3,625 | 100 | 195,222 | 5,449 | 18,678 |
| The Oneida Savings Bank | 797,868 | 18,923 | 309,628 | 12,014 | 367,911 | 20,392 | 69,000 |
| Ulster Savings Bank | 760,058 | 20,937 | 128,792 | — | 533,916 | 21,910 | 54,503 |
| Watertown Savings Bank | 557,591 | 44,926 | 110,189 | — | 344,745 | 16,707 | 41,024 |
| Savings and Loans | | | | | | | |
| Gouverneur Savings and Loan Association | 141,835 | 2,447 | 17,312 | — | 109,467 | 2,644 | 9,965 |
| Medina Savings and Loan Association | 48,963 | 12,533 | 10,140 | 885 | 23,950 | 756 | 699 |
| Liabilities and Equity | | | | | | | |
| Savings Banks and Thrifts | Total Liabilities and Capital | Liabilities | | | | | Total Equity Capital |
| | | Total Liabilities | Total Deposits | Federal Funds Bought and Repos ² | Other Borrowed Money | All Other Liabilities | |
| Total | \$ 80,713,561 | \$ 71,037,932 | \$ 54,923,830 | \$ 3,711,231 | \$ 11,778,259 | \$ 624,612 | \$ 9,675,629 |
| Savings Banks | | | | | | | |
| Apple Bank For Savings | 11,659,371 | 10,680,530 | 10,521,610 | — | 24,791 | 134,129 | 978,841 |
| CMS Bank | 267,486 | 245,321 | 214,705 | — | 28,367 | 2,249 | 22,165 |
| Cross County Savings Bank | 389,043 | 346,884 | 344,247 | — | — | 2,637 | 42,159 |
| Elmira Savings Bank | 546,032 | 490,539 | 423,238 | 26,000 | 36,000 | 5,301 | 55,493 |
| Emigrant Bank | 6,313,353 | 5,273,961 | 4,536,203 | 72,000 | 590,000 | 75,758 | 1,039,392 |
| Fairport Savings Bank | 242,947 | 225,340 | 175,307 | — | 47,925 | 2,108 | 17,607 |
| First Central Savings Bank | 497,244 | 439,057 | 430,767 | — | 5,000 | 3,290 | 58,187 |
| Fulton Savings Bank | 372,487 | 282,696 | 234,610 | — | 38,000 | 10,086 | 89,791 |
| New York Community Bank | 44,281,869 | 38,831,258 | 25,863,066 | 3,610,000 | 9,153,668 | 204,524 | 5,450,611 |
| NorthEast Community Bank | 503,969 | 415,034 | 377,435 | — | 33,647 | 3,952 | 88,935 |
| Pathfinder Bank | 560,634 | 498,912 | 428,584 | — | 66,100 | 4,228 | 61,722 |
| Pioneer Savings Bank | 820,974 | 726,165 | 699,104 | 3,231 | 15,816 | 8,014 | 94,809 |
| Putnam County Savings Bank | 991,048 | 878,693 | 855,052 | — | 20,000 | 3,641 | 112,355 |
| Rhinebeck Bank | 650,981 | 597,887 | 582,189 | — | 7,500 | 8,198 | 53,094 |
| Ridgewood Savings Bank | 5,087,405 | 4,421,469 | 3,923,752 | — | 413,399 | 84,318 | 665,936 |
| Rondout Savings Bank | 301,414 | 268,242 | 254,360 | — | 10,721 | 3,161 | 33,172 |
| Sawyer Savings Bank | 201,064 | 177,489 | 150,291 | — | 24,000 | 3,198 | 23,575 |
| The Dime Savings Bank of Williamsburgh | 4,480,563 | 4,026,468 | 2,809,871 | — | 1,176,947 | 39,650 | 454,095 |
| The North Country Savings Bank | 239,363 | 207,472 | 186,451 | — | 19,028 | 1,993 | 31,891 |
| The Oneida Savings Bank | 797,868 | 703,417 | 691,504 | — | — | 11,913 | 94,451 |
| Ulster Savings Bank | 760,057 | 663,088 | 629,609 | — | 29,500 | 3,979 | 96,969 |
| Watertown Savings Bank | 557,591 | 479,162 | 463,294 | — | 12,300 | 3,568 | 78,429 |
| Savings and Loans | | | | | | | |
| Gouverneur Savings and Loan Association | 141,835 | 113,752 | 83,716 | — | 25,550 | 4,486 | 28,083 |
| Medina Savings and Loan Association | 48,963 | 45,096 | 44,865 | — | — | 231 | 3,867 |

—Represents zero.

- 1 This amount includes cash physically in possession of the bank (cash and coins) and amounts owed to the bank from other banks.
- 2 A repo, also known as a repurchase agreement, is the sale of securities together with an agreement for the seller to buy back the securities at a later date.
- 3 Includes FDIC Call Report items: other assets, trading assets, goodwill and intangible assets, and other real estate owned.

SOURCE: New York State Department of Financial Services, *2014 Department of Financial Services Annual Report*; www.dfs.ny.gov/reportpub/annual/dfs_annualrpt_2014.pdf (last viewed August 11, 2015).

TABLE G-8
Condition of Credit Unions
New York State — 2014
(thousands)

