

**TABLE E-22**  
**Revenue Generated from Real Estate Development Transactions**  
**New York State — Fiscal Years 1995-96 — 2001-02**

Type of Land Management Transaction	1995-96	1996-97	1997-98	1998-99	1999-2000	2000-01	2001-02
All Transactions	\$ 6,200,734	\$ 4,073,356	\$ 2,792,812	\$ 6,283,343	\$ 24,174,710	\$ 38,835,284	\$ 13,793,743
Sales	2,711,000	867,934	129,344	1,410,494	20,621,353	34,227,393	9,519,747
Leases and Licenses	3,487,067	3,190,007	2,663,217	1,542,302	2,490,635	2,840,815	2,728,605
Easements	2,000	15,000	—	3,330,280	1,062,463	1,766,201	1,544,997
Surplus Buildings	—	—	—	—	—	—	—
Miscellaneous	667	415	251	267	259	875	394

— Represents zero.

SOURCE: New York State Office of General Services, Division of Real Estate Development.

**TABLE E-23**  
**Revenue Generated from Disposition of Surplus State Personal Property**  
**New York State — Fiscal Years 1995-96 — 2001-02**

	1995-96	1996-97	1997-98	1998-99	1999-2000	2000-01	2001-02
All Items Processed	62,869	49,259	76,358	43,095	48,298	33,436	31,031
Items Sold	31,545	19,107	47,723	15,715	25,557	23,414	23,350
Revenue Generated from Sales	\$ 6,091,563	\$ 4,685,149	\$ 4,712,409	\$ 4,906,485	\$ 5,097,541	\$ 4,932,217	\$ 4,458,919
Items Transferred	27,785	26,940	25,607	20,794	13,488	10,022	7,681
Transfer Value <sup>1</sup>	\$ 2,790,963	\$ 3,377,445	\$ 3,622,328	\$ 2,774,033	\$ 2,820,913	\$ 1,983,289	\$ 2,617,007

1 Calculated at 25 percent of replacement cost.

SOURCE: New York State Office of General Services, Bureau of Surplus Personal Property Disposition.

TABLE E-24

**Lottery Revenue, Sales by Type of Game and Prizes Paid  
New York State — Fiscal Years 1976-77 — 2001-02  
(millions)**

Fiscal Year Ended	Sales															Prizes Paid
	Revenue	All Games	Instant	Weekly	Olympic	Numbers	Win-4	Lotto	Pick 10	Take 5	Quick Draw	Lucky Day	Local Lotto	Millennium Millions		
1977	\$ 94.8r	\$ 196.5	\$ 186.9	\$ 9.6	X	X	X	X	X	X	X	X	X	X	X	\$ 71.7
1978	88.6r	195.8	155.4	40.4	X	X	X	X	X	X	X	X	X	X	X	90.8
1979	86.0r	188.1	155.7	10.9	\$ 15.1	X	X	\$ 6.4	X	X	X	X	X	X	X	107.0
1980	85.6r	184.6	118.2	4.4	12.8	X	X	49.2	X	X	X	X	X	X	X	79.8
1981	102.5r	236.2	92.9	X	X	\$ 65.4	X	77.9	X	X	X	X	X	X	X	118.2
1982	179.8	424.9	93.1	X	X	168.8	\$ 13.7	149.3	X	X	X	X	X	X	X	219.1
1983	275.2	645.0	87.1	X	X	237.0	41.6	279.3	X	X	X	X	X	X	X	382.4r
1984	390.5	890.3	85.2	X	X	276.6	68.5	460.0	X	X	X	X	X	X	X	502.6
1985	599.9r	1,271.2	98.4	X	X	330.7	73.3	768.8	X	X	X	X	X	X	X	760.2
1986	607.9r	1,317.0	76.7	X	X	379.4	122.1	738.8	X	X	X	X	X	X	X	761.0
1987	666.8	1,458.8	65.4	X	X	446.2	154.9	763.0	\$ 29.4	X	X	X	X	X	X	712.5
1988	706.8	1,565.9	96.9	X	X	504.2	180.2	727.2	57.4	X	X	X	X	X	X	803.5
1989	830.2	1,847.1	158.1	X	X	566.6	215.0	841.7	65.7	X	X	X	X	X	X	1,000.4
1990	927.1	2,058.1	202.5	X	X	602.1	258.4	929.5	65.6	X	X	X	X	X	X	1,039.8
1991	957.6	2,134.0	262.0	X	X	615.5	286.7	851.3	119.1	X	X	X	X	X	X	1,124.8
1992	866.7	2,063.0	286.2	X	X	628.5	321.6	676.3	108.9	\$ 41.5	X	X	X	X	X	1,381.3
1993	1,001.1	2,360.0	290.3	X	X	652.3	355.4	696.3	91.2	274.5	X	X	X	X	X	1,332.8
1994	1,011.2r	2,369.1	299.0	X	X	658.1	374.4	645.7	84.4	307.5	X	X	X	X	X	1,372.2
1995	1,243.8	3,028.6	666.1	X	X	689.4	415.8	839.4	83.6	334.2	X	X	X	X	X	1,410.0
1996	1,399.6	3,610.6	1,022.3	X	X	676.9	416.2	755.8	71.4	325.9	\$ 342.1	X	X	X	X	1,826.7
1997r	1,542.8a	4,136.3	1,056.6	X	X	668.8	426.6	874.3	57.7	341.3	561.1	\$ 5.9	X	X	X	2,026.2
1998r	1,528.9a	4,184.8	991.7	X	X	698.5	435.0	870.5	49.9	384.8	504.4	8.3	X	X	X	2,093.6
1999	1,413.3a	3,830.7	911.5	X	X	701.1	441.7	749.2	47.8	360.9	485.4	X	X	X	X	1,872.0
2000r	1,382.7	3,629.3	1,005.8	X	X	709.5	458.9	647.3	43.3	331.0	332.1	X \$ 39.0	X	X	\$ 62.4	1,848.9
2001	1,446.9	4,185.3	1,386.1	X	X	709.1	472.3	558.5	41.6	388.3	508.4	X	X	121.0	X	2,273.6
2002	1,579.6	4,753.6	1,966.5	X	X	736.5	523.8	565.3	37.8	434.9	488.8	X	X	X	X	2,664.1

X Not applicable.

r Revised.

a Includes other revenue of \$144.0, \$241.7 and \$133.1 for 1997, 1998 and 1999 respectively.

SOURCE: New York State Division of the Lottery.

TABLE E-25

**Revenues from Summer Recreational Facilities  
Operated by the Department of Environmental Conservation<sup>1</sup>  
New York State — Selected Fiscal Years 1964-65 — 2001-02**

Fiscal Year Ended October 31	Type of Revenue			
	All Revenues	Camping Service	Day Use	Conces- sionary
1965	\$ 968,700	\$ 510,700	\$ 429,600	\$ 28,400
1970	2,798,612	830,875	1,774,348	193,389
1975	3,423,874	1,166,015	2,110,089	147,770
1976	3,130,193	1,127,593	1,868,476	134,124
1977	3,900,518	1,226,939	2,484,220	189,359
1978	4,643,210	1,272,583	3,049,615	321,012
1979	3,916,165	1,435,030	2,234,812	246,323
1980	5,214,770	1,604,014	3,321,572	289,184
1981	2,154,675	1,821,287	330,272	3,116
1982	2,337,283	1,938,616	379,319	19,348
1983	2,654,600	2,157,949	478,641	18,010
1984	2,766,081	2,282,076	473,121	10,884
1985	2,864,307	2,342,350	511,507	10,450
1986	2,923,934	2,457,888	453,622	12,424
1987	3,195,302	2,676,949	505,445	12,908
1988	3,410,746	2,843,304	567,442	—
1989	3,806,769	3,100,100	683,293	23,376
1990	3,938,919	3,176,190	734,929	27,800
1991	4,588,947	3,681,157	879,292	28,498
1992	4,208,709	3,450,731	736,811	21,167
1993	4,828,460	3,886,806	928,089	13,565
1994	3,945,739	3,688,253	254,699	2,787
1995	4,079,701	3,827,670	241,840	10,191
1996	4,802,901	3,944,349	858,552	NA
1997	4,952,661	3,949,189	869,911	133,561
1998	4,881,065	4,047,656	833,409	NA
1999	4,965,320	4,011,509	917,026	36,785
2000	4,844,386	4,069,588	774,798	NA
2001	5,473,603	4,518,760	954,843	NA
2002	5,402,097	4,491,668	910,429	NA

— Represents zero.

SOURCE: New York State Department of Environmental Conservation,  
Bureau of Recreation.

NA Not available.

<sup>1</sup> All program areas operated by the Bureau of Recreation and used by  
the public.

TABLE E-26

**Revenues from State Parks and Historic Sites  
New York State by Region  
Fiscal Years 1995-96 — 2001-02**

	Revenue ( thousands)						
	1995-96	1996-97	1997-98	1998-99	1999-2000(r)	2000-01(r)	2001-02
All Locations <sup>1</sup>	\$ 42,392	\$ 48,919	\$ 53,963	\$ 55,269	\$ 59,793	\$ 58,596	\$ 63,020
Region <sup>1</sup>							
Allegany	1,833	2,329	2,601	2,734	2,829	2,811	2,858
Central New York	2,749	3,081	3,224	3,310	3,589	3,358	3,627
Finger Lakes	3,400	3,981	4,174	4,326	4,663	4,431	4,831
Genesee	1,674	2,226	2,374	2,416	2,437	2,324	2,525
Long Island	16,626	18,871	21,518	21,222	24,270	23,868	26,068
New York City	683	769	705	679	898	1,064	1,230
Niagara Frontier	5,312	5,866	6,398	6,918	7,506	6,867	7,021
Palisades	2,831	3,160	3,727	3,918	4,261	3,942	4,552
Saratoga/Capital District	1,715	1,821	2,167	2,154	1,429	2,020	1,962
Taconic	2,491	2,733	3,293	3,065	3,171	3,273	3,518
Thousand Islands	2,425	3,213	3,061	2,982	3,231	3,040	3,340
Albany Office	653	869	721	1,545	1,508	1,598	1,488

r Revised.

SOURCE: New York State Office of Parks, Recreation and Historic  
Preservation.<sup>1</sup> Not including Adirondack and Catskill Forest Preserves.

**TABLE E-27**  
**Revenues from Thoroughbred and Harness Racing**  
**New York State — 1995-2000**

	1995	1996	1997	1998	1999	2000
Revenue To New York State						
State Pari-Mutuel Taxes	\$ 55,761,444	\$ 44,257,298	\$ 41,101,815	\$ 37,392,557	\$ 37,195,134	\$ 33,647,559
Uncashed Winning Tickets	7,723,367	7,812,104	7,265,291	7,046,350	7,858,641	7,943,874
State Admission Taxes	562,592	501,030	255,628	267,512	255,666	257,213
NYRA Franchise Tax	—	3,621,000	2,268,000 <sup>r</sup>	2,223,000	3,829,000	6,107,000
License, Fees and Fines:						
Track License Fees	117,100	115,000	106,500	116,700	109,700	664,300
Simulcast License Fees	113,000	113,500	112,500	114,500	57,500	130,000
Occupational License Fees	893,492	671,402	594,933	801,789	704,278	99,700
Fingerprint Fees <sup>1</sup>	277,788	178,447	192,277	227,644	211,628	226,416
Fines	61,090	49,815	54,990	245,015	113,375	94,000
Miscellaneous	—	—	—	—	1,586	—
Revenues From New York Betting	65,509,873	57,319,596	51,951,934 <sup>r</sup>	48,435,067	13,141,374	15,522,503
Attendance at Racetracks						
Thoroughbred Tracks	4,227,761	3,668,491	3,423,265	3,439,090	3,330,876	3,122,313
Harness Tracks	2,903,529	2,585,415	2,596,616	2,639,876	2,643,788	2,567,184
	1,324,232	1,083,076	826,649	799,214	687,088	555,129

— Represents zero.

SOURCE: New York State Racing and Wagering Board.

r Revised.

1 Fingerprint fees are paid over in full to the Federal Bureau of Investigation and the Division of Criminal Justice Services.