| Credit Unions | Assets | | | | | | | |
|---|--------------|--------------------------------------|-------------------|---------------------|----------------------|-----------------------------|-----------------------------|--------------|
| | Total Assets | Cash and Due From Banks ¹ | Total Investments | Loans Held For Sale | Loans and Leases Net | Bank Premises and Equipment | NCUSIF ² Deposit | Other Assets |
| Total | \$ 7,558,977 | \$ 685,204 | \$ 597,063 | \$ 1,715 | \$ 5,894,113 | \$ 104,832 | \$ 58,122 | \$ 217,928 |
| AmeriCU Credit Union | 1,264,351 | 139,380 | 1,773 | 1,715 | 1,049,471 | 31,960 | 10,934 | 29,118 |
| Branch 6000 NALC Credit Union | 8,441 | 1,899 | 3,160 | — | 2,743 | 2 | 82 | 555 |
| Buffalo Service Credit Union | 47,929 | 14,796 | 13,728 | — | 18,651 | 55 | 391 | 308 |
| CFCU Community Credit Union | 909,050 | 138,785 | 152,860 | — | 592,802 | 8,290 | 7,196 | 9,117 |
| Directors Choice Credit Union | 6,396 | 272 | 1,155 | — | 4,748 | — | 60 | 161 |
| Empire Branch 36 National Association of Letter Carriers Credit Union | 5,200 | 712 | 1,442 | — | 2,962 | 4 | 45 | 35 |
| Empire State Credit Union | 7,115 | 566 | 4,216 | — | 2,232 | 5 | 70 | 26 |
| Encompass Niagara Credit Union | 17,465 | 1,256 | 2,326 | — | 12,926 | 659 | 164 | 134 |
| Erie County Employees Credit Union | 23,641 | 973 | 14,529 | — | 7,781 | 37 | 199 | 122 |
| Hudson River Community Credit Union | 185,459 | 10,864 | 14,345 | — | 146,825 | 9,807 | 1,549 | 2,069 |
| Jamestown Post Office Employees' Credit Union | 4,610 | 52 | 3,130 | — | 1,325 | 5 | 44 | 54 |
| Melrose Credit Union | 2,088,930 | 7,956 | 100,821 | — | 1,931,820 | 22,409 | 15,021 | 10,903 |
| Montauk Credit Union | 168,943 | 5,359 | 609 | — | 158,004 | 2,473 | 1,302 | 1,196 |
| Municipal Credit Union | 2,096,410 | 356,126 | 237,726 | — | 1,320,497 | 17,400 | 18,402 | 146,259 |
| Newspaper Employees Credit Union | 814 | 439 | — | — | 369 | — | 6 | — |
| Niagara Falls Penn Central Employees Credit Union | 3,795 | 41 | 1,624 | — | 2,068 | 6 | 36 | 20 |
| The Niagara Frontier Federal Employees Credit Union | 2,831 | 242 | 846 | — | 1,704 | 7 | 24 | 8 |
| Norton-Troy Employees Credit Union | 8,683 | 552 | 5,428 | — | 2,605 | 7 | 81 | 10 |
| Progressive Credit Union | 701,110 | 4,320 | 32,405 | — | 632,411 | 11,686 | 2,455 | 17,833 |
| Yonkers Postal Employees Credit Union | 7,804 | 614 | 4,940 | — | 2,169 | 20 | 61 | — |

| Credit Unions | Liabilities and Equity | | | | | | | |
|---|-------------------------------|-------------------|---------------------------|---|---------------------------------|-----------------------------------|-----------------------|----------------------|
| | Total Liabilities and Capital | Total Liabilities | Total Shares and Deposits | Federal Funds Bought and Repos ³ | Borrowing Plus Interest Payable | Subordinated Notes and Debentures | All Other Liabilities | Total Equity Capital |
| Total | \$ 7,558,977 | \$ 6,487,454 | \$ 6,194,870 | \$ 20,000 | \$ 207,159 | — | \$ 85,108 | \$ 1,071,523 |
| AmeriCU Credit Union | 1,264,351 | 1,147,093 | 1,134,892 | — | — | — | 12,201 | 117,258 |
| Branch 6000 NALC Credit Union | 8,441 | 7,783 | 7,780 | — | — | — | 4 | 658 |
| Buffalo Service Credit Union | 47,929 | 42,104 | 42,010 | — | — | — | 94 | 5,825 |
| CFCU Community Credit Union | 909,050 | 789,882 | 786,495 | — | — | — | 3,388 | 119,168 |
| Directors Choice Credit Union | 6,396 | 5,328 | 5,327 | — | — | — | 1 | 1,068 |
| Empire Branch 36 National Association of Letter Carriers Credit Union | 5,200 | 4,446 | 4,440 | — | — | — | 6 | 754 |
| Empire State Credit Union | 7,115 | 6,654 | 6,643 | — | — | — | 10 | 461 |
| Encompass Niagara Credit Union | 17,465 | 15,636 | 15,609 | — | — | — | 23 | 1,829 |
| Erie County Employees Credit Union | 23,641 | 20,624 | 20,500 | — | — | — | 119 | 3,017 |
| Hudson River Community Credit Union | 185,459 | 159,960 | 157,368 | — | — | — | 2,592 | 25,499 |
| Jamestown Post Office Employees' Credit Union | 4,610 | 3,984 | 3,961 | — | 19 | — | 4 | 626 |
| Melrose Credit Union | 2,088,930 | 1,697,586 | 1,617,337 | — | 80,000 | — | 249 | 391,344 |
| Montauk Credit Union | 168,943 | 146,937 | 145,679 | — | — | — | 1,031 | 22,006 |
| Municipal Credit Union | 2,096,410 | 1,988,188 | 1,937,943 | — | — | — | 50,165 | 108,222 |
| Newspaper Employees Credit Union | 814 | 499 | 500 | — | — | — | — | 315 |
| Niagara Falls Penn Central Employees Credit Union | 3,795 | 3,261 | 3,227 | — | 32 | — | 1 | 534 |
| The Niagara Frontier Federal Employees Credit Union | 2,831 | 2,381 | 2,378 | — | — | — | 4 | 450 |
| Norton-Troy Employees Credit Union | 8,683 | 7,687 | 7,676 | — | — | — | 8 | 996 |
| Progressive Credit Union | 701,110 | 430,970 | 288,677 | 20,000 | 127,108 | — | 15,186 | 270,140 |
| Yonkers Postal Employees Credit Union | 7,804 | 6,451 | 6,428 | — | — | — | 22 | 1,353 |

NOTE: Detail may not add to totals due to rounding.

— Represents zero.

- 1 This amount includes cash physically in possession of the bank (cash and coins) and amounts owed to the bank from other banks.
- 2 National Credit Union Share Insurance Fund.
- 3 A repo, also known as a repurchase agreement, is the sale of securities together with an agreement for the seller to buy back the securities at a later date.

SOURCE: New York State Department of Financial Services, *2014 Department of Financial Services Annual Report*; www.dfs.ny.gov/reportpub/annual/dfs_annualrpt_2014.pdf (last viewed August 11, 2015).