**TABLE E-28**  
**Total Bets Placed at Regional Off-Track Betting Corporation Sites**  
**New York State — 1998-2000**  
**(thousands)**

	Total	NYS Thoroughbred Bets	NYS Harness Bets	Out-of-State Tracks Bets	Special Events
Capital Region					
1998	\$ 215,814,000	\$ 105,644,000	\$ 22,579,000	\$ 84,080,000	\$ 3,511,000
1999	218,214,000	104,422,000	19,768,000	90,492,000	3,532,000
2000	222,473,000	99,655,000	17,469,000	101,689,000	3,660,000
Catskill Region					
1998	133,784,000	55,559,000	23,149,000	52,750,000	2,326,000
1999	137,407,000	54,657,000	20,139,000	60,252,000	2,359,000
2000	139,033,000	50,245,000	17,466,000	68,903,000	2,419,000
Nassau Region					
1998	241,067,000	117,431,000	31,484,000	89,285,000	2,867,000
1999	251,104,000	119,061,000	25,861,000	102,850,000	3,332,000
2000	252,034,000	111,760,000	22,133,000	114,844,000	3,297,000
New York City					
1998	920,664,000	419,491,000	109,304,000	381,036,000	10,833,000
1999	975,937,000	432,543,000	92,647,000	439,549,000	11,198,000
2000	1,013,178,000	412,432,000	87,800,000	502,177,000	10,769,000
Suffolk Region					
1998	167,081,000	83,038,000	19,460,000	62,426,000	2,157,000
1999	176,267,000	84,998,000	16,397,000	72,562,000	2,310,000
2000	174,304,000	79,702,000	13,885,000	78,473,000	2,244,000
Western Region					
1998	175,895,000	73,425,000	25,710,000	74,255,000	2,505,000
1999	183,595,000	74,727,000	25,936,000	80,110,000	2,822,000
2000	174,039,000	66,231,000	18,574,000	86,511,000	2,723,000

NOTE: All figures are rounded.

SOURCE: New York State Racing and Wagering Board, *Annual Report and Simulcast Report, 1998 and 1999 and 2000.*

TABLE E-29

**Attendance and Betting Dollars at Thoroughbred Harness Tracks  
New York State — 1998, 1999 and 2000**

	Racing Days	Attendance	Total Dollars Bet <sup>1</sup>	Returned to Betterers	Association Fees	Breeders Fees	Pari-Mutuel Tax	Gross Purses Paid
<b>Thoroughbred Tracks</b>								
Saratoga Race Course								
1998	36	887,752	\$ 109,990,000	\$ 88,438,000	\$ 17,005,000	\$ 552,000	\$ 4,040,000	\$ 16,819,000
1999	36	920,216	115,721,000	93,056,000	17,856,000	581,000	4,273,000	18,990,000
2000	36	978,296	120,318,000	93,107,000	23,775,000	690,000	2,864,000	20,409,000
Aqueduct Racetrack								
1998	135	754,866	243,513,000	195,098,000	40,106,000	1,219,000	7,228,000	39,304,000
1999	137	761,873	247,717,000	198,743,000	41,295,000	1,288,000	6,694,000	46,887,000
2000	134	681,263	225,820,000	174,749,000	44,622,000	1,296,000	5,380,000	48,444,000
Belmont Park								
1998	88	736,730	170,661,000	137,275,000	27,375,000	856,000	5,467,000	38,256,000
1999	87	709,357	169,408,000	136,219,000	27,036,000	893,000	4,927,000	41,743,000
2000	88	685,035	162,215,000	125,529,000	32,054,000	931,000	3,864,000	44,452,000
Finger Lakes Race Track								
1998	170	260,528	38,911,000	30,626,000	7,701,000	193,000	392,000	13,235,000
1999	176	252,342	35,956,000	28,569,000	6,840,000	180,000	369,000	12,063,000
2000	167	222,590	31,388,000	24,845,000	6,036,000	157,000	351,000	11,585,000
<b>Harness Tracks</b>								
Batavia Downs								
1998	—	—	2,267,000	1,784,000	430,000	17,000	39,000	—
1999	—	—	—	—	—	—	—	—
2000	—	—	—	—	—	—	—	—
Buffalo Raceway								
1998	168	131,410	25,237,000	19,786,000	4,970,000	216,000	268,000	4,398,000
1999	173	120,008	23,818,000	18,674,000	4,682,000	200,000	264,000	4,424,000
2000	143	104,934	22,403,000	17,561,000	4,404,000	189,000	251,000	4,238,000
Monticello Raceway Management Inc.								
1998	221	102,308	25,344,000	19,889,000	4,982,000	229,000	249,000	4,973,000
1999	216	76,891	22,163,000	17,409,000	4,757,000	189,000	236,000	4,889,000
2000	213	64,429	21,125,000	16,601,000	4,121,000	182,000	223,000	6,071,000
Saratoga Raceway								
1998	141	132,232	27,163,000	21,545,000	5,069,000	206,000	345,000	3,506,000
1999	139	111,802	26,864,000	21,307,000	5,022,000	202,000	337,000	3,248,000
2000	143	95,159	27,944,000	22,218,000	5,217,000	174,000	351,000	3,725,000
Vernon Downs								
1998	122	183,946	23,997,000	18,849,000	4,653,000	221,000	292,000	2,422,000
1999	114	152,068	20,569,000	16,148,000	4,001,000	187,000	245,000	2,521,000
2000	93	95,407	18,099,000	14,204,000	3,520,000	161,000	232,000	2,417,000
Syracuse Mile <sup>2</sup>								
1998	6	9,592	953,000	745,000	192,000	10,000	7,000	945,000
1999	6	9,318	915,000	715,000	185,000	9,000	7,000	913,000
2000	5	7,782	961,000	750,000	195,000	10,000	7,000	1,091,000
Yonkers Raceway								
1998	285	239,726	141,882,000	111,974,000	26,993,000	1,079,000	1,862,000	20,494,000
1999	273	217,001	140,358,000	110,966,000	26,510,000	1,072,000	1,822,000	23,532,000
2000	256	187,418	133,650,000	105,702,000	25,275,000	872,000	1,814,000	21,170,000

NOTE: All figures are rounded.

— Represents zero.

1 Includes bets made at the tracks, as well as simulcasts from New York State and out of state tracks.

2 This meet operates only during the New York State Fair.

SOURCE: New York State Racing and Wagering Board, *Annual Report and Simulcast Report 1998 and 1999 and 2000*.

TABLE E-30

**Revenues and Expenditures and Other Financing Sources (Uses) — All Governmental Funds  
New York State, Fiscal Years 1985-86 — 2001-02  
(millions)**

Fiscal Year	Revenues				Expenditures							Disaster Assistance World Trade Center	Net Other Financing Sources (Uses) <sup>1</sup>	Excess (Deficiency) <sup>2</sup>
	All Revenues	Taxes And Fees	Federal Grants	Miscellaneous Other	All Expenditures	Grants To Local Governments	State Agency Operations	Debt Service	Capital Projects					
1985-86	\$ 35,052	\$ 22,255	\$ 8,999	\$ 3,798	\$ 35,408	\$ 21,405	\$ 11,165	\$ 1,315	\$ 1,521	X	\$ 655	\$ 301		
1986-87	38,769	25,030	9,436	4,303	38,425	23,390	11,951	1,335	1,749	X	1,627	1,971		
1987-88	39,719	25,688	9,429	4,602	39,783	23,656	13,013	1,394	1,720	X	-116	-180		
1988-89	42,478	26,849	10,305	5,324	43,508	26,228	13,604	1,561	2,115	X	30	-1,000		
1989-90	45,364	27,858	11,535	5,971	46,710	28,190	14,626	1,716	2,178	X	173	-1,173		
1990-91	47,413	28,019	13,162	6,232	50,919	31,012	15,358	1,848	2,701	X	2,106	-1,400		
1991-92	50,271	29,698	15,743	4,830	49,546	34,043	11,495	1,716	2,292	X	1,216	1,941		
1992-93	53,668	31,333	16,934	5,401	51,032	35,016	11,641	2,076	2,299	X	-2	2,634		
1993-94	56,759	32,974	18,627	5,158	54,352	37,716	12,074	2,039	2,523	X	-1,356	1,051		
1994-95	60,472	32,994	21,481	5,997	60,887	42,551	13,082	2,132	3,122	X	-1,376	-1,791		
1995-96	62,721	33,507	22,272	6,942	61,778	43,219	13,221	2,334	3,004	X	-510	433		
1996-97	65,413	35,000	22,329	8,084	62,678	43,463	14,055	2,387	2,773	X	-675	2,060		
1997-98	67,340	36,374	23,268	7,698	64,108	44,667	13,730	2,728	2,983	X	-1,432	1,800		
1998-99	70,818	38,985	24,133	7,700	67,759	47,548	13,929	2,889	3,393	X	-1,736	1,323		
1999-2000	77,269	41,888	25,367	10,014	75,571	51,386	14,426	3,317	3,442	X	-1,668	3,030		
2000-01	82,741	45,153	26,722	10,866	79,101	56,093	15,881	3,679	3,448	X	-2,192	1,448		
2001-02	82,987	41,676	29,942	11,369	84,459	59,745	16,909	3,392	3,397	1,016	-2,973	-4,445		

X Not applicable.

1 Includes proceeds from bonds and other financing arrangements, transfers from and to other funds, and payments on advance refundings.

2 Excess (deficiency) of operating revenues and other financing sources over (under) expenditures and other financing uses.

SOURCE: Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report for Fiscal Year Ended March 31, 2002*.

TABLE E-31

**Revenues, Expenditures and Changes in Fund Balance (Deficit) Accounts — General Fund  
New York State, Fiscal Year 2001-02  
(thousands)**

	All General Fund Accounts	State Purposes	Local Assistance	Earmarked Revenues	Tax Stabili- zation Reserve	Contin- gency Reserve	Com- munity Projects	Fringe Benefit Escrow	Universal PreKinder- garten	Miscel- laneous	Elimi- nations
All Revenues	\$ 37,217,735	\$ 35,508,996	\$ 2,509	\$ 1,281,993	—	\$ 2,078	—	\$ 550,358	—	\$ 598,852	\$ -727,051
Taxes											
Personal Income	22,616,743	22,616,743	—	—	—	—	—	—	—	—	—
Consumption and Use	7,135,822	7,135,822	—	—	—	—	—	—	—	—	—
Business	3,412,183	3,412,183	—	—	—	—	—	—	—	—	—
Other	1,128,526	1,128,526	—	—	—	—	—	—	—	—	—
Miscellaneous	2,924,461	1,215,722	2,509	1,281,993	—	2,078	—	550,358	—	598,852	-727,051
All Expenditures	\$ 40,684,842	\$ 8,858,256	\$ 27,263,254	\$ 4,010,211	—	\$ 70,000	\$ 104,648	\$ 538,327	\$ 23,960	\$ 543,237	\$ -727,051
Local Assistance Grants											
Social Services	9,745,996	—	9,311,263	420,189	—	—	14,544	—	—	—	—
Education	14,499,381	—	14,458,290	1,100	—	—	16,031	—	23,960	—	—
Mental Hygiene	1,078,474	—	1,036,728	39,592	—	—	2,154	—	—	—	—
General Purpose	963,087	—	893,041	—	—	70,000	46	—	—	—	—
Health and Environment	1,398,425	—	715,489	669,097	—	—	13,839	—	—	—	—
Transportation	302,740	—	299,682	—	—	—	3,058	—	—	—	—
Criminal Justice	218,120	—	186,508	25,311	—	—	6,301	—	—	—	—
Miscellaneous	411,420	—	352,908	11,022	—	—	47,490	—	—	—	—
Departmental Operations											
Personal Service	6,956,911	4,862,560	—	1,979,408	—	—	425	—	—	114,518	—
Non-Personal Service	2,726,634	2,090,292	—	714,346	—	—	760	62,888	—	395,046	-536,698
Pension Contribution	79,487	79,487	—	—	—	—	—	—	—	—	—
Other Fringe Benefits	2,255,340	1,786,435	—	150,146	—	—	—	475,439	—	33,673	-190,353
Debt Service, including payments on financing arrangements	16,107	16,107	—	—	—	—	—	—	—	—	—
Disaster Assistance, World Trade Center	32,720	23,375	9,345	—	—	—	—	—	—	—	—
Excess (Deficiency) of Revenues Over Expenditures	\$ -3,467,107	\$ 26,650,740	\$ -26,260,745	\$ -2,728,218	—	\$ -67,922	\$ -104,648	\$ 12,031	\$ -23,960	\$ 55,615	—
Net Other Financing Sources (Uses)	\$ 49,263	\$ -29,738,126	\$ 27,011,587	\$ 2,763,057	\$ 82,910	\$ 5,525	\$ -13,261	—	—	\$ -62,429	—
Operating Transfers from Other Funds	5,293,778	2,596,078	27,939,729	2,877,351	82,910	5,525	—	—	—	79,254	\$ -28,287,069
Operating Transfers to Other Funds	-5,142,921	-32,294,455	-914,579	-90,345	—	—	—	—	—	-130,611	28,287,069
Operating Transfers from Public Benefit Corporations	75,121	—	—	72,921	—	—	—	—	—	2,200	—
Operating Transfers to Public Benefit Corporations	-381,715	-39,749	-218,563	-96,870	—	—	-13,261	—	—	-13,272	—
Proceeds from Financing Arrangements	205,000	—	205,000	—	—	—	—	—	—	—	—
Excess (Deficiency) of Revenues and Other Financing Sources Over Expenditures and Other Financing Uses	\$ -3,417,844	\$ 3,087,386	\$ -249,158	\$ 34,839	\$ 82,910	\$ -62,397	\$ -117,909	\$ 12,031	\$ -23,960	\$ -6,814	—
Fund Balances (Deficits) at April 1, 2001, as restated	\$ 3,910,401	\$ 5,046,063	\$ -2,719,681	\$ 342,772	\$ 627,025	\$ 148,433	\$ 270,580	\$ 252,758	\$ 28,923	\$ -86,472	—
Fund Balances (Deficits) at March 31, 2002	\$ 492,557	\$ 1,958,677	\$ -2,968,839	\$ 377,611	\$ 709,935	\$ 86,036	\$ 152,671	\$ 264,789	\$ 4,963	\$ -93,286	—

— Represents zero.