TABLE G-9
Condition of Safe Deposit Companies
New York State — 2014
(thousands)

| | Assets | | | | | | | | |
|--|--------------|--------------------------------------|------------------|---|----------------------|-------------------------------------|------------------------------|--|--------------|
| | Total Assets | Cash and Due From Banks ¹ | Total Securities | Federal Funds Sold and Repos ² | Loans and Leases Net | Customers' Liability on Acceptances | Premises Vault and Equipment | Investments in Unconsolidated Subsidiaries | Other Assets |
| Total Safe Deposit Companies | \$ 521 | \$ 181 | \$ 283 | — | — | — | \$ 56 | — | — |
| Akron Safe Deposit Company | 521 | 181 | 283 | — | — | — | 56 | — | — |
| Zurich Depository Corporation ³ | — | — | — | — | — | — | — | — | — |

| | Liabilities and Equity | | | | | | | | |
|--|-------------------------------|-------------------|----------------------|--------------------------|----------------------|-------------------------|-----------------------------------|-----------------------|----------------------|
| | Liabilities | | | | | | | | |
| | Total Liabilities and Capital | Total Liabilities | Key and Box Deposits | Unearned Deferred Income | Other Borrowed Money | Acceptances Outstanding | Subordinated Notes and Debentures | All Other Liabilities | Total Equity Capital |
| Total Safe Deposit Companies | \$ 521 | — | — | — | — | — | — | — | \$ 521 |
| Akron Safe Deposit Company | 521 | — | — | — | — | — | — | — | 521 |
| Zurich Depository Corporation ³ | — | — | — | — | — | — | — | — | — |

— Represents zero.

1 This amount includes cash physically in possession of the bank (cash and coins) and amounts owed to the bank from other banks.

2 A repo, also known as a repurchase agreement, is the sale of securities together with an agreement for the seller to buy back the securities at a later date.

3 Liquidated December 2008. Awaiting court order confirmation.

SOURCE: New York State Department of Financial Services, *2014 Department of Financial Services Annual Report*; www.dfs.ny.gov/reportpub/annual/dfs_annualrpt_2014.pdf (last viewed August 11, 2015).

TABLE G-10
Condition of Article XII Investment Companies
New York State — 2014
(thousands)

| | Assets | | | | | | | | |
|---------------------------------------|--------------|--------------------------------------|------------------|---|----------------------|-------------------------------------|------------------------|--|--------------|
| | Total Assets | Cash and Due From Banks ¹ | Total Securities | Federal Funds Sold and Repos ² | Loans and Leases Net | Customers' Liability on Acceptances | Premises and Equipment | Investments in Unconsolidated Subsidiaries | Other Assets |
| Investment Companies | | | | | | | | | |
| Total | \$ 982,431 | \$ 253,224 | \$ 101,181 | — | \$ 354,936 | — | \$ 12,450 | \$ 88,250 | \$ 124,320 |
| American Express Bank Corporation | 549,626 | 30,621 | 93,676 | — | 345,932 | NA | 6,029 | — | 73,368 |
| Community Building Fund, LLC | 3 | — | — | — | — | — | — | — | 3 |
| Fiduciary Investment Corporation | 64,728 | 46,737 | 600 | — | — | — | 111 | — | 17,280 |
| French American Banking Corporation | 137,037 | 48,070 | — | — | — | — | 552 | 88,250 | 165 |
| Sterling Banking Corporation | 1,369 | 1,369 | — | — | — | — | — | — | — |
| Western Union Financial Holdings, LLC | 229,668 | 174,497 | 6,905 | — | 9,004 | NA | 5,758 | — | 33,504 |

| | Liabilities and Equity | | | | | | | | |
|---------------------------------------|-------------------------------|-------------------|----------------|---|----------------------|-------------------|-----------------------------------|-----------------------|----------------------|
| | Liabilities | | | | | | | | |
| | Total Liabilities and Capital | Total Liabilities | Total Deposits | Federal Funds Bought and Repos ² | Other Borrowed Money | Due To Affiliates | Subordinated Notes and Debentures | All Other Liabilities | Total Equity Capital |
| Investment Companies | | | | | | | | | |
| Total | \$ 1,050,159 | \$ 647,183 | \$ 261,652 | — | \$ 58,868 | — | — | \$ 326,663 | \$ 402,976 |
| American Express Bank Corporation | 549,626 | 422,938 | 196,829 | — | 58,848 | — | — | 167,261 | 126,688 |
| Community Building Fund, LLC | 3 | — | — | — | — | — | — | — | 3 |
| Fiduciary Investment Corporation | 64,728 | 11,914 | — | — | 20 | — | — | 11,894 | 52,814 |
| French American Banking Corporation | 137,037 | 34,625 | — | — | — | — | — | 34,625 | 102,412 |
| Sterling Banking Corporation | 1,369 | — | — | — | — | — | — | — | 1,369 |
| Western Union Financial Holdings, LLC | 297,396 | 177,706 | 64,823 | — | — | — | — | 112,883 | 119,690 |

— Represents zero.

1 This amount includes cash physically in possession of the bank (cash and coins) and amounts owed to the bank from other banks.

2 A repo, also known as a repurchase agreement, is the sale of securities together with an agreement for the seller to buy back the securities at a later date.

SOURCE: New York State Department of Financial Services, *2014 Department of Financial Services Annual Report*; www.dfs.ny.gov/reportpub/annual/dfs_annualrpt_2014.pdf (last viewed August 11, 2015).