SOURCE: Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report for Fiscal Year Ended March 31, 2002*.

TABLE E-32

**Revenues and Other Financing Sources — All Governmental Funds  
New York State — Fiscal Years 1992-93 — 2001-02  
(as percentage of total)**

	1992-93	1993-94	1994-95	1995-96	1996-97	1997-98	1998-99(r)	1999-2000	2000-01	2001-02
All Revenue and Financing Sources	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
Revenues										
Taxes	48.65%	46.17%	46.35%	44.20%	44.74%	44.93%	45.85%	46.58%	46.81%	43.64%
Personal Income	24.32	23.12	23.34	22.86	22.95	23.09	24.71	26.24	28.31	25.32
Consumption and Use	12.79	12.07	12.81	11.88	11.86	12.02	11.95	11.90	11.56	11.58
Business	9.66	9.43	8.64	8.01	8.34	8.20	7.51	6.82	5.77	5.17
Other Taxes	1.88	1.55	1.56	1.45	1.59	1.62	1.68	1.62	1.17	1.57
Other Than Taxes	34.67%	33.30%	38.60%	38.52%	38.88%	38.25%	37.43%	39.35%	38.90%	43.26%
Federal Grants	26.29	26.08	30.17	29.37	28.55	28.74	28.38	28.21	27.64	31.36
Lottery	3.66	3.32	4.28	4.76	5.10	4.87	4.35	4.04	4.33	4.98
Patient Fees	0.52	0.44	0.52	0.51	0.52	0.49	0.45	0.42	0.44	0.44
Miscellaneous	4.20	3.46	3.63	3.88	4.71	4.15	4.25	6.68	6.49	6.48
Financing Sources	16.68%	20.53%	15.05%	17.28%	16.38%	16.82%	16.72%	14.07%	14.29%	13.10%

r Revised.

SOURCE: Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report for Fiscal Year Ended March 31, 2002*.

TABLE E-33

**Expenditures — All Governmental Funds  
New York State — Fiscal Years 1992-93 — 2001-02  
(as percentage of total)**

	1992-93	1993-94	1994-95	1995-96	1996-97	1997-98	1998-99(r)	1999-2000	2000-01	2001-02
All Expenditures and Financing Uses	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
All Program Area Expenditures	56.67%	53.59%	58.31%	57.32%	57.07%	56.43%	56.81%	59.25%	58.97%	59.79%
Social Services	30.87	30.37	34.64	32.96	32.45	32.38	31.16	32.38	31.85	31.99
Education	19.16	17.31	17.57	17.78	17.99	18.07	19.14	20.05	20.41	20.73
Mental Hygiene	1.80	1.48	1.56	1.55	1.50	1.33	1.29	1.32	1.27	1.34
Health and Environment	1.34	1.14	1.20	1.74	1.79	1.65	1.92	2.32	2.71	2.87
General Purpose	1.05	1.27	0.95	1.10	1.07	0.73	1.09	1.06	1.03	0.96
Transportation	0.58	0.56	0.90	0.58	0.64	0.76	0.76	0.81	0.38	0.48
Criminal Justice	0.43	0.35	0.36	0.36	0.35	0.40	0.39	0.39	0.38	0.28
Miscellaneous	1.44	1.11	1.13	1.25	1.28	1.11	1.06	0.92	0.94	1.14
All Government Operations Expenditures	25.93%	23.64%	25.13%	24.60%	25.23%	24.56%	24.14%	24.26%	24.20%	24.73%
Personal Service	10.23	9.28	9.40	8.77	8.48	8.36	8.13	8.17	7.96	7.75
Non-personal Service	6.05	5.59	6.01	6.26	6.82	6.69	6.22	6.20	6.54	6.65
Capital Construction	3.72	3.59	4.28	3.98	3.64	3.77	4.05	3.96	3.63	3.40
Debt Service	3.36	2.90	2.92	3.09	3.13	3.44	3.44	3.82	3.87	3.39
Pensions and Other Fringe Benefits	2.57	2.28	2.52	2.50	3.16	2.30	2.30	2.11	2.20	2.52
Disaster Assistance — World Trade Center	X	X	X	X	X	X	X	X	X	1.02
Financing Uses	17.40%	22.77%	16.56%	18.08%	17.70%	19.01%	19.05%	16.49%	16.83%	15.48%

X Not applicable.

r Revised.

SOURCE: Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report for Fiscal Year Ended March 31, 2002*.



TABLE E-34

**Space Leased by State Government, by Type of Space  
New York State by County — 2002**

County	Area Square Feet				Rent			
	Total	Office	Storage	Misc.	Total	Office	Storage	Misc.
New York State	14,175,598	12,461,823	1,345,671	368,104	\$ 209,485,860	\$ 200,222,265	\$ 6,122,486	\$ 3,141,109
New York City	4,496,291	4,413,207	76,881	6,203	107,179,328	106,114,333	910,056	154,939
Bronx	217,555	217,555	—	—	4,866,040	4,866,040	—	—
Kings	642,651	641,791	860	—	13,429,166	13,418,846	10,320	—
New York	2,828,525	2,780,383	45,899	2,243	73,774,668	73,083,126	648,181	43,361
Queens	760,870	726,788	30,122	3,960	14,151,335	13,788,202	251,555	111,578
Richmond	46,690	46,690	—	—	958,119	958,119	—	—
Rest of State	9,679,307	8,048,616	1,268,790	361,901	102,306,532	94,107,932	5,212,430	2,986,170
Albany	5,556,114	4,550,222	828,932	176,960	54,983,432	49,582,332	3,816,257	1,584,843
Allegany	870	870	—	—	9,792	9,792	—	—
Broome	258,204	252,771	842	4,591	1,077,375	1,046,813	6,000	24,562
Cattaraugus	22,036	22,036	—	—	204,822	204,822	—	—
Cayuga	56,245	7,854	48,391	—	164,111	76,947	87,164	—
Chataqua	17,984	17,984	—	—	179,402	179,402	—	—
Chemung	26,327	26,327	—	—	288,966	288,966	—	—
Chenango	43,711	43,711	—	—	268,738	268,738	—	—
Clinton	17,708	17,708	—	—	200,137	200,137	—	—
Columbia	3,477	3,477	—	—	44,859	44,859	—	—
Cortland	15,900	15,900	—	—	197,172	197,172	—	—
Delaware	1,206	1,206	—	—	8,895	8,895	—	—
Dutchess	76,878	76,878	—	—	1,035,948	1,035,948	—	—
Erie	419,985	390,136	14,617	15,232	4,988,168	4,722,978	60,255	204,935
Essex	12,085	12,085	—	—	115,320	115,320	—	—
Franklin	16,300	10,300	—	6,000	137,958	104,958	—	33,000
Fulton	12,786	12,786	—	—	108,467	108,467	—	—
Genesee	14,906	14,906	—	—	149,655	149,655	—	—
Greene	—	—	—	—	—	—	—	—
Herkimer	7,804	7,804	—	—	76,252	76,252	—	—
Jefferson	14,400	14,400	—	—	129,600	129,600	—	—
Lewis	1,200	1,200	—	—	14,400	14,400	—	—
Livingston	6,000	6,000	—	—	63,000	63,000	—	—
Madison	3,000	3,000	—	—	24,750	24,750	—	—
Monroe	298,378	296,893	1,370	115	3,482,965	3,450,990	4,230	27,745
Montgomery	—	—	—	—	—	—	—	—
Nassau	193,308	187,432	5,876	—	3,674,319	3,625,807	48,511	1
Niagara	16,812	16,812	—	—	175,698	175,698	—	—
Oneida	76,475	48,325	12,400	15,750	611,120	501,120	37,200	72,800
Onondaga	235,060	225,975	9,085	—	2,999,768	2,940,412	59,356	—
Ontario	15,691	15,691	—	—	189,794	189,794	—	—
Orange	58,342	58,042	300	—	812,089	809,089	3,000	—
Orleans	5,303	5,303	—	—	67,613	67,613	—	—
Oswego	12,000	12,000	—	—	183,683	183,683	—	—
Otsego	14,834	14,834	—	—	164,299	164,299	—	—
Rensselaer	665,865	622,717	33,826	9,322	7,195,275	6,881,333	149,476	164,466
Rockland	43,520	43,520	—	—	775,314	775,314	—	—
Saratoga	1,150	1,150	—	—	15,238	15,238	—	—
Schenectady	733,939	304,993	302,403	126,543	4,959,383	3,292,620	873,865	792,898
Schoharie	—	—	—	—	—	—	—	—
Schuyler	—	—	—	—	—	—	—	—
St Lawrence	15,437	15,437	—	—	170,220	165,120	—	5,100
Steuben	6,600	6,600	—	—	108,900	108,900	—	—
Suffolk	254,322	245,122	5,500	3,700	4,540,894	4,451,514	32,000	57,380
Sullivan	13,243	13,243	—	—	177,198	177,198	—	—
Tompkins	10,899	10,899	—	—	127,565	127,565	—	—
Ulster	15,789	12,101	—	3,688	95,173	76,733	—	18,440
Warren	20,416	20,185	231	—	258,150	257,284	866	—
Wayne	5,166	5,166	—	—	55,018	55,018	—	—
Westchester	354,034	351,815	2,219	—	6,927,706	6,906,187	21,519	—
Wyoming	7,598	4,800	2,798	—	67,931	55,200	12,731	—
Yates	—	—	—	—	—	—	—	—
Out of State	11,010	11,010	—	—	299,913	299,913	—	—
Washington, D.C.	3,278	3,278	—	—	129,809	129,809	—	—
Des Plains, IL	7,732	7,732	—	—	170,104	170,104	—	—
Out of Country	3,836	3,836	—	—	302,749	302,749	—	—
London, England	1,185	1,185	—	—	68,985	68,985	—	—
Montreal, Canada	720	720	—	—	13,241	13,241	—	—
Tokyo, Japan	1,931	1,931	—	—	220,523	220,523	—	—

— Represents zero.

SOURCE: New York State Office of General Services, Real Estate Planning and Development Group.

TABLE E-35

**Space Leased by New York State Government  
by Type of Space — 1996-2002**

Location	Area (square feet)				Rent			
	Total	Office	Storage	Misc.	Total	Office	Storage	Misc.
Within New York State								
In New York City								
1996	4,261,028	4,155,961	92,585	12,482	\$ 80,866,269	\$ 79,516,452	\$ 907,599	\$ 442,218
1997	3,919,756	3,820,265	86,945	12,546	76,764,964	75,414,395	863,591	486,978
1998	4,017,769	3,908,814	93,067	15,888	79,433,779	77,865,793	960,696	607,290
1999	3,925,337	3,819,694	92,780	12,863	78,334,207	76,867,617	945,970	520,620
2000	4,215,488	4,123,234	79,393	12,861	90,066,221	88,581,245	943,638	541,338
2001	4,349,560	4,255,530	79,496	14,534	98,595,618	96,731,412	966,564	897,642
2002	4,496,291	4,413,207	76,881	6,203	107,179,328	106,114,333	910,056	154,939
In Rest of State								
1996	7,647,928	6,074,632	1,207,180	366,116	\$ 78,338,800	\$ 70,795,911	\$ 4,629,773	\$ 2,913,116
1997	8,110,036	6,269,788	1,478,026	362,222	82,157,113	73,898,371	5,532,742	2,726,000
1998	8,261,334	6,556,806	1,262,526	442,002	86,627,069	78,539,686	5,457,906	2,629,477
1999	8,646,418	6,951,827	1,338,442	356,149	88,649,053	80,524,404	5,361,672	2,762,977
2000	8,554,754	7,153,312	1,297,286	104,156	90,026,719	83,142,517	5,383,873	1,500,329
2001	9,452,135	7,776,232	1,381,706	294,197	97,694,269	89,515,991	5,750,422	2,427,856
2002	9,679,307	8,048,616	1,268,790	361,901	102,306,532	94,107,932	5,212,430	2,986,170
Out of State								
Within USA <sup>1</sup>								
1996	8,556	8,556	—	—	\$ 198,466	\$ 198,466	—	—
1997	16,288	16,288	—	—	319,532	319,532	—	—
1998	11,010	11,010	—	—	245,022	245,022	—	—
1999	11,010	11,010	—	—	248,836	248,836	—	—
2000	11,010	11,010	—	—	248,836	248,836	—	—
2001	11,010	11,010	—	—	287,981	287,981	—	—
2002	11,010	11,010	—	—	299,913	299,913	—	—
Outside USA <sup>2</sup>								
1996	4,041	4,041	—	—	\$ 66,730	\$ 66,730	—	—
1997	3,857	3,857	—	—	72,651	72,651	—	—
1998	5,226	5,226	—	—	252,677	252,677	—	—
1999	5,226	5,226	—	—	266,812	266,812	—	—
2000	3,836	3,836	—	—	305,390	305,390	—	—
2001	3,836	3,836	—	—	285,954	285,954	—	—
2002	3,836	3,836	—	—	302,749	302,749	—	—

— Represents zero.

1 Includes locations in Washington, DC, Illinois and California (1986 to 1995). 1992 figures based on snapshot taken March 1993. Figures vary slightly on a daily basis.

2 Includes all locations in Canada, England and Japan.

SOURCE: New York State Office of General Services, Real Estate Planning and Development Group.

TABLE E-36

**State-Supported and State-Related Debt Per Capita  
New York State — Fiscal Years 1993-94 — 2003-04**

Fiscal Year Ended	State Population <sup>1</sup> (millions)	State Supported Debt		State Related Debt	
		Amount (millions)	State Supported Debt/Capita	Amount (millions)	State Supported Debt/Capita <sup>1</sup>
1993-94r	18.4	26,696	1,451	35,014	1,903
1994-95r	18.5	28,169	1,523	36,359	1,965
1995-96r	18.5	31,009	1,676	38,593	2,086
1996-97r	18.6	33,130	1,781	37,478	2,015
1997-98r	18.7	34,247	1,831	36,999	1,979
1998-99	18.8	35,842	1,906	37,740	2,007
1999-2000r	18.9	36,797	1,947	38,584	2,041
2000-01r,a	19.0	36,958	1,945	38,663	2,035
2001-02r,a	19.1	36,977b	1,936	38,603	2,021
2002-03r	19.2	39,037	2,033	40,531	2,111
2003-04e	19.2	41,003	2,136	46,541c	2,424

r Revised.

e Estimated.

a All population figures for the years 1991 through 1999 are estimates based on the 1990 Census, however, the figure for 2000 reflects Census 2000 counts. As a result, the large increase in population from 1999 to 2000 cannot be attributed to an increase in the actual population.

b During fiscal year 2001-02, the State transferred \$350 million to several State Authorities for the purpose of providing for the payment of \$355 million of State-supported debt. As of March 31, 2003, these funds were held in the form of cash and investments for this purpose. However,

under the terms of the financing resolutions under which such bonds were issued, these bonds are still considered legally outstanding.

c Includes the issuance of tobacco bonds secured by a State contingent-contractual obligation.

1 For calendar year ending in State's fiscal year.

SOURCE: New York State Division of the Budget, *Annual Information Statement, State of New York: May 30, 2003* and the Division of the Budget website <<http://www.budget.state.ny.us>>.

TABLE E-37

**State-Supported and State-Related Debt Compared to Personal Income  
New York State — Fiscal Years 1993-94 — 2003-04**

Fiscal Year Ended	Personal Income <sup>1</sup> (billions)	All State-Supported Debt		All State-Related Debt	
		Amount (millions)	Percent <sup>2</sup>	Amount (millions)	Percent <sup>2</sup>
1993-94r	464.2	26,696	5.75	35,014	7.54
1994-95r	478.6	28,169	5.89	36,359	7.60
1995-96r	503.2	31,009	6.16	38,593	7.67
1996-97r	531.0	33,130	6.24	37,478	7.06
1997-98r	553.5	34,247	6.19	36,999	6.68
1998-99r	590.4	35,842	6.07	37,740	6.39
1999-2000r	616.3	36,797	5.97	38,584	6.26
2000-01r	665.8	36,958	5.55	38,663	5.81
2001-02r	684.7	36,977a	5.40	38,603	5.64
2002-03r	684.5	39,037	5.70	40,531	5.92
2003-04e	704.8	41,003	5.82	46,541b	6.60

r Revised.

e Estimated.

a During fiscal year 2001-02, the State transferred \$350 million to several State authorities for the purpose of providing for the payment of \$355 million of State-supported debt. As of March 31, 2002, these funds were held in the form of cash and investments for this purpose. However, under the terms of the financing resolutions under which such bonds were issued, these bonds are still considered legally outstanding.

b Includes the issuance of tobacco bonds secured by a State contingent-contractual obligation.

1 For calendar year ending in State's fiscal year. Based on U.S. Department of Commerce, Bureau of Economic Analysis (BEA) data through May 2002. Personal income for 2001 and 2002 estimated by State Division of the Budget.

2 Expressed as a percent of personal income.

SOURCE: New York State Division of the Budget, *Annual Information Statement, State of New York: May 30, 2003* and the Division of the Budget website <<http://www.budget.state.ny.us>>.

**TABLE E-38**  
**General Obligation Debt of State Government**  
**New York State — March 31, 2003(p)**

Purpose	Bonds Authorized	Bonds and Notes Issued	Authorized but Unissued	Amount of Debt Outstanding		
				Bonds	Notes	Total
All General Obligation Bonds	\$ 14,535,000,000	\$ 13,384,320,097	\$ 1,150,679,903	\$ 3,996,017,999	—	\$ 3,996,017,999
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)	3,000,000,000	2,941,225,686	58,774,314	1,172,175,921	—	1,172,175,921
Clean Water/Clean Air						
Air Quality	230,000,000	167,808,527	62,191,473	137,285,574	—	137,285,574
Safe Drinking Water	355,000,000	325,022,673	29,977,327	255,121,346	—	255,121,346
Clean Water	790,000,000	404,082,625	385,917,375	390,096,295	—	390,096,295
Solid Waste	175,000,000	121,022,982	53,977,018	114,517,980	—	114,517,980
Environmental Restoration	200,000,000	25,952,161	174,047,839	23,266,565	—	23,266,565
Energy Conservation Through Improved Transportation						
Local Streets and Highways	100,000,000	100,000,000	—	—	—	—
Rapid Transit and Rail Freight	400,000,000	399,110,000	890,000	56,658,502	—	56,658,502
Environmental Quality Protection (1972)						
Air	150,000,000	125,595,000	24,405,000	31,388,125	—	31,388,125
Land and Wetlands	350,000,000	320,545,000	29,455,000	88,162,055	—	88,162,055
Water	650,000,000	643,300,000	6,700,000	226,151,206	—	226,151,206
Environmental Quality (1986)						
Land Acquisitions/Development/Restoration	250,000,000	244,462,758	5,537,242	130,044,664	—	130,044,664
Waste Site Remediation	1,200,000,000	954,505,684	245,494,316	667,950,443	—	667,950,443
Higher Education Facilities	250,000,000	250,000,000	—	2,280,000	—	2,280,000
Housing						
Low Income	960,000,000	952,072,000	7,928,000	137,962,933	—	137,962,933
Middle Income	150,000,000	149,500,000	500,000	71,752,000	—	71,752,000
Urban Renewal	25,000,000	23,425,000	1,575,000	606,777	—	606,777
Outdoor Recreation Development	200,000,000	199,770,000	230,000	677,706	—	677,706
Park and Recreation Land Acquisition	100,000,000	99,200,000	800,000	156,440	—	156,440
Pure Waters	1,000,000,000	966,250,000	33,750,000	179,518,081	—	179,518,081
Rail Preservation	250,000,000	250,000,000	—	55,969,340	—	55,969,340
Rebuilding New York-Transportation Infrastructure Renewal						
Highways, Parkways and Bridges	1,064,000,000a	1,035,470,000	28,530,000	8,769,438	—	8,769,438
Ports, Canals and Waterways	49,360,000a	49,360,000	—	4,025,548	—	4,025,548
Rapid Transit, Rail and Aviation	136,640,000a	136,640,000	—	61,037,594	—	61,037,594
Transportation Capital Facilities						
Aviation	250,000,000	250,000,000	—	63,481,258	—	63,481,258
Highways	1,250,000,000	1,250,000,000	—	—	—	—
Mass Transportation	1,000,000,000	1,000,000,000	—	116,962,207	—	116,962,207

NOTE: Detail may not add to totals due to rounding.

p Preliminary

— Represents zero

a Authorizations have been adjusted to reflect reallocations made by Chapter 54, of the Laws of 1990.

SOURCE: New York State Office of the State Comptroller.

TABLE E-39

**Debt Service Requirements on State-Supported Debt  
New York State — As of March 31, 2003**  
(millions)

Fiscal Years Ending March 31	Total	General Obligation <sup>1</sup>	Local Government Assistance Corporation <sup>2</sup>	Other Financing Obligations
Total	\$ 60,954	\$ 5,190	\$ 6,785	\$ 48,979
2003-04	3,677	519	182	2,976
2004-05	3,786	475	288	3,023
2005-06	3,747	453	307	2,987
2006-07	3,642	441	306	2,895
2007-08	3,557	421	339	2,797
Thereafter	42,545	2,881	5,363	34,301

1 Debt Service Requirements on approximately \$779 million in general obligation variable rate bonds are calculated using the rate in effect as of 3/31/2003. Debt Service Requirements on \$220 million of general obligation variable rate bonds in commercial paper mode is calculated at the rate most recently remarketed as of 3/31/2003. The State's general obligation variable rate bonds are adjusted on a periodic basis depending on the mode that they are remarketed.

2 Debt Service Requirements on approximately \$893.6 million in LGAC Tax Exempt variable rate bonds are calculated using the rate in effect as of 3/31/2003.

SOURCE: New York State Division of the Budget, *Annual Information Statement, State of New York: May 30, 2003* and the Division of the Budget website <<http://www.budget.state.ny.us>>.

TABLE E-40

**Capital Projects Financed by Selected Public Authorities  
New York State — Specified Dates**  
(dollar amounts in millions)

Authority and Status Date Program	Actual Cost
Housing Finance Agency (HFA) — as of 10-31-2001 Housing Programs (over 91,161 units)	\$ 4,096.7
Mobile Home Parks	9.7
	Current Mortgage Commitment
Health Program	
Health Facilities	\$ 531.3
Nursing Homes	285.3
Voluntary Hospitals	70.6
Community-Related Facilities	21.5
	Value <sup>1</sup>
Dormitory Authority (DA) — as of 3-31-2002 Includes Facilities Development Corporation (FDC) and Medical Care Facilities Finance Agency (MCFFA) <sup>2</sup>	\$ 2,181,744
Private Health Care Institutions	378,459
Independent Institutions	540,891
City University of New York	364,214
State University of New York	370,855
Mental Health	85,708
Mental Retardation and Developmental Disabilities	25,138
Court Facilities	92,245
Community Colleges Outside New York City	38,377
New York State Health Department	20,606
Other New York State Agencies	87,026
Municipal Facilities	154,494
Boards of Cooperative Educational Services (BOCES)	5,224
Alcoholism and Substance Abuse Services	9,173
Correctional Services	—
Supplemental Higher Education Loan Financing	—
State Education Department	9,334

— Represents zero.

1 Includes design and construction services for clients listed. Includes projects completed, under construction, or in design.

2 Effective September 1, 1995, these two agencies were merged into the Dormitory Authority. Includes projects under the auspices of the

former Division of Alcoholism & Alcohol Abuse and Division of Substance Abuse Services.

SOURCE: Housing Finance Agency and Dormitory Authority of the State of New York.