TABLE G-11
Condition of Licensed Lenders
New York State — 2014
(thousands)

| Licensed Lenders | Assets | | | | | | |
|--|----------------|--|----------------------|--------------------------------------|------------------------------------|--------------|--|
| | Total Assets | Assets Applicable in New York Business | | | | | Total Assets Not Applicable to New York Business |
| | | Total | Loans and Lease Nets | Cash and Due From Banks ¹ | Furniture, Fixtures, and Equipment | Other Assets | |
| Total | \$ 111,186,122 | \$ 6,170,582 | \$ 3,410,304 | \$ 258,365 | \$ 1,691,268 | \$ 810,645 | \$ 104,995,540 |
| Advantage Funding Commercial Capital Corporation | 291,072 | 59,134 | 38,810 | 16,793 | 364 | 3,167 | 231,938 |
| AmeriCredit Consumer Loan Company, Inc. | 10,134 | 1,687 | 1,008 | 149 | 448 | 82 | 8,447 |
| AmeriCredit Financial Services, Inc. | 26,579,655 | 962,393 | 640,308 | 70,509 | 212,390 | 39,186 | 25,617,262 |
| Beneficial New York Inc. | 105,894 | 105,894 | — | 50 | — | 105,844 | — |
| Capital Financial Services, Inc. | 31,302 | 50 | — | 50 | — | — | 31,252 |
| Car Financial Capital LLC U/A/N CarFinance.com | 10,331 | 75 | — | — | 33 | 42 | 10,256 |
| Household Finance Corporation III | 2,794,572 | 362 | 305 | 50 | — | 7 | 2,794,210 |
| JCB International Credit Card Co., Ltd. | 68,857 | 14,263 | 417 | 4,601 | 87 | 9,158 | 54,594 |
| Mariner Finance, LLC | 505,246 | 31,719 | 31,470 | 41 | 158 | 50 | 473,527 |
| Mercedes-Benz Financial Services USA LLC | 43,418,178 | 3,236,206 | 1,633,254 | 7,011 | 1,469,967 | 125,974 | 40,181,972 |
| New City Funding Corporation | 49,452 | 34,062 | 31,497 | 1,043 | 1,288 | 234 | 15,390 |
| Omni Financial of New York, Inc. | 110 | 110 | — | 102 | — | 8 | — |
| OneMain Financial, Inc. | 4,784,185 | 193,830 | 189,287 | 28 | 3,204 | 1,311 | 4,590,355 |
| Retail Charge Financial Services Corp. | 756 | 756 | 179 | 59 | — | 518 | — |
| Santander Consumer USA Inc. | 32,342,176 | 1,515,314 | 836,250 | 156,809 | 3,308 | 518,947 | 30,826,862 |
| Springleaf Financial Services of New York | 7,006 | 7,006 | 865 | 603 | 11 | 5,527 | — |
| Stones Funding LLC | 973 | 973 | 742 | 225 | — | 6 | — |
| Sunrise Capital Management, Inc. | 285 | 285 | 88 | 197 | — | — | — |
| TMG Financial Services, Inc. | 185,938 | 6,463 | 5,824 | 45 | 10 | 584 | 179,475 |

| Licensed Lenders | Liabilities and Equity | | | | | |
|--|-------------------------------|-------------------|----------------------|-----------------------|--------------------|-----------------------|
| | Total Liabilities and Capital | Liabilities | | | | Capital Stock Surplus |
| | | Total Liabilities | Other Borrowed Money | All Other Liabilities | Valuation Reserves | |
| Total | \$ 111,186,122 | \$ 99,784,444 | \$ 26,062,608 | \$ 73,721,836 | — | \$ 11,401,678 |
| Advantage Funding Commercial Capital Corporation | 291,072 | 255,997 | 111,699 | 144,298 | — | 35,075 |
| AmeriCredit Consumer Loan Company, Inc. | 10,134 | 8,370 | 637 | 7,733 | — | 1,764 |
| AmeriCredit Financial Services, Inc. | 26,579,655 | 22,334,406 | 1,699,922 | 20,634,484 | — | 4,245,249 |
| Beneficial New York Inc. | 105,894 | — | — | — | — | 105,894 |
| Capital Financial Services, Inc. | 31,302 | 826 | — | 826 | — | 30,476 |
| Car Financial Capital LLC U/A/N CarFinance.com | 10,331 | 6,341 | 1,278 | 5,063 | — | 3,990 |
| Household Finance Corporation III | 2,794,572 | 2,376,629 | — | 2,376,629 | — | 417,943 |
| JCB International Credit Card Co., Ltd. | 68,857 | 59,517 | 24,558 | 34,959 | — | 9,340 |
| Mariner Finance, LLC | 505,246 | 433,717 | -562 | 434,279 | — | 71,529 |
| Mercedes-Benz Financial Services USA LLC | 43,418,178 | 41,460,553 | 18,178,598 | 23,281,955 | — | 1,957,625 |
| New City Funding Corporation | 49,452 | 40,906 | 8,547 | 32,359 | — | 8,546 |
| Omni Financial of New York, Inc. | 110 | 9 | 9 | — | — | 101 |
| OneMain Financial, Inc. | 4,784,185 | 3,850,701 | 3,553,185 | 297,516 | — | 933,484 |
| Retail Charge Financial Services Corp. | 756 | 1 | 1 | — | — | 755 |
| Santander Consumer USA Inc. | 32,342,176 | 28,783,827 | 2,457,478 | 26,326,349 | — | 3,558,349 |
| Springleaf Financial Services of New York | 7,006 | 137 | 28 | 109 | — | 6,869 |
| Stones Funding LLC | 973 | 380 | 380 | — | — | 593 |
| Sunrise Capital Management, Inc. | 285 | 29 | — | 29 | — | 257 |
| TMG Financial Services, Inc. | 185,938 | 172,098 | 26,850 | 145,248 | — | 13,840 |

NOTE: Detail may not add to totals due to rounding.

— Represents zero.

¹ This amount includes cash physically in possession of the bank (cash and coins) and amounts owed to the bank from other banks.

SOURCE: New York State Department of Financial Services, *2014 Department of Financial Services Annual Report*; www.dfs.ny.gov/reportpub/annual/dfs_annualrpt_2014.pdf (last viewed August 11, 2015).

TABLE G-12

**Life Insurance Companies Regulated by the
New York State Department of Financial Services — 2014**

| Type | Number |
|-----------------------------------|--------|
| Total | 637 |
| Life — New York | 79 |
| Life — Other States | 54 |
| Accredited Reinsurers | 28 |
| Fraternal — New York | 3 |
| Fraternal — Other States | 32 |
| Fraternal — Canadian, U.S. Branch | 1 |
| Charitable Annuities | 358 |
| Retirement Systems | 21 |
| Life Settlement Providers | 30 |
| Welfare Funds | 22 |
| Certified Reinsurers | 9 |

SOURCE: New York State Department of Financial Services, *2014 Department of Financial Services Annual Report*; www.dfs.ny.gov/reportpub/annual/dfs_annualrpt_2014.pdf (last viewed August 11, 2015).

TABLE G-13
Changes in Authorized Insurers
New York State — 2014

| Company | Date | Company | Date |
|--|-------------------|---|-------------------|
| Life Insurance Companies | | Name Changes | |
| Name Changes | | HSBC Insurance Company of Delaware <i>to</i> | |
| Aviva Life and Annuity Company of New York <i>to</i> | | Pavonia Insurance Company of Delaware | February 14, 2014 |
| Athene Life Insurance Company of New York | March 3, 2014 | BancInsure Inc. <i>to</i> Red Rock Insurance Company | February 25, 2014 |
| The First Rehabilitation Life Insurance Company of America <i>to</i> | | Fidelity National Insurance Company <i>to</i> | |
| ShelterPoint Life Insurance Company | July 15, 2014 | Stillwater Insurance Company | March 14, 2014 |
| Sun Life Insurance and Annuity Company of New York <i>to</i> | | American Mining Insurance Company, Inc. <i>to</i> | |
| Delaware Life Insurance Company of New York | July 21, 2014 | American Mining Insurance Company | March 27, 2014 |
| ING Life Insurance and Annuity Company <i>to</i> Voya Retirement | | ACA Insurance Company <i>to</i> CSAA Fire | |
| Insurance and Annuity Company | September 5, 2014 | and Casualty Insurance Company | April 15, 2014 |
| Merger Agreements Filed | | Maiden Reinsurance Company <i>to</i> | |
| Transamerica Advisors Life Insurance of New York <i>into</i> | | Maiden Reinsurance North America, Inc. | June 9, 2014 |
| Transamerica Financial Life Insurance Company | July 3, 2014 | American Fuji Fire and Marine Insurance Company <i>to</i> | |
| Balboa Life Insurance Company of New York <i>into</i> | | Ashmere Insurance Company | June 10, 2014 |
| Securian Life Insurance Company | December 24, 2014 | Northbrook Indemnity Company <i>to</i> | |
| Withdrawn | | Allstate Northbrook Indemnity Company | June 27, 2014 |
| MetLife Insurance Company of Connecticut | January 1, 2014 | Western United Insurance Company <i>to</i> | |
| Accident and Health Insurance Companies | | CSAA General Insurance Company | July 1, 2014 |
| Incorporated | | Stonebridge Casualty Insurance Company <i>to</i> | |
| Montefiore Insurance Company, Inc. | March 5, 2014 | Transamerica Casualty Insurance Company | July 31, 2014 |
| Healthfirst Insurance Company, Inc. | January 6, 2014 | Stonewood National Insurance Company <i>to</i> | |
| Domestic Company Licensed | | Falls Lake National Insurance Company | October 29, 2014 |
| Crystal Run Health Insurance Company, Inc. | December 31, 2014 | Stonewood General Insurance Company <i>to</i> | |
| Foreign Companies Licensed | | Falls Lake General Insurance Company | October 29, 2014 |
| Tufts Insurance Company, Watertown, MA | July 7, 2014 | Bituminous Casualty Corporation <i>to</i> | |
| Sierra Health and Life Insurance Company, Inc., | | BITCO General Insurance Company | October 27, 2014 |
| Las Vegas, NV | October 28, 2014 | Bituminous Fire and Marine Insurance Company <i>to</i> | |
| Name Changes | | BITCO National Insurance Company | October 27, 2014 |
| Freelancers Health Service Corporation, Inc. <i>to</i> | | United National Casualty Insurance Company <i>to</i> | |
| Health Republic Insurance of New York, Corporation | October 21, 2014 | CGB Insurance Company | December 10, 2014 |
| Charter Amendments | | Pathfinder Insurance Company <i>to</i> | |
| Oxford Health Insurance, Inc. — To recapitalize so that | | BlueShore Insurance Company | December 23, 2014 |
| it now has two classes of common stock outstanding. | August 11, 2014 | Redomestications Filed | |
| Medco Containment Insurance Company of New York | April 16, 2014 | Plaza Insurance Company (from Missouri to Iowa) | January 27, 2014 |
| Merger Agreements Filed | | Discovery Property and Casualty Company | |
| Preferred Assurance Company Inc. <i>into</i> | | (from Illinois to Connecticut) | March 5, 2014 |
| MVP Health Services Corporation | March 3, 2014 | St. Paul Protective Insurance Company | |
| Property and Casualty Insurance Companies | | (from Illinois to Connecticut) | March 5, 2014 |
| Foreign Companies Licensed | | American Mining Insurance Company | |
| Key Risk Insurance Company, Greensboro, NC | February 5, 2014 | (from Alabama to Iowa) | March 27, 2014 |
| Tri-State Insurance Company of Minnesota, | | Victoria Automobile Insurance Company | |
| Luverne, MN | February 5, 2014 | (from Indiana to Ohio) | June 13, 2014 |
| Merchants National Bonding, Inc., Des Moines, IA | February 21, 2014 | ProCentury Insurance Company (from Texas to Michigan) | August 18, 2014 |
| Bondex Insurance Company, Florham Park, NJ | August 18, 2014 | OneBeacon American Insurance Company | |
| The Gray Casualty & Surety Company, | | (from Massachusetts to Pennsylvania) | September 8, 2014 |
| Metairie, LA | October 20, 2014 | The Employers' Fire Insurance Company | |
| First Founders Assurance Company, Chester, NJ | October 22, 2014 | (from Massachusetts to Pennsylvania) | September 8, 2014 |
| Mount Vernon Speciality Insurance Company, | | Arch Reinsurance Company | |
| Wayne, PA | December 16, 2014 | (from Nebraska to Delaware) | October 2, 2014 |
| Travelers Commercial Casualty Company, | | Merger Agreements | |
| Hartford, CT | December 16, 2014 | OneBeacon Midwest Insurance Company <i>into</i> | |
| Alien Company Licensed | | OneBeacon America Insurance Company | March 11, 2014 |
| Toko Millennium Re Ag (US Branch), | | The Northern Assurance Company of America <i>into</i> | |
| Stamford, CT | June 2, 2014 | OneBeacon American Insurance Company | March 11, 2014 |
| | | The Camden Fire Insurance Association <i>into</i> | |
| | | OneBeacon Insurance Company | March 11, 2014 |
| | | Houston General Insurance Company <i>into</i> | |
| | | OneBeacon Insurance Company | March 11, 2014 |
| | | Pavonia Insurance Company of Delaware <i>into</i> | |
| | | SeaBright Insurance Company | April 30, 2014 |
| | | CNL/Insurance America, Inc. <i>into</i> | |
| | | Securian Casualty Company | June 17, 2014 |
| | | Withdrawn | |
| | | Alfa Mutual Insurance Company | April 23, 2014 |
| | | Infinity Safeguard Insurance Company | April 24, 2014 |
| | | Germantown Insurance Company | August 15, 2014 |