**TABLE E-41**  
**Outstanding State-Related Debt**  
**New York State — As of March 31, 2003<sup>1</sup>**  
(millions)

Authority and Program	Bonds Outstanding
Total State-Related Debt	\$ 40,531
State-Supported Debt <sup>2</sup>	39,037
General Obligation	3,996
Local Government Assistance Corporation	4,575
Other Lease-Purchase and Contractual-Obligation Financing Arrangements	30,466
Contingent Contractual-Obligation Financing	
DASNY/MCFFA-Secured Hospital Program <sup>3</sup>	975
Moral Obligation Financing	438
Housing Finance Agency	397
MCFFA-Hospitals and Nursing Homes	41
State-Guaranteed Debt	
Job Development Authority	81

1 Amounts outstanding reflect original par amounts or original net proceeds in the case of capital appreciation bonds. Amounts outstanding do not reflect accretion of capital appreciation bonds or premiums received.

2 During fiscal year 2001-02, the State transferred \$350 million to several State authorities for the purpose of providing for the payment of \$355 million of State-supported debt. As of March 31, 2002, a portion of these funds remain held in the form of cash and investments for this purpose. However, under the terms of the financing resolu-

tions under which such bonds were issued, these bonds are still considered legally outstanding.

3 Includes bonds issued for the Secured Hospital Program, for which the State's contingent obligation, subject to annual appropriation, is to provide funds for debt service in the event there is a shortfall of revenues from other specified sources.

SOURCE: New State Office of the State Comptroller.

**TABLE E-42**  
**State Guaranteed Debt and Contingent Obligation Financing**  
**New York State — March 31, 2003**  
(millions)

Issuer	Bonds	Notes	Total
All Guaranteed Debt			
Job Development Authority	\$ 81	—	\$ 81
Contingent Obligation Financing			
Dormitory Authority/MCFFA <sup>1,2</sup>	\$ 975	—	\$ 975

— Represents zero.

1 Authorization expired March 1, 1998 per Section 7417(a) of the Unconsolidated Laws.

2 Medical Care Facilities Finance Agency.

SOURCE: New York State Office of the State Comptroller.

**TABLE E-43**  
**Lease-Purchase and Other Financing Obligations**  
**New York State — March 31, 2002**  
**(thousands)**

Financing Agency and Program or Purpose	Outstanding <sup>1</sup>	Issued	Redeemed	Outstanding <sup>2</sup>
All Lease-Purchase and Other Financing Obligations	\$ 25,054,152	\$ 1,445,081	\$ 1,433,193	\$ 25,066,040
Public Benefit Corporations <sup>3</sup>	20,049,195	1,445,081	1,206,099	20,288,177
Housing Finance Agency	918,351	120,000	80,628	957,723
Dormitory Authority				
Mental Health Services Facility	3,610,506	178,820	215,109	3,574,217
Other	1,904,100	89,701	198,572	1,795,229
Thruway Authority	6,569,285	981,770	417,635	7,133,420
Triborough Bridge and Tunnel Authority	340,585	—	24,180	316,405
Metropolitan Transportation Authority	1,900,434	—	60,035	1,840,399
Urban Development Corporation	4,211,559	—	130,025	4,081,534
Community Enhancement Facilities Assistance Program	233,000	43,230	32,460	243,770
Environmental Facilities Corporation	283,720	31,560	36,490	278,790
Energy Research and Development Authority	77,655	—	10,965	66,690
Other Entities				
County of Albany	3,870	—	3,870	—
Certificates of Participation	272,872	—	115,904	156,968
Local Government Assistance Corporation <sup>4</sup>	4,728,215	—	107,320	4,620,895

— Represents zero.

1 As of April 1, 2001.

2 As of March 31, 2002.

3 Public Benefit Corporations are legally separate entities that are not operating departments of the State. The Corporations are managed independently, outside the appropriated budget process, and their powers generally are vested in a governing board. Corporations are established for a variety of purposes for the benefit of the State's citizenry such as economic development, financing and public transportation. They are not subject to State constitutional restrictions on the incurrence of debt, which apply to the State itself, and may issue bonds and notes within legislatively authorized amounts.

4 The New York Local Government Assistance Corporation was established by Chapter 220 of the Laws of 1990 (as amended by Chapter 2 of the Laws of 1991) to issue up to \$4.7 billion in long-term debt to finance certain local assistance aid payments plus amounts necessary to fund a capital reserve fund and other issuance costs. Issuance of the entire \$4.7 billion bond authorization as of March 31, 1996 eliminated the need for the State's annual spring borrowing.

SOURCE: New York State Office of the State Comptroller, *Comptroller's Annual Report for Fiscal Year Ended March 31, 2002*.

**TABLE E-44**  
**Professional Service and Construction Contracts**  
**Awarded to Minority-Owned and Women-Owned Business Enterprises (M/WBE)**  
**by the State Office of General Services**  
**New York State — 1999-2002**

Type of Contract	1999	2000	2001	2001
Professional Service Contracts				
Total Dollar Value	\$ 31,159,049	\$ 52,967,205	\$ 134,866,055	\$ 122,280,100
M/WBE Contract Value	\$ 2,644,445	\$ 4,767,048	\$ 2,226,194	\$ 3,200,319
M/WBE Participation (%)	8%	9%	2%	3%
Construction Contract — Emergency				
Total Dollar Value	\$ 77,117,797	\$ 53,364,044	\$ 47,864,270	\$ 52,992,545
M/WBE Contract Value	\$ 5,061,545	\$ 1,600,921	\$ 3,438,301	\$ 5,021,809
M/WBE Participation (%)	7%	3%	7%	9%
Construction Contracts				
Total Dollar Value	\$ 173,338,354	\$ 225,852,954	\$ 213,178,360	\$ 273,943,931
M/WBE Contract Value	\$ 11,254,875	\$ 7,697,000	\$ 14,621,884	\$ 33,341,088
M/WBE Participation (%)	6%	3.4%	7%	12%

SOURCE: New York State Office of General Services, Division of Contract Administration.

TABLE E-45

**Commodity Services and Technology Contracts Awarded to Resident Vendors,  
Minority Business Enterprises and Small Businesses<sup>1</sup>  
by the State Office of General Services  
New York State — 1996-2001**

	1996(r)	1997(r)	1998	1999	2000	2001	2002
--- Quantity of Commodity Contracts Awarded ---							
All Contracts Awarded <sup>2</sup>	1,450	1,528	1,619	1,604	2,046a	2,238a	1,799b
To Resident Vendors	1,043	1,137	1,115	1,110	1,129	904	839
To Small Businesses <sup>3</sup> in New York State	624	672	650	653	707	562	493
To Minority- and Women-Owned Business Enterprises	34	32	36	36	74	58	126
--- Estimated Contract Value ---							
All Contracts Awarded <sup>2</sup>	\$ 725,344	\$ 985,748,594	\$ 1,154,954,365	\$ 1,255,168,398	\$ 1,586,965,937a	\$ 2,797,652,242a	\$ 2,031,581,008b
To Resident Vendors	529,969	709,114,656	551,081,260	683,301,077	609,529,596	1,067,818,291	695,085,645
To Small Businesses <sup>3</sup> in New York State	226,579	214,263,191	219,387,411	226,390,445	263,424,682	384,984,967	213,385,495
To Minority- and Women-Owned Business Enterprises	9,181	16,506,576	11,702,062	13,191,453	26,353,247	41,182,879	74,471,630

NOTE: In New York State's legally established open competitive commodity procurement program, the contract value awarded to any specific segment of the business community will fluctuate.

A vendor's success in this system is dependent upon the ability to provide the lowest price among its competitors.

Detail may not add to total due to overlapping categories.

a Includes Extended Contracts, as follows: 2000 — Extended 315, Value \$238,100,424; 2001 — Extended 877, Value \$1,159,586,060.

b Includes extended contracts.

1 Non-State agencies on the mailing list for 2000 was 4,400, 2001 was 4,549 (through November 23, 2001), and 2002 was 4,786.

2 In 1995, OGS began issuing centralized services and technology contracts, based on 1994 legislation. Statistics for years 1995-1998 include commodity, services and technology contracts and contract values.

3 Self-identified.

SOURCE: New York State Office of General Services, Procurement Services Group.

TABLE E-46

**New York State Department of Transportation  
Construction Contract Awards by Major Category<sup>1</sup>  
New York State — Fiscal Years 1998-99 — 2000-01**

	1999-2000		2000-01		2001-02	
	Number of Projects	Bid Cost (millions)	Number of Projects	Bid Cost (millions)	Number of Projects	Bid Cost (millions)
Total Projects	572	\$ 1,278	437	\$ 1,129	451	\$ 1,335
Bridge Projects	160	328	82	288	77	308
Highway Pavement	288	715a	233	480a	253	560a
Highway Capacity	10	122	14	176	14	225
Highway Safety	60	65	61	86	50	115
Other <sup>2</sup>	54	48	47	99	57	127

NOTE: In earlier editions, Bid Cost was reported in thousands of dollars. Therefore, data in this table do not match those in earlier editions.

a Includes Vendor in Place (VPP) paving contracts.

1 Reflects total construction cost shares.

2 Includes Operation and Maintenance contracts, as well as contracts for work on Canals, Parkways, Rail, Aviation and/or miscellaneous work. Reflects Total Project Costs.

SOURCE: New York State Department of Transportation, Construction Contract Letting Section, NYS Fiscal Years 1999-2000 through 2001-02.



**TABLE E-47**  
**Public Employees' Retirement Systems — Net Assets**  
**New York State — As of March 31, 2002**  
**(thousands)**

	Total	Employees' Retirement System	Police & Fire Retirement System
Net Assets Held In Trust For Pension Benefits	\$ 112,724,893	\$ 95,420,126	\$ 17,304,767
Assets	121,382,171	102,748,072	18,634,099
Investments	111,168,496	93,988,606	17,179,890
Short-Term Investments	1,428,960	1,208,130	220,830
Government Bonds	21,959,421	18,565,829	3,393,592
Corporate Bonds	9,879,806	8,352,989	1,526,817
Domestic Stocks	54,424,461	46,013,748	8,410,713
International Stocks	11,951,067	10,104,159	1,846,908
Alternative Investments	5,579,498	4,717,247	862,251
Real Property Owned	4,316,613	3,649,527	667,086
Mortgage Loans	1,628,670	1,376,977	251,693
Securities Lending Collateral, Invested	7,719,085	6,526,184	1,192,901
Forward Foreign Exchange Contracts	382,772	323,619	59,153
Receivables	2,070,676	1,874,879	195,797
Other Assets	41,142	34,784	6,358
Liabilities	8,657,278	7,327,946	1,329,332
Securities Lending Collateral, Due to Borrowers	7,719,085	6,526,184	1,192,901
Forward Foreign Exchange Contracts	380,944	322,073	58,871
Investment Purchases	198,414	167,751	30,663
Benefit Payable	101,352	84,733	16,619
Other Liabilities	257,483	227,205	30,278

SOURCE: New York State Office of the State Comptroller, *Comptroller's Annual Report for Fiscal Year Ended March 31, 2002.*

**TABLE E-48**  
**General Obligation Debt**  
**New York State — As of March 31, 2003**  
(millions)

Purpose	Total Authorized	Authorized but Unissued	Total Debt Outstanding
Total General Obligation Debt	\$ 14,535.0	\$ 1,150.7	\$ 3,996.4
Transportation Bonds	7,500.0	88.2	1,539.2
Transportation Capital Facilities (1967)			
Highways	1,250.0	—	—
Mass Transportation	1,000.0	—	117.0
Aviation	250.0	—	63.5
Rail Preservation (1974)	250.0	—	56.0
Energy Conservation Through Improved Transportation (1979)			
Local Streets and Highways	100.0	—	—
Rapid Transit and Rail Freight	400.0	0.9	56.7
Rebuild New York Through Transportation			
Infrastructure Renewal (1983)			
Highway Related Projects	1,064.0	28.5	8.8
Rapid Transit, Rail and Aviation Projects	136.6	—	61.0
Ports, Canals and Waterways	49.4	—	4.0
Accelerated Capacity and Transportation Improvements of the Nineties (1988)	3,000.0	58.8	1,172.2
Environmental Bonds	5,650.0	1,052.5	2,244.5
Park and Recreation Land Acquisition (1960)	100.0	0.8	0.2
Pure Waters (1965)	1,000.0	33.7	179.5
Outdoor Recreation Development (1966)	200.0	0.2	0.7
Environmental Quality (1972)			
Water	650.0	6.7	226.2
Air	150.0	24.4	31.4
Land and Wetlands	350.0	29.5	88.2
Environmental Quality (1986)			
Solid Waste Management	1,200.0	245.5	668.0
Land and Forests	250.0	5.5	130.0
Clean Water/Clean Air (1996)			
Safe Drinking Water	355.0	30.0	255.1
Clean Water	790.0	385.9	390.1
Solid Waste	175.0	54.0	114.5
Environmental Restoration	200.0	174.1	23.3
Air Quality	230.0	62.2	137.3
Housing Bonds	1,135.0	10.0	210.4
Low-Income Housing (through 1958)	960.0	0.5	138.0
Middle-Income Housing (through 1958)	150.0	0.5	71.8
Urban Renewal (1958)	25.0	1.6	0.6
Education Bonds			
Higher Education Facilities (1957)	250.0	—	2.3

— Represents zero.