(Continued on the following page)

TABLE G-13 (continued)
Changes in Authorized Insurers
New York State — 2014

| Company | Date | Company | Date |
|---|---|---|---|
| Liquidated UHAB Mutual Insurance Company | December 16, 2014 | Mortgage Guaranty Insurance Companies Name Changes CMG Mortgage Assurance Company <i>to</i> Arch Mortgage Assurance Company | January 30, 2014 |
| Accredited Reinsurers Name Changes Generali USA Life Reassurance Company <i>to</i> SCOR Global Life USA Reinsurance Company | May 19, 2014 | PMI Mortgage Assurance Co. <i>to</i> Arch Mortgage Guaranty Company | March 28, 2014 |
| SCOR Global Life Re Insurance Company of Texas <i>to</i> SCOR Global Reinsurance Company of Delaware | May 28, 2014 | Merger Agreements Filed Genworth Home Equity Insurance Corporation <i>into</i> Genworth Residential Mortgage Insurance Corporation of North Carolina | July 1, 2014 |
| Redomestications Filed SCOR Global Life USA Reinsurance Company (from Missouri to Delaware) | May 19, 2014 | Genworth Residential Mortgage Assurance Corporation <i>into</i> Genworth Mortgage Insurance Corporation | December 30, 2014 |
| SCOR Global Life Reinsurance Company of Delaware (from Texas to Delaware) | May 28, 2014 | Financial Guaranty Insurance Companies Merger Agreements Filed Assured Guaranty Mortgage Insurance Company <i>into</i> Assured Guaranty Municipal Corporation | March 3, 2014 |
| Merger Agreement Filed Scottish Re Life Corporation into Scottish RE (US), Inc. | May 21, 2014 | Captive Insurance Companies Incorporated National Grid Insurance USA LTD | July 24, 2014 |
| Withdrawn Sun Life Assurance Company of Canada (US Branch) The Philadelphia Contributionship for the Insurance of Houses From Loss by Fire UNUM Life Insurance Company of America Genworth Mortgage Reinsurance Corporation American International Overseas Limited | March 3, 2014 August 15, 2014 October 31, 2014 November 13, 2014 December 24, 2014 | Nonprofit Health Service Corporations Name Change Freelancers Health Service Corporation <i>to</i> Health Republic Insurance of New York, Corporation | October 21, 2014 |
| Charitable Annuity Societies Permits Issued The Heritage Foundation, Washington, DC Prison Fellowship Ministries Foundation, Lansdowne, VA Northwestern University, Evanston, IL Hillel: The Foundation for Jewish Campus Life, Washington, DC The Gideons International, Nashville, TN The Birthright Israel Foundation, New York, NY Rochester General Hospital Foundation, Inc., Rochester, NY The Arthritis Foundation, Inc., Atlanta, GA Population Connection, Washington, DC Girl Scouts of the United States of America, New York, NY The Trustees of the Lawrenceville School, Lawrenceville, NJ The University of Arizona Foundation, Tucson, AZ The Omaha Home for Boys, Omaha, NE Masonic Medical Research Laboratory, Utica, NY | January 6, 2014 January 29, 2014 February 11, 2014 April 15, 2014 May 30, 2014 July 14, 2014 July 22, 2014 August 14, 2014 September 9, 2014 October 23, 2014 October 24, 2014 October 24, 2014 November 14, 2014 December 4, 2014 | Merger Agreement Filed Preferred Assurance Company, Inc. <i>into</i> MVP Health Services Corporation Title Insurance Companies Name Change United General Title Insurance Company <i>to</i> First American Title Guaranty Company Redomestication Filed First American Title Guaranty Company (from California to Texas) Fraternal Benefits Societies Nonopting Merger Agreement Filed Slovak Gymnastic Union "Sokol" of the United States of America <i>into</i> Greater Beneficial Union of Pittsburgh | April 21, 2014 October 6, 2014 October 6, 2014 November 12, 2014 |
| Name Change Bill Graham Evangelistic Association <i>to</i> BGEA, MN | March 11, 2014 | | |
| Withdrawn Watchtower Bible and Tract Society of New York, Inc. The General Board of Global Ministries of the United Methodist Church | June 5, 2014 August 14, 2014 | | |

SOURCE: New York State Department of Financial Services, *2012 Department of Financial Services Annual Report to the Governor and the Legislature*; www.dfs.ny.gov/reportpub/annual/dfs_annualrpt_2012.pdf (last viewed July 17, 2014).

TABLE G-14
Life Insurance Companies Admitted Assets
New York — 2003–13
(dollar amounts in billions)

| | 2003 | 2008 | 2012 | 2013 |
|----------------------------|----------|------------|------------|------------|
| Type of Asset | | | | |
| Bonds | \$ 881.3 | \$ 1,016.7 | \$ 1,170.5 | \$ 1,202.7 |
| Stocks | 52.6 | 64.4 | 60.0 | 66.0 |
| Mortgage Loans | 149.8 | 195.1 | 190.9 | 202.7 |
| Real Estate | 12.7 | 13.0 | 10.9 | 11.4 |
| Policy Loans/Liens | 55.4 | 65.8 | 69.2 | 72.2 |
| Short-Term Holdings | 23.1 | 38.9 | 21.1 | 22.3 |
| Other | 738.4 | 921.9 | 1,118.2 | 1,373.7 |
| Percent Increase from 2003 | X | 21.0% | 44.7% | 54.2% |

NOTE: Detail may not add to totals due to rounding.