SOURCE: New York State Division of the Budget, *Interim Annual Information Statement, State of New York: June 3, 2002* and the Division of the Budget website <<http://www.budget.state.ny.us>>.

TABLE E-49

## Acreage and Market Value of Taxable State-Owned Lands New York State by County — 2002

County <sup>1</sup>	Forest Preserve Lands <sup>2</sup>		Land Taxable for School Purposes Only <sup>3</sup>		Reforested Lands <sup>4</sup>		Other <sup>5</sup>	
	Acres	Value <sup>6</sup>	Acres	Value <sup>6</sup>	Acres	Value <sup>6</sup>	Acres	Value <sup>6</sup>
New York State	2,808,863	\$ 2,193,377,882	36,085	\$625,375,991	576,468	\$397,381,921	267,727	\$ 1,067,618,983
Albany	—	—	—	—	3,259	3,790,448	—	—
Allegany	—	—	—	—	43,401	23,954,840	—	—
Broome	—	—	21	265,100	7,321	4,104,436	—	—
Cattaraugus	—	—	607	414,587	27,761	26,594,669	62,605	106,439,562
Cayuga	—	—	—	—	7,986	3,875,471	—	—
Chautauqua	—	—	—	—	15,191	14,829,530	—	—
Chemung	—	—	—	—	518	362,100	—	—
Chenango	—	—	—	—	56,003	44,370,414	19,441	17,817,850
Clinton	49,848	37,825,800	1,044	1,958,400	9,432	3,618,200	—	—
Columbia	—	—	—	—	2,215	2,253,000	—	—
Cortland	—	—	—	—	25,804	14,768,148	—	—
Delaware	42,016	38,711,424	—	—	15,723	17,555,275	—	—
Dutchess	—	—	6,475	49,146,922	817	983,143	—	—
Erie	—	—	364	695,000	—	—	—	—
Essex	516,744	373,294,949	—	—	—	—	—	—
Franklin	264,341	229,796,870	—	—	19,581	6,202,305	—	—
Fulton	75,630	43,134,242	—	—	1,450	754,434	20,047	33,543,765
Greene	79,213	90,064,064	884	1,266,060	4,149	4,511,324	—	—
Hamilton	789,724	597,527,149	—	—	—	—	486	139,170
Herkimer	354,668	141,115,616	2,389	1,698,270	3,241	2,249,493	3,403	1,583,050
Jefferson	—	—	—	—	5,198	2,532,700	14,362	5,400,856
Lewis	60,155	20,237,076	—	—	66,950	29,656,973	15,753	6,169,200
Livingston	—	—	2,129	6,450,200	2,591	2,896,200	—	—
Madison	—	—	130	120,200	20,632	16,847,900	—	—
Monroe	—	—	840	1,243,400	—	—	—	—
Montgomery	—	—	—	—	6,689	5,405,349	—	—
Oneida	9,206	3,441,584	6,689	6,684,322	35,707	17,989,653	4	121,709
Onondaga	—	—	—	—	2,199	1,954,200	—	—
Orange	—	—	799	4,181,384	5,140	26,067,010	41,900	177,153,671
Orleans	—	—	208	349,900	—	—	—	—
Oswego	—	—	—	—	14,667	10,367,393	12,524	5,703,650
Otsego	—	—	—	—	15,772	9,134,970	730	417,466
Rensselaer	—	—	—	—	3,423	1,748,455	7,600	7,918,109
Rockland	—	—	16	165,958	—	—	33,488	663,610,620
St. Lawrence	171,419	130,663,102	—	—	53,928	19,118,505	—	—
Saratoga	16,800	11,385,903	1,250	12,850,635	574	608,000	8,119	13,067,802
Schoharie	—	—	—	—	31,513	27,634,405	—	—
Schuyler	—	—	—	—	16,454	12,071,900	—	—
Seneca	—	—	700	1,436,209	—	—	—	—
Steuben	—	—	—	—	18,842	9,354,807	—	—
Suffolk	—	—	5,618	504,360,154	—	—	—	—
Sullivan	18,902	24,444,472	848	940,100	373	117,400	9,549	9,954,200
Tioga	—	—	—	—	9,318	4,747,549	—	—
Tompkins	—	—	—	—	18,477	20,832,900	—	—
Ulster	153,370	176,533,868	1,506	5,858,128	919	1,067,000	12,146	14,600,503
Warren	185,178	214,363,988	—	—	1,318	838,401	—	—
Washington	21,649	60,837,775	1,833	1,344,138	1,262	1,261,900	—	—
Westchester	—	—	83	22,392,924	—	—	—	—
Wyoming	—	—	1,652	1,554,000	—	—	—	—
Yates	—	—	—	—	670	351,121	5,570	3,977,800

— Represents zero.

1 Includes only the counties in which New York State owns taxable land.

2 Taxable for all purposes under Sections 532 (a) and (b) of the Real Property Tax Law.

3 Taxable under Section 536 of the Real Property Tax Law.

4 Taxable for all except county purposes under Section 534 of the Real Property Tax Law.

5 Taxable for all purposes under Sections 532 (c) - (i) of the Real Property Tax Law and Section 15-2115 of the Environmental Conservation Law.

6 Represents the sum of city and town assessment roll market values determined by local assessors rather than appraisal values formerly reported by the State Office of Real Property Services market survey. The change results from the Shandaken Decision: *Town of Shandaken v. SBEA*, 62 N.Y. 2d 442 (1984).

SOURCE: New York State Office of Real Property Services.

TABLE E-50

**State and Local Government Employment and Average Earnings  
United States by State — 1990 and 2000**

State	Total FTE <sup>1</sup> (thousands)				Average Monthly Earnings <sup>2</sup>			
	State		Local <sup>3</sup>		State		Local <sup>3</sup>	
	1990	2000	1990	2000	1990	2000	1990	2000
United States	3,840	4,083	9,239	10,995	\$ 2,472	3,374	\$ 2,364	3,169
Alabama	79	80	148	182	2,196	2,841	1,749	2,431
Alaska	22	23	21	25	3,543	3,842	3,491	3,818
Arizona	50	65	136	182	2,334	3,055	2,540	2,942
Arkansas	43	49	78	96	1,922	2,842	1,545	2,175
California	325	355	1,091	1,322	3,209	4,451	3,073	4,062
Colorado	54	66	130	164	2,765	3,779	2,292	3,076
Connecticut	58	66	98	111	3,018	3,909	2,854	3,856
Delaware	21	24	17	21	2,245	3,222	2,458	3,163
District of Columbia	X	X	57	45	X	X	3,024	3,923
Florida	160	185	497	580	2,095	3,149	2,247	2,865
Georgia	112	120	270	334	2,037	2,899	1,872	2,677
Hawaii	49	55	13	14	2,317	2,926	2,536	3,352
Idaho	19	23	37	51	2,100	3,022	1,772	2,478
Illinois	145	128	416	493	2,520	3,441	2,463	3,307
Indiana	89	83	196	232	2,496	2,990	2,036	2,711
Iowa	57	55	107	121	2,936	3,656	2,024	2,727
Kansas	50	43	104	128	2,077	3,071	1,979	2,491
Kentucky	75	74	114	149	2,141	3,051	1,823	2,339
Louisiana	85	95	155	185	2,047	2,807	1,713	2,278
Maine	22	21	42	51	2,352	2,983	1,978	2,609
Maryland	89	91	159	182	2,609	3,312	2,776	3,535
Massachusetts	93	96	196	232	2,541	3,683	2,554	3,403
Michigan	144	142	316	351	2,858	3,934	2,646	3,518
Minnesota	70	73	163	206	2,936	3,892	2,552	3,255
Mississippi	47	56	105	133	1,824	2,752	1,543	2,121
Missouri	74	91	171	208	1,965	2,678	2,052	2,678
Montana	17	18	35	34	2,072	2,931	1,959	2,546
Nebraska	29	30	68	78	2,075	2,514	2,089	2,779
Nevada	19	22	42	61	2,502	3,444	2,574	3,817
New Hampshire	16	19	33	46	2,352	3,079	2,215	2,830
New Jersey	112	133	304	316	2,859	4,075	2,698	3,967
New Mexico	40	48	57	70	2,100	2,811	1,783	2,494
New York	285	251	866	924	2,997	3,859	2,795	3,961
North Carolina	107	123	244	328	2,372	3,012	2,065	2,708
North Dakota	15	16	20	23	2,057	2,826	2,138	2,778
Ohio	139	136	385	459	2,510	3,369	2,236	3,118
Oklahoma	65	64	116	134	1,975	2,821	1,761	2,280
Oregon	52	53	100	124	2,302	3,269	2,322	3,332
Pennsylvania	127	150	361	388	2,437	3,436	2,403	3,296
Rhode Island	21	20	27	36	2,586	3,772	2,656	3,550
South Carolina	79	79	116	155	1,956	2,741	1,848	2,474
South Dakota	13	13	24	28	1,979	2,777	1,733	2,359
Tennessee	79	81	175	218	2,055	2,786	1,883	2,631
Texas	223	269	706	909	2,192	3,095	1,952	2,643
Utah	37	49	51	73	2,000	2,880	2,092	2,836
Vermont	13	14	18	23	2,302	3,153	2,090	2,534
Virginia	117	119	221	269	2,267	3,229	2,248	2,928
Washington	91	112	164	193	2,459	3,551	2,515	3,835
West Virginia	34	32	59	61	1,919	2,694	1,862	2,517
Wisconsin	67	64	183	220	2,503	3,710	2,372	3,210
Wyoming	11	11	24	29	2,045	2,589	2,110	2,660

NOTE: Detail may not add to totals due to rounding.

X Not applicable.

1 FTE = Full-Time Equivalent. Employment totals includes all persons on government payrolls during one pay period on the month of October; includes employees of central departments and agencies of major dependent agencies. Also includes paid officials and temporary employees.

2 For full-time employees.

3 Estimates subject to sampling variation.

SOURCE: *Statistical Abstract of the United States, 2002*; United States Bureau of the Census, *1990 Public Employment*, series GE, No. 1, annual; *Government Employment, March 2000*.

TABLE E-51

**Work Force in State Government by Geographic Location**  
**New York State — January 1998-January 2002**  
 (full-time equivalents)

County/Location	Total Full-Time Equivalents <sup>1</sup>				
	1998	1999	2000	2001	2002
New York State <sup>2</sup>	164,824.10	166,406.23	165,382.29	166,613.09	166,962.38
New York City	28,333.30	28,363.60	27,791.53	27,477.13	27,298.02
Bronx	2,679.87	2,610.79	2,564.71	2,560.88	2,628.16
Kings	6,066.33	5,856.12	5,306.83	5,470.19	5,175.29
New York	11,975.10	12,174.77	12,332.24	11,897.11	11,719.07
Queens	5,255.65	5,306.60	5,191.69	5,149.93	5,366.68
Richmond	2,356.35	2,415.32	2,396.06	2,399.02	2,408.82
Rest of State	136,490.77	138,042.63	137,590.76	139,135.96	139,664.36
Albany	34,572.54	35,067.56	35,053.37	35,196.20	35,388.72
Allegany	287.50	275.00	275.00	269.00	270.50
Broome	3,309.24	3,487.67	3,563.89	3,699.55	3,731.33
Cattaraugus	1,278.57	1,265.51	1,280.61	1,241.78	1,232.68
Cayuga	1,590.60	1,640.50	1,630.40	1,576.95	1,549.21
Chautauqua	793.97	1,166.47	1,166.05	1,181.16	1,161.54
Chemung	1,720.05	1,734.55	1,719.25	1,706.80	1,697.80
Chenango	610.92	625.32	643.57	675.85	729.85
Clinton	2,296.65	2,323.45	2,327.70	2,308.65	2,267.97
Columbia	712.85	742.65	759.45	753.45	730.35
Cortland	491.65	514.55	517.67	524.08	533.83
Delaware	503.60	536.50	547.80	536.60	543.80
Dutchess	6,437.20	6,482.55	6,329.94	6,322.85	6,032.16
Erie	11,268.10	11,131.46	9,693.72	9,754.37	9,861.06
Essex	759.70	767.55	768.05	774.85	764.30
Franklin	2,488.08	2,483.90	3,081.70	3,151.30	3,189.90
Fulton	764.45	787.60	779.10	799.50	790.80
Genesee	473.45	472.00	478.52	489.65	503.50
Greene	1,366.20	1,403.50	1,409.48	1,407.30	1,390.80
Hamilton	193.85	205.70	196.50	232.35	247.00
Herkimer	253.45	273.70	274.81	284.00	288.30
Jefferson	1,623.43	1,654.20	1,627.45	1,610.15	1,561.65
Lewis	140.50	148.80	157.25	156.70	163.95
Livingston	2,076.58	2,095.64	2,091.50	2,054.00	2,013.41
Madison	409.80	402.60	399.80	395.85	384.15
Monroe	3,761.46	3,799.15	3,879.16	3,941.88	4,013.69
Montgomery	181.00	190.50	189.50	183.50	182.50
Nassau	1,644.57	1,573.97	1,528.05	1,582.07	1,526.05
Niagara	444.30	414.25	422.75	429.80	460.80
Oneida	6,228.18	6,399.17	6,385.51	6,384.14	6,240.64
Onondaga	5,467.65	5,522.73	5,576.74	5,638.31	5,813.19
Ontario	197.45	203.55	202.50	215.50	224.37
Orange	3,173.87	3,165.83	3,116.83	3,163.34	3,100.78
Orleans	1,338.20	1,369.95	1,407.20	1,391.50	1,303.00
Oswego	618.95	623.65	616.00	590.07	574.20
Otsego	498.35	518.75	533.50	545.15	563.95
Putnam	182.30	186.65	184.30	172.75	181.45
Rensselaer	962.88	1,090.31	1,478.75	1,603.10	2,054.27
Rockland	3,654.11	3,611.19	3,358.88	3,374.11	3,441.52
St. Lawrence	2,498.90	2,552.81	2,555.58	2,565.11	2,479.91
Saratoga	1,518.10	1,513.42	1,522.56	1,511.51	1,524.41
Schenectady	1,039.72	966.27	973.68	1,168.17	1,183.82
Schoharie	368.80	359.80	367.15	371.50	370.50
Schuyler	244.70	238.20	247.70	242.20	234.10
Seneca	565.00	559.90	574.90	1,213.70	1,227.20
Steuben	607.80	610.00	611.47	590.90	590.60
Suffolk	10,523.17	10,479.80	10,478.89	10,491.57	10,535.27
Sullivan	1,082.60	1,094.90	1,085.80	1,083.00	1,069.20
Tioga	56.00	56.00	56.00	67.55	103.65
Tompkins	575.00	570.20	580.00	575.70	605.55
Ulster	3,006.25	3,077.61	3,129.87	3,190.00	3,225.95
Warren	305.50	300.80	331.06	334.10	343.65
Washington	1,430.70	1,430.10	1,433.05	1,429.47	1,421.35
Wayne	1,433.42	1,457.80	1,442.23	1,416.90	1,401.90
Westchester	4,473.21	4,372.19	4,430.47	4,497.05	4,622.83
Wyoming	1,781.30	1,789.25	1,781.70	1,785.37	1,759.60
Yates	18.00	16.50	21.00	22.00	21.00
Out of State	54.40	238.50	315.40	262.00	234.90
Unassigned	132.00	a	a	a	a
			--- IN CITIES ---		
New York City	28,333.00	27,874.55	28,555.18	28,339.33	26,108.53
Albany	33,555.40	32,755.00	34,290.37	34,380.57	32,243.16
Buffalo	6,815.02	6,380.66	5,314.30	5,452.64	5,185.19
Rochester	2,800.54	2,784.94	2,899.22	2,966.10	2,788.88
Syracuse	4,869.02	4,813.50	5,124.02	5,308.41	4,902.58
Utica	1,408.43	1,372.60	1,598.92	1,626.75	1,527.21

NOTE: Detail may not add to totals due to rounding.

a Unassigned is now included with Out of State.

1 Includes employees in the classified State service of the Executive Branch (including SUNY) except the Division of State Police and the Palisades Park Commission. Seasonal, temporary and part-time employees, as well as those paid on an hourly or per diem basis are included. Employees on leave with half pay are included; employees on leave without pay are excluded. Full-time equivalent calculations are based on percentage of time actually worked. For example, an

employee working one half of a normal work schedule is counted as 0.5 FTE. An employee on leave at full pay is counted as 1.0 FTE. An employee on leave at half pay is counted as 0.5 FTE. Hourly and per diem employees are counted as 1.0 FTE each.

2 Includes FTEs located outside New York State.

SOURCE: New York State Department of Civil Service, Information Resource Management Division.

TABLE E-52

**Work Force in State Government by Agency**  
**New York State — January 2002**  
**(full-time equivalents [FTEs])**

Agency	Total FTEs <sup>1</sup>	Classified FTEs					
		Competitive	Non-competitive <sup>2</sup>	Exempt <sup>3</sup>	Labor <sup>4</sup>	Pending Non-competitive	Pending Exempt
All Agencies	166,962.38	136,230.05	22,979.77	2,577.66	4,930.95	63.75	180.20
Departments							
Agriculture and Markets	545.70	483.70	37.00	21.00	1.00	—	3.00
Audit and Control (Comptroller)	2,377.00	2,147.40	88.70	131.90	7.00	1.00	1.00
Banking	601.10	498.10	70.30	27.70	2.00	1.00	2.00
Civil Service	652.30	596.80	39.80	9.70	1.00	5.00	—
Public Employment Relations Board	42.50	25.10	10.40	7.00	—	—	—
Corrections	32,580.70	30,112.35	2,397.85	60.00	5.50	4.00	1.00
Economic Development	239.16	165.36	38.80	33.00	1.00	—	1.00
Education	3,361.55	2,787.93	387.72	78.75	103.15	—	4.00
Environmental Conservation	4,230.16	3,460.86	483.00	49.30	233.00	—	4.00
Executive Divisions							
Alcoholic Beverage Control	182.90	162.90	11.00	7.00	1.00	—	1.00
Budget	400.75	274.60	84.10	30.70	—	9.75	1.60
Criminal Justice Services	778.45	713.85	43.60	20.00	1.00	—	—
Housing and Community Renewal	1,034.88	941.58	45.80	34.50	13.00	—	—
Human Rights	197.00	138.00	49.00	8.00	2.00	—	—
Lieutenant Governor, Office of	7.00	—	1.00	6.00	—	—	—
Military and Naval Affairs	70.80	66.80	4.00	—	—	—	—
Parole	2,341.26	2,251.26	70.00	12.00	6.00	—	2.00
Probation and Correctional Alternatives	31.30	26.30	3.00	2.00	—	—	—
Real Property Services	458.77	445.77	4.00	6.00	3.00	—	—
Veterans' Affairs	121.00	99.00	15.00	7.00	—	—	—
Boards							
Consumer Protection	28.30	8.00	2.00	18.30	—	—	—
Crime Victims	94.00	84.00	8.00	2.00	—	—	—
Elections	41.00	23.00	—	18.00	—	—	—
Racing and Wagering	189.10	35.00	80.00	65.60	—	1.00	7.50
Offices							
Advocate for the Disabled	15.90	3.50	10.40	2.00	—	—	—
Aging	150.25	130.55	10.70	7.00	1.00	—	1.00
Capital Defenders	74.60	—	13.00	51.60	—	—	10.00
Employee Relations	87.10	25.30	3.00	58.80	—	—	—
General Services	2,264.74	1,330.60	335.00	61.15	531.99	1.00	5.00
Parks, Recreation and Historic Preservation	2,716.80	1,159.55	1,165.25	12.00	378.00	—	2.00
Prevention of Domestic Violence	29.90	2.00	25.90	2.00	—	—	—
Regulatory Reform	36.00	9.00	12.00	15.00	—	—	—
Science, Technology and Academic Research	32.00	16.00	3.00	5.00	—	7.00	1.00
State Inspector General	84.00	—	34.00	50.00	—	—	—
Technology	597.10	566.10	26.00	—	1.00	3.00	1.00
Welfare Inspector General	9.00	9.00	—	—	—	—	—
Commissions							
Correction	33.00	28.00	3.00	2.00	—	—	—
Quality of Care for the Mentally Disabled	86.55	18.80	52.75	13.00	—	2.00	—
Councils							
Arts	59.60	12.00	40.60	7.00	—	—	—
Children and Families	21.66	3.66	2.00	16.00	—	—	—
Other							
Adirondack Park Agency	69.80	43.80	18.00	7.00	1.00	—	—
Executive Chamber	203.26	12.00	31.00	160.26	—	—	—
Family Assistance							
Children and Family Services	4,937.74	2,480.49	2,398.85	48.00	5.00	3.00	2.40
Temporary and Disability Assistance	2,531.30	2,310.60	158.20	39.30	20.50	1.00	1.70
Health	6,328.98	4,696.05	1,432.93	80.20	105.00	2.00	12.80
Insurance	938.44	846.54	74.30	16.60	—	1.00	—
Labor	4,466.95	4,220.55	190.40	45.00	9.00	—	2.00
State Insurance Fund	2,701.82	2,494.82	179.00	22.00	6.00	—	—
Workers' Compensation Board	1,669.65	1,549.15	42.00	48.50	20.00	9.00	1.00
Law (Attorney General)	1,731.50	758.15	219.50	646.35	11.00	—	96.50
Medical Fraud Control Unit	292.25	287.25	5.00	—	—	—	—
Lottery	338.80	310.80	16.00	9.00	1.00	1.00	1.00

(Continued on the following page)

**TABLE E-52 (continued)**  
**Work Force in State Government by Agency**  
**New York State — January 2002**  
**(full-time equivalents [FTEs])**

Agency	Total FTEs <sup>1</sup>	Classified FTEs					
		Competitive	Non-competitive <sup>2</sup>	Exempt <sup>3</sup>	Labor <sup>4</sup>	Pending Non-competitive	Pending Exempt
Mental Hygiene							
Alcoholism and Substance Abuse Services	990.15	835.05	134.10	15.00	6.00	—	—
Mental Health	18,185.85	15,623.76	1,928.09	17.00	615.00	—	2.00
Mental Retardation and Developmental Disabilities	22,095.75	20,346.88	1,385.32	45.80	316.75	—	1.00
Motor Vehicles	3,344.65	3,198.35	87.30	42.00	16.00	1.00	—
Public Service	579.20	500.80	8.00	68.40	2.00	—	—
State	776.99	662.44	36.75	64.30	6.50	1.00	6.00
Taxation and Finance	5,496.57	5,121.77	139.70	53.00	179.10	2.00	1.00
Transportation	10,629.52	7,003.77	3,570.05	34.70	20.00	—	1.00
Commissions							
Investigation	25.00	—	—	25.00	—	—	—
Lake George Park	9.00	6.00	2.00	—	—	1.00	—
Public Benefit Corporations							
Bridge Authority, New York State	151.00	78.00	14.00	1.00	57.00	1.00	—
Canal Corporation	590.10	273.00	234.60	1.00	80.50	1.00	—
Financial Control Board for New York City	17.80	—	—	17.80	—	—	—
Higher Education Services Corporation	819.75	755.25	40.00	15.00	8.50	—	1.00
Housing Finance Agency	72.50	49.00	7.00	11.50	5.00	—	—
Thruway Authority	3,970.40	2,876.90	1,012.80	23.00	53.00	3.00	1.70
Other							
Labor Management Committee	53.95	6.00	2.00	45.95	—	—	—
Northeast Queens Nature and Historic Preserve	1.50	—	1.00	0.50	—	—	—
Teachers' Retirement System	276.43	265.90	6.00	—	4.53	—	—
State University							
Administration, Colleges and Universities	15,760.90	9,755.26	3,895.21	16.50	2,090.93	2.00	1.00

— Represents zero.

- 1 Includes classified service of Executive branch, seasonal, temporary and part-time employees, as well as those paid on an hourly or per diem basis are included in the data. Employees on leave with half-pay are included; employees on leave without pay are excluded. Full-time equivalent calculations are based on percentage of time actually worked. For example, an employee working one-half of a normal work schedule is counted as 0.5 FTE. An employee on leave at full-pay is counted as 1.0 FTE. An employee on leave at half-pay is counted as 0.5 FTE. Hourly and per diem employees are counted as 1.0 FTE each.
- 2 Consists of those positions for which it is practicable to examine applicants as to their qualifications, but not practicable to conduct examinations on a competitive basis. For the most part, this class consists of skilled trades, although it also includes some positions of a

higher level administrative, scientific or technical character and positions involving (a) a confidential relationship between incumbent and appointment officer or (b) the influencing of policy.