X Not applicable.

SOURCE: New York State Department of Financial Services, *2014 Department of Financial Services Annual Report*; www.dfs.ny.gov/reportpub/annual/dfs_annualrpt_2014.pdf (last viewed August 11, 2015).

TABLE G-15
Life Insurer Assets, Liabilities, and Capital and Surplus
New York State — 2012–13
(billions)

| | 2012 | 2013 |
|---------------------|------------|------------|
| Assets | \$ 2,768.8 | \$ 2,950.9 |
| Liabilities | 2,594.1 | 2,767.3 |
| Capital and Surplus | 174.6 | 183.6 |

SOURCE: New York State Department of Financial Services, *2014 Department of Financial Services Annual Report*; www.dfs.ny.gov/reportpub/annual/dfs_annualrpt_2014.pdf (last viewed August 11, 2015).

TABLE G-16

**Total Life Insurance in Force in U.S. and New York State
by Life Insurance Companies Licensed in New York State — 2003–13**
(dollar amount in billions)

| Class of Business | 2003 | 2008 | 2012 | 2013 |
|-------------------------------------|-------------|-------------|-------------|-------------|
| --- United States ¹ --- | | | | |
| Total | \$ 10,529.7 | \$ 13,638.1 | \$ 14,929.7 | \$ 15,986.3 |
| Ordinary Life | 5,801.1 | 7,419.4 | 8,085.4 | 8,465.8 |
| Group | 4,668.0 | 6,170.4 | 6,811.0 | 7,488.7 |
| Credit | 53.9 | 42.5 | 28.2 | 27.1 |
| Industrial | 6.6 | 5.8 | 5.1 | 4.7 |
| Percent Increase from 2003 | X | 29.5% | 41.8% | 51.8% |
| --- New York State ² --- | | | | |
| Total | \$ 1,387.0 | \$ 1,690.7 | \$ 1,934.7 | \$ 1,923.0 |
| Ordinary Life | 830.2 | 1,123.2 | 1,287.9 | 1,290.6 |
| Group | 548.5 | 560.4 | 642.0 | 627.7 |
| Credit | 7.6 | 6.6 | 4.4 | 4.2 |
| Industrial | 0.8 | 0.5 | 0.5 | 0.4 |
| Percent Increase from 2003 | X | 21.9% | 39.5% | 38.6% |

NOTE: Detail may not add to totals due to rounding.

X Not applicable.

1 Total life insurance in force, countrywide, for companies licensed to do business in New York.

2 Total life insurance in force for New York only for companies licensed to do business in New York.

SOURCE: New York State Department of Financial Services, *2014 Department of Financial Services Annual Report*; www.dfs.ny.gov/reportpub/annual/dfs_annualrpt_2014.pdf (last viewed August 11, 2015).

TABLE G-17

**Selected Annual Statement Data
Property and Casualty Insurers Licensed in New York State — 2010-13**
(dollar amounts in millions)

| | 2010 | 2011 | 2012 | 2013 |
|------------------------------------|------------|------------|------------|------------|
| --- Stock Companies --- | | | | |
| Number of Insurers | 746 | 749 | 746 | 746 |
| Net Premiums Written | \$ 237,965 | \$ 246,260 | \$ 256,680 | \$ 272,826 |
| Admitted Assets | 892,494 | 897,221 | 932,179 | 962,536 |
| Unearned Premium and Loss Reserves | 473,590 | 482,441 | 493,209 | 502,233 |
| Other Liabilities | 92,118 | 95,718 | 104,751 | 110,270 |
| Capital | 3,986 | 3,978 | 3,922 | 3,980 |
| Surplus to Policyholders | 326,786 | 319,061 | 334,220 | 350,033 |
| --- Mutual Companies --- | | | | |
| Number of Insurers | 72 | 71 | 69 | 67 |
| Net Premiums Written | \$ 75,733 | \$ 77,938 | \$ 80,891 | \$ 86,179 |
| Admitted Assets | 256,394 | 259,614 | 275,189 | 302,771 |
| Unearned Premium and Loss Reserves | 101,209 | 104,797 | 106,682 | 109,379 |
| Other Liabilities | 28,290 | 28,559 | 31,648 | 38,302 |
| Surplus to Policyholders | 126,895 | 126,258 | 136,858 | 155,090 |

SOURCE: New York State Department of Financial Services, *2014 Department of Financial Services Annual Report*; www.dfs.ny.gov/reportpub/annual/dfs_annualrpt_2014.pdf (last viewed August 11, 2015).

TABLE G-18

Direct Premiums Written By Property/Casualty Insurers
New York State¹ — 2009–13
(dollar amounts in millions)