- 3 Defined in the Civil Service Law to include one secretary of each department and temporary State commission, and deputies authorized to act generally for and in place of their principals. Any other subordinate positions for which competitive or non-competitive examination would not be practicable may be placed in the exempt class.
- 4 Consists of unskilled labor jobs. There is no requirement that labor positions be listed in the rules. Labor class positions are listed in the Regulations of the Civil Service Commission.

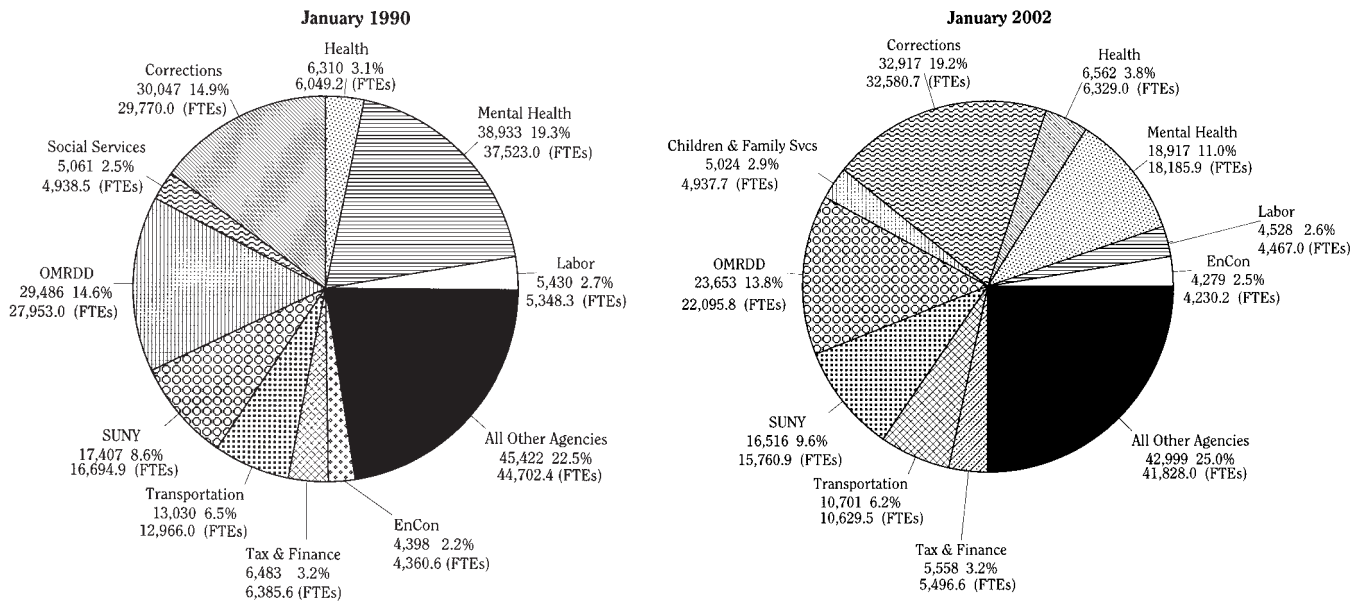
SOURCE: New York State Department of Civil Service, Information Resource Management Division.





FIGURE E-4

**Employment Trends in the Ten Largest Agencies  
New York State — January 1990 and January 2002**



**RANK BY NUMBER OF EMPLOYEES**

Agency	Jan 2002	Jan 1990	Percent Growth 1990-2002	Agency	Jan 2002	Jan 1990	Percent Growth 1990-2002
Corrections	1	2	11.46%	Health	6	7	-3.99%
OMRDD	2	3	-38.71%	Tax and Finance	7	6	-14.27%
Mental Health	3	1	-39.25%	Children & Family Svcs.	8	—	100.00%
SUNY	4	4	-5.12%	Labor	9	8	-16.61%
Transportation	5	5	-17.87%	Environmental Conservation	10	10	-2.71%

— Represents zero.

SOURCE: New York State Department of Civil Service, IRM/Decision Support System.

TABLE E-53

**Public Employment Relations Board Activities  
New York State — Fiscal Years 1998-99 — 2002-03**

Activity	1998-99	1999-2000	2000-01	2001-02	2002-03
<b>Conciliation</b>					
Negotiating Units	4,232	4,263	4,310	4,350	4,452
Contracts Negotiable	2,496	2,422	2,377	2,250	2,145
Settled or Negotiations Continue Without Third-Party Assistance	2,105	2,050	2,042	1,910	2,307
Brought to PERB for Assistance	393	372	335	340	317
<b>Impasses Resolved</b>					
Settled by Mediation	317	291	234	254	209
Settled by Mediation by Fact-finder	23	30	26	14	11
Settled by Negotiations Based on Fact-finding Report	38	26	23	13	14
Settled by Acceptance of Fact-finding Report	7	4	6	8	20a
Settled by Post Fact-finding Conciliation	16	8	9	5	—
Settled by Arbitration	27	20	10	13	8
Closed for Other Reasons	47	38	28	34	21
<b>Representation</b>					
Petitions Received	115	115	114	171	91
Director's Decisions	31	35	31	29	24
Board Decisions	24	12	8	9	2
Board Certifications	38	30	31	27	19
Petitions Withdrawn	78	73	61	111	62
Elections	19b	14c	14d	11f	6g
<b>Clarification/Unit Placement Cases</b>					
Cases Pending at Beginning of Year	42	29	46	31	49
Applications Received	54	89	68	67	64
Director's Decisions	12	17	22	6	9
Board Decisions	4	5	5	1	4
Withdrawn After Conference	48	58	53	45	59
Cases Pending at End of Year	29	46	31	49	36
<b>Improper Practices</b>					
Cases Pending at Beginning of Year	613	515	411	366	405
Charges Filed During Year	943	865	925	799	908
Administrative Law Judge Decisions	168	170	159	104	120
Board Decisions	99	61	50	22	38
Charges Settled by Agreement, Withdrawn or Closed	875	796	770	691	703
Cases Pending at End of Year	515	411	366	405	486
<b>Management/Confidential Cases</b>					
Cases Pending at Beginning of Year	17	14	21	16	14
Applications Received	44	46	42	30	42
Director's Decisions	35	27	35	23	29
Board Decisions	1	1	—	2	1
Withdrawn After Conference	10	10	11	10	11
Cases Pending at End of Year	14	21	16	14	13
<b>Work Stoppages</b>					
Strikes	2	2	3	2	2

— Represents zero.

a Includes 13 impasses for which 1 fact finding report was issued.

b 2,871 employees involved.

c 25,754 employees involved.

d 1,580 employees involved.

f 1,901 employees involved.

g 2,519 employees involved.

SOURCE: New York State Public Employment Relations Board.

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## Glossary E

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### Budgetary and Financial Terms

**Aid To Localities:** See Glossary for Section F.

**All-Funds:** A term which refers to all State government funds within the following fund types: General, Special Revenue, Capital Projects, Debt Service, Internal Service, Fiduciary and Enterprise.

**Appropriation:** A statute authorizing a government (e.g., the State) to spend money to achieve a specified purpose.

**Attrition:** A method of achieving a reduction in personnel by not refilling positions vacated through resignation, reassignment, transfer, retirement or means other than layoffs.

**Block Grant:** An aid payment from one level of government to another that allows the grantee a high degree of discretion in the allocation of funds. [See also: Categorical Grant]

**Capital Projects:** A category of appropriations used to support design, construction, reconstruction or major repair of highways, bridges, parks, housing or other physical facilities.

**Capital Projects Fund:** A fund from which the State pays the costs of construction projects; this fund consists primarily of bond proceeds and of moneys transferred from the General Fund.

**Categorical Grant:** An aid payment from one level of government to another that requires the grantee to allocate funds for a specific purpose.

**Debt Service:** A category of appropriations used for the payment of principal and interest on debt and other contractual obligations.

**Dedicated Tax:** A tax levied to support a specific government program or purpose.

**Disbursement:** An actual cash payment.

**Encumbrance:** A method of reserving all or a portion of an appropriation for future expenditure.

**Enterprise Fund:** A type of fund used to account for operations that are financed and carried on much like the activities of private enterprise (e.g., the commissary in the Department of Correctional Services).

**Entitlement Programs:** Certain programs, usually of the Federal government, which by law must provide funding to any recipient who meets specified eligibility requirements (e.g., Social Security).

**Expenditures:** A liability that reflects a decrease in net financial resources.

**Fiduciary Fund:** A type of fund used in situations where the State serves as the custodian of a trust or acts as an agent for an individual, private organization or other governmental body (e.g., the Retirement Fund is a fiduciary fund).

**Financial Plan:** A comprehensive outline of the financial resources and requirements of a government that shows projected closing balances at the completion of a fiscal period (e.g., a fiscal year). In the case of New York State, it includes the projections for one or more funds that are managed by the State displayed on a cash or GAAP basis.

**Fringe Benefits:** Payments made by the State for retirement, social security, health and dental insurance contributions, workers' compensation, unemployment, survivors' and disability insurance.

**Fund:** A fiscal and accounting entity established by legislation or administrative action for the purpose of achieving specified objectives or carrying on certain activities.

**Fund Type:** A description of the purpose of a fund. The use of GAAP requires that government resources be accounted for by fund — an accounting mechanism through which moneys are spent and spending is controlled.

**General Fund:** The major operating fund of the State that comprises all State income and outgo not earmarked for special purposes. The General Fund is also a fund type.

**General State Charges:** Costs required by statute, or arising from collective bargaining or court orders. Such charges include fringe benefits, fixed costs, payments in lieu of taxes, and judgments against the State.

**Generally Accepted Accounting Principles (GAAP):** Uniform standards and guidelines for accounting and reporting of financial information. The standards for governments are established by national standard-setting groups, primarily the National Council on Governmental Accounting, the Governmental Accounting Standards Board and the American Institute of Certified Public Accountants.

**Internal Service Fund:** A type of fund used to account for the financing of services (e.g., data processing, printing) provided by one department or agency or other departments, agencies or governments on a cost-reimbursable basis.

**Local Assistance Account:** An account within the General Fund from which State grants, advances, financial assistance and other payments are made to local governments, school districts and other entities.

**Lump-Sum:** A type of appropriation whose amount includes personal service, nonpersonal service, maintenance undistributed, or capital projects appropriations. Although it is made for a stated purpose, a lump-sum appropriation shows only a single amount and does not specify amounts for individual objects of expenditure.

**Maintenance Undistributed:** A type of lump-sum appropriation, which is normally used when the allocation among personal service and other expenses cannot be precisely forecast in advance, as in the case of an agency reorganization or the administration of rapidly changing programs.

**Matching Funds:** A type of grant that requires the government or agency receiving the grant to commit a certain amount of funding to the same program or purpose as a precondition of eligibility for the grant.

**Miscellaneous Receipts:** A term that refers to a broad range of unrelated revenue sources derived, for example, from investment earnings, the sale of abandoned property, fees, licenses, fines and transfers.

**Nonrecurring Revenues:** Revenues available during one fiscal year that will not be available during subsequent fiscal years.

**Nonpersonal Service:** A type of appropriation and expenditure that supports nonpersonal service costs such as contractual services, travel costs, equipment and supplies, etc.

**Personal Service:** A type of appropriation and expenditure which provides for State employee salaries and wages.

**Program:** An organized set of activities directed toward a common purpose or goal.

**Reappropriation:** The legal authorization to continue to encumber and spend all or part of an unencumbered balance of a prior-year appropriation during the new fiscal year. Capital projects extending over several fiscal years usually require reappropriations.

**Revenue Sharing:** Aid provided by one level of government to another with few stipulations on its use. The amount of the share made available to each recipient normally depends on formulas set forth in statute.

**Save-Harmless Aid:** A provision of law guaranteeing that a program or local entity receives no less than the amount of aid received during the previous fiscal year regardless of the impact of funding formulas.

**Special Revenue Fund:** A fund established to receive revenue from a dedicated source with moneys in the fund restricted to specific purposes.

**Spring Borrowing:** Short-term borrowing undertaken by the State in April (the start of the fiscal year) to cover an imbalance between spending needs and available resources. The amount borrowed must be repaid within one year of the date of issue.

**State Operations:** A category of appropriations supporting the operations of State agencies and programs (e.g., administration).

**State Purposes Account:** An account within the General Fund from which the State's operating costs are paid. Such costs include salaries, General State Charges, contractual payments, certain financial assistance, advances and interest.

**Tax and Revenue Anticipation Notes:** Short-term borrowing in anticipation of taxes and revenues yet to be received.

**User Fees:** Charges associated with using a particular service or facility (e.g., parks and/or highways).