| Property and Casualty Lines | 2009 | 2010 | 2011 | 2012 | 2013 | Percent Change | |
|-----------------------------------|-----------|-----------|-----------|-----------|-----------|----------------|---------|
| | | | | | | 2009-13 | 2012-13 |
| All Premiums Written | \$ 32,885 | \$ 33,014 | \$ 34,147 | \$ 35,907 | \$ 38,004 | 16.0% | 5.8% |
| Private Passenger Auto | 9,948 | 10,147 | 10,431 | 10,811 | 11,112 | 12.0 | 2.8 |
| Bodily Injury and Property Damage | 6,588 | 6,771 | 7,025 | 7,279 | 7,399 | 12.0 | 1.6 |
| Comprehensive and Collision | 3,360 | 3,376 | 3,406 | 3,532 | 3,713 | 11.0 | 5.1 |
| Commercial Auto | 1,796 | 1,748 | 1,718 | 1,826 | 1,962 | 9.0 | 7.4 |
| General (Other) Liability | 4,155 | 4,138 | 4,089 | 4,466 | 4,978 | 20.0 | 11.5 |
| Commercial Multi-Peril | 3,026 | 2,986 | 3,057 | 3,249 | 3,488 | 15.0 | 7.4 |
| Workers' Compensation | 3,423 | 3,623 | 4,157 | 4,755 | 5,191 | 52.0 | 9.2 |
| Homeowners' Multi-Peril | 4,219 | 4,336 | 4,500 | 4,704 | 4,901 | 16.0 | 4.2 |
| Medical Malpractice | 1,336 | 1,380 | 1,374 | 1,354 | 1,365 | 2.0 | 0.8 |
| Inland Marine | 954 | 962 | 1,032 | 1,139 | 1,262 | 32.0 | 10.8 |
| Ocean Marine | 450 | 440 | 449 | 445 | 444 | -1.0 | -0.2 |
| Fidelity and Surety | 484 | 463 | 455 | 442 | 483 | — | 9.3 |
| Accident and Health | 260 | 277 | 344 | 387 | 420 | 62.0 | 8.5 |
| Fire | 550 | 546 | 574 | 564 | 600 | 9.0 | 6.4 |
| Product Liability | 131 | 126 | 138 | 135 | 152 | 16.0 | 12.6 |
| Financial Guarantee ² | 1,030 | 804 | 643 | 431 | 375 | -64.0 | -13.0 |
| Mortgage Guarantee | 209 | 195 | 192 | 177 | 184 | -12.0 | 4.0 |
| Allied Lines | 331 | 324 | 342 | 366 | 386 | 17.0 | 5.5 |
| Aircraft | 115 | 14 | 63 | 47 | 57 | -50.0 | 21.3 |
| Boiler and Machinery | 74 | 72 | 75 | 84 | 87 | 18.0 | 3.6 |
| Credit | 100 | 115 | 151 | 152 | 140 | 40.0 | -7.9 |
| Burglary and Theft | 18 | 19 | 21 | 23 | 26 | 44.0 | 13.0 |
| All Other ³ | 277 | 301 | 344 | 349 | 391 | 41.0 | 12.0 |

NOTE: Detail may not add to totals due to rounding. Percentage changes in last two columns derived using unrounded figures.

— Represents zero.

1 New York State business of all New York State licensed companies. Includes federal employee health benefits program premium.

2 Includes monoline and nonmonoline insurers.

3 Includes Farmowners Multi-Peril, Crop Multi-Peril, Federal Flood, Earthquake, and Aggregate Write-Ins.

SOURCE: New York State Department of Financial Services, *2014 Department of Financial Services Annual Report*; www.dfs.ny.gov/reportpub/annual/dfs_annualrpt_2014.pdf (last viewed August 11, 2015).

TABLE G-19
New York Stock Exchange (NYSE) Group Volume in All Stocks Traded
2013–15

| | Trading Days | NYSE Group Shares (millions) | NYSE Group Trades (thousands) | NYSE Group Dollar Volume (billions) |
|---------------|-----------------|------------------------------------|-------------------------------------|---|
| 2013 | | | | |
| January | 21 | 30,786.85 | 102,841.37 | 1,106.48 |
| February | 19 | 28,085.92 | 95,198.31 | 1,036.00 |
| March | 20 | 28,920.32 | 94,342.35 | 1,063.24 |
| April | 22 | 32,465.35 | 112,501.71 | 1,218.10 |
| May | 22 | 31,778.54 | 108,155.50 | 1,227.02 |
| June | 20 | 34,898.75 | 108,183.80 | 1,308.63 |
| July | 22 | 29,081.72 | 98,520.18 | 1,114.79 |
| August | 22 | 27,994.98 | 96,547.47 | 1,064.66 |
| September | 20 | 28,841.83 | 88,650.29 | 1,111.08 |
| October | 23 | 31,926.57 | 107,768.43 | 1,299.92 |
| November | 20 | 26,360.08 | 89,773.82 | 1,064.62 |
| December | 21 | 28,064.38 | 99,601.95 | 1,161.45 |
| Total Average | 21 | 29,933.77 | 100,173.77 | \$ 1,148.0 |
| 2014 | | | | |
| January | 21 | 30,435.86 | 125,419.56 | 1,309.09 |
| February | 19 | 28,946.72 | 123,488.27 | 1,272.34 |
| March | 21 | 32,215.12 | 130,487.79 | 1,378.86 |
| April | 21 | 31,110.06 | 138,693.15 | 1,371.11 |
| May | 21 | 27,032.14 | 119,955.22 | 1,144.43 |
| June | 21 | 29,047.40 | 110,401.55 | 1,216.98 |
| July | 22 | 28,450.34 | 120,560.42 | 1,232.46 |
| August | 21 | 25,226.59 | 109,815.16 | 1,099.47 |
| September | 21 | 30,512.31 | 122,987.75 | 1,325.56 |
| October | 23 | 42,977.94 | 192,108.94 | 1,868.28 |
| November | 19 | 27,884.91 | 113,649.29 | 1,174.70 |
| December | 22 | 37,196.23 | 145,424.41 | 1,545.62 |
| Total Average | 21 | 30,919.64 | 129,415.96 | \$ 1,328.24 |
| 2015 | | | | |
| January | 20 | 35,011.14 | 148,611.78 | 1,525.87 |
| February | 19 | 30,731.59 | 128,513.78 | 1,294.85 |
| March | 22 | 36,088.43 | 138,275.07 | 1,538.13 |
| April | 21 | 32,205.54 | 129,782.31 | 1,352.84 |
| May | 20 | 29,728.78 | 124,989.81 | 1,270.34 |
| June | 22 | 36,161.30 | 132,982.71 | 1,503.31 |
| July | 22 | 35,304.21 | 150,061.41 | 1,469.19 |
| August | 21 | 40,995.53 | 180,886.14 | 1,697.37 |
| September | 21 | 39,129.51 | 163,182.51 | 1,558.84 |
| October | 22 | 38,825.13 | 169,066.58 | 1,557.05 |
| November | 20 | 32,817.92 | 139,463.56 | 1,276.46 |
| December | 22 | 38,400.77 | 159,894.39 | 1,491.97 |
| Total Average | 21 | 35,449.99 | 147,142.50 | \$ 1,461.35 |

SOURCE: New York Stock Exchange (NYSE) Market Data; www.nyxdata.com/nyse/data/asp/factbook/viewer_interactive.asp (last viewed February 8, 2016).

